Request for Proposals: 2019 AmeriCorps State and National Notice of Funding Opportunity

Due: April 12, 5:00 p.m. EDT

Proposals are submitted through an online application in the Corporation for National and Community Service’s (CNCS) web-based management system. The RFP proposal (henceforth referred to as Application) and all supporting documents must be received in the CNCS web-based management system by 5:00 p.m. EDT on April 12, 2019. Applications received after this deadline will not be considered for funding. Volunteer Florida does not require organizations to submit an intent to apply.

For complete information, review the CNCS 2019 State and National Grants Notice of Funding Opportunity, the Application Instructions, CNCS Performance Measures Instructions and the 2019 AmeriCorps State and National Mandatory Supplemental Guidance included herein.

I. Background

Volunteer Florida, the Florida Commission on Community Service, was established in 1994 by the Florida Legislature to administer grants under the National and Community Service Trust Act of 1993. Volunteer Florida is the Governor’s lead agency for volunteerism and national service in Florida, administering more than $32 million in federal, state, and local funding to deliver high impact national service and volunteer programs in Florida. Volunteer Florida promotes and encourages volunteerism to meet critical needs across the state. Volunteer Florida also serves as Florida’s lead agency for volunteers and donations before, during, and after disasters.

II. Grant Period

The grant period is a twelve-month period, beginning August 1, 2019 and ending July 31, 2020. The contract period will be from the date of contract receipt through July 31, 2020.
III. Grant Overview

AmeriCorps grants are awarded to eligible organizations proposing to engage AmeriCorps members in evidence-based or evidence-informed (e.g., based on proposed program’s data or a similar program’s data) interventions to strengthen communities. An AmeriCorps member is an individual who engages in community service through an approved national service position. Members may receive a living allowance and other benefits while serving. Upon successful completion of their service, members earn a Segal AmeriCorps Education Award from the National Service Trust that members can use to pay for higher education expenses or apply to qualified student loans. In accordance with the National and Community Service Act of 1990, CNCS emphasizes measuring the impact of service in a core set of national issues. The 2019 AmeriCorps State and National Grants Notice of Funding Opportunity identifies the following six focus areas: Disaster Services, Economic Opportunity, Education, Environmental Stewardship, Healthy Futures, and Veterans and Military Families.

Volunteer Florida serves as the State Commission referenced in the 2019 AmeriCorps State and National Grants Notice of Funding Opportunity, and the Application Instructions. Please reference these documents for specific guidance on Volunteer Florida’s AmeriCorps Formula Funding Cycle.

IV. 2019 Funding Priorities

Information about all funding priorities identified by CNCS is located in the 2019 AmeriCorps State & National Mandatory Supplemental Guidance. CNCS seeks to prioritize the investment of national service resources in the following areas:

A. Economic Opportunity - increasing economic opportunities for communities by engaging opportunity youth to prepare them for the workforce.

B. Education - selection of one of the evidence-based interventions in three categories: School Readiness (three evidence-based interventions), K-12 success (nine evidence-based interventions), and Post-Secondary Support (one evidence-based intervention). In order to qualify for this priority, the applicants must be assessed as having Moderate or Strong evidence by the reviewers.
C. **Healthy Futures** - reducing and/or preventing prescription drug and opioid abuse.

D. **Veterans and Military Families** - positively impacting the quality of life of veterans and improving military family strength.

E. **Rural intermediaries** - organizations that demonstrate measurable impact and primarily serve rural communities with limited resources and organizational infrastructure.

F. **Safer Communities** - programs that focus on public safety, and/or partnerships between law enforcement and the community.

G. **Faith-based organizations**

V. **Volunteer Florida Application Criteria**

A. All operational proposals must request a minimum of the equivalent of 10 full-time AmeriCorps member positions.

B. Members must serve in a minimum of pairs at service locations.

C. The equivalent of a minimum of one full-time equivalent (FTE) staff position is required for program management.

D. New applicants are eligible for cost reimbursement grants only. Recompeting and continuation applicants are eligible for fixed amount awards or cost reimbursement awards, pending Volunteer Florida approval. Continuation applicants must submit a new application to be considered for the fixed amount grant.

E. Maximum allowable federal reimbursement is $15,192 per member service year (MSY) for cost reimbursement and fixed amount grant applications.

F. A first-time successful applicant is required to provide match funding at 24 percent for the first three-year funding period. Starting with year four, the match requirement gradually increases every year to 50 percent by year ten, according to the cost reimbursement grants match requirements chart found on page 10 of the 2019 State and National Grants Notice of Funding Opportunity and [45 CFR §2521.60](https://www.cfr.gov).
G. Preferred source of grantee match is funding other than State of Florida appropriations. If the grantee intends to use State of Florida funding to meet all or part of the match requirement, Volunteer Florida may require additional documentation during the clarification period.

H. Applicants are required to meet the CNCS evaluation requirements as identified in the 2019 State and National Grants Notice of Funding Opportunity.

I. Continuation applicants may request to increase or reduce funding and/or slots.

J. Note: Page 20, g. *Selection for Funding* of the 2019 State and National Grants Notice of Funding Opportunity, refers to federal competition only.

K. Volunteer Florida’s internal and external application review will align with the application review information criteria published on page 12 of the 2019 State and National Grants Notice of Funding Opportunity.

L. New applicants must opt into an August 1, 2019, start date.

**VI. Performance Measures**

Applicants are required to select at least one set of aligned performance measures. Applicants should use National Performance Measures if they are part of the program’s theory of change. Performance measures should focus on core activities for member service and should not exceed 2-3 aligned sets of measures. AmeriCorps State applicants should focus on direct service and not include capacity building performance measures. Applicants should be familiar with CNCS definitions for each performance measure output and outcome including defining the target population, how data is collected, dosage of intervention and rationale for proposed outcome resulting from the intervention. Outcomes should demonstrate changes in knowledge/skill, attitude, behavior, or condition that occur as a result of the intervention.

Applicants are encouraged to review CNCS National Performance Measures information [here](#).
VII. Federal Funding Opportunity Instructions

To access the 2019 AmeriCorps State and National Grants, Notice of Funding Opportunity (NOFO), click here.

To access the Application Instructions, click here.

To access the CNCS Performance Measures Instructions, click here.

To access the 2019 AmeriCorps State and National Mandatory Supplemental Guidance, click here.

To access the Planning Grant Instructions, click here.

VIII. Volunteer Florida Budget Instructions

All calculations for each budget line item should be written in equation format in the Budget Narrative. Adherence to these budget instructions will impact the budget score for applicants.

A. Staff Training: For the 2019 – 2020 program year, all funded programs will be expected to attend two trainings hosted by Volunteer Florida. Two individuals from organizations (representing program and finance duties) should attend each of the trainings. Budgets should include the cost of a $50 per person registration fee for two individuals for each of the two Volunteer Florida mandatory training sessions held during the grant period. Only CNCS funds may be used to cover the $50 registration fee. Programs should include travel costs in their proposed budget.

B. Match: Volunteer hours may not be used as a portion of match.

C. Evaluation Costs: Budgets should include applicable evaluation expenses associated with CNCS evaluation requirements.

D. Background Screening: National Service Criminal History Checks are required for ALL staff and members.

E. Member Gear: The applicant should budget appropriately for AmeriCorps members to wear AmeriCorps and Volunteer Florida branded gear (i.e. shirts/polos) every day of service.
F. Travel Costs: In addition to those required guidelines found in the application instructions, Volunteer Florida adheres to strict food and beverage purchasing guidelines. They are as follows:

1. If the meal is provided at the hotel, training or included in the lodging room rate, the traveler cannot claim that meal for reimbursement. If the traveler does not eat the meal provided and purchases a meal, the traveler cannot request reimbursement for the purchased meal.

2. Overnight travel is required to claim meals. No meals for same day travel will be reimbursed.

G. To be eligible for reimbursement of food and beverage during a training and/or meeting, the training and/or meeting must provide the following:

1. There must be a dissemination of knowledge.

2. The training must be reasonable and necessary.

3. There must be a necessity to keep attendees in the training for the length of time and not merely to provide food.

4. The training and/or meeting must be a minimum of eight hours in order to request reimbursement for breakfast and/or lunch and six hours in order to request reimbursement for lunch only. The reimbursement amounts for breakfast will not exceed $6 per person and for lunch will not exceed $11 per person.

H. To be eligible for reimbursement of food and beverage during a training and/or meeting the grantee must submit a detailed agenda that outlines the sessions and activities that were covered in the meeting.

I. To be eligible for reimbursement of food and beverage during a training and/or meeting the grantee must submit a sign-in sheet that identifies all participants (including staff and trainers) that were in attendance.

J. Volunteer Florida follows the State of Florida per diem rates and requires all programs to follow the requirements as stated in section 112.061, Florida Statutes maximum meal allowances are as follows:
1. Breakfast – Cannot exceed $6 per person. Depart before 6:00 AM. Travel beyond 8:00 AM.

2. Lunch – Cannot exceed $11 per person. Depart before 12:00 PM. Travel beyond 2:00 PM.

3. Dinner – Cannot exceed $19 per person. Depart before 6:00 PM. Travel beyond 8:00 PM.

4. Snacks are considered an unallowable expense

Reimbursement of approved food and beverage expenses associated with a training will be based on State of Florida Per Diem guidelines and must meet all requirements as listed and provide all required documentation. Reimbursement will be contingent on type of meal provided and number of participants.

IX. Administrative/Indirect Costs

Section III is comprised of the Corporation Fixed Amount (3%) and the Commission Fixed Amount (2%). Section III cannot exceed 5 percent of the total CNCS Award.

The Corporation Fixed Amount includes the administrative costs that are incurred by the lead agency for administering this grant. These costs are reimbursable under this line item. The calculation for this section is the CNCS Section 1 plus CNCS Section 2 X .0526 X .60.

The Commission Fixed Amount is the fiscal agent fee paid to Volunteer Florida for overseeing the AmeriCorps grant awards and is required. Each program must allocate a minimum of 2% out of the 5% maximum as described above of the total CNCS award in their budgets to this line item. The Commission Fixed Amount must be paid from CNCS funds only. Volunteer Florida will draw the Commission Fixed Amount from each program based on the amount expended monthly.
Please see the 2018 NOFO Application Instructions for more details and formulas used to calculate these amounts. The calculation for this section is CNCS Section 1 plus CNCS Section 2 X .0526 X .40.

X. Application Instructions
The funding period, match requirements, living stipend minimum, education award amounts, maximum cost per MSY, filing in e-Grants, and other general AmeriCorps provisions are the same as those listed in the 2019 AmeriCorps State and National Grants Notice of Funding Opportunity and the Application Instructions.

Instructions for Submitting Applications (via CNCS eGrants web based management system)
A. New Applicants: When submitting your application into the eGrants system, under the “Creating an Application” box (in the lower left hand corner of the page) click on “New”.
B. For Re-compete or Continuation Applicants (applicants that are currently funded by Volunteer Florida): When submitting your application into the eGrants system, under the “Creating an Application” box (in the lower left hand corner of the page) click on “Continuation/Renewal”.
C. All applicants must select the following NOFA: FY 2019 AmeriCorps State and Territory Commission (New and Continuation) (Due date: 1/30/2019)
D. All applicants must select Florida from the state dropdown listing. Applicants should see that their application is linked to the Volunteer Florida Prime Application Grant ID Number 19AC209665.
E. All applications must include a detailed budget per the FY 19 NOFO Application Instructions, Attachment C., Page 25.
Instructions for Supplemental Documentation (not submitted in eGrants)
Volunteer Florida Required Supporting Documentation is due by April 12, 2019, by 5 p.m. EDT. Required supporting documentation varies depending on whether the applicant is submitting a new application (the applicant was not funded in the previous funding cycle) or a continuation application (the applicant received funding during the previous funding cycle). Supporting documentation as specified below, should be electronically submitted to americorpsrfp@volunteerflorida.org.

A. New/Recompete Applications
   1. The most recent Audit Report including the Management Letter and the Schedule of Findings and Questioned Costs. If an annual audit is not required, please submit a letter to document.
   2. Completed Provider Assessment Questionnaire here.
   3. Certification of 60-day Operating Capital here.
   4. Most recent agency-wide YTD Consolidated Financial Statement and Balance Sheets.
   5. Evaluation reports as applicable to evidence tier (see Mandatory Supplemental Guidance).
   6. Recompeting applicants only – provide your Evaluation Plan and/or Evaluation Report. Follow guidance in the NOFO.

B. Continuation Applications
   1. The most recent Audit Report including the Management Letter and the Schedule of Findings and Questioned Costs. If an annual audit is not required, please submit a letter to document.
   2. Completed Provider Assessment Questionnaire (only required if agency hired a new CEO, Executive Director, CFO or Project Director within last twelve months).
3. Most recent agency wide YTD Consolidated Financial Statements and Balance Sheets.

XI. Volunteer Florida Timeline

**February 25, 2019** - Release Volunteer Florida AmeriCorps Formula Application

**March 12, 2019 (10:00 a.m., continuation applicants and 2:00 p.m. new/re-compete applicants)** - AmeriCorps funding application technical assistance calls

**March 14, 2019** - AmeriCorps Budget 101 webinar

**March 18, 2019** - AmeriCorps funding application FAQs posted [here](#).

**March 18, 2019** - AmeriCorps funding application FAQs posted [here](#).

**April 12, 2019, 5:00 p.m. EDT** - Applications due in eGrants and supporting documentation submitted [here](#).

**April 19, 2019 - May 10, 2019** - Peer, financial and staff review

**May 24, 2019** - Clarification provided to applicants considered for funding

**June 6, 2019 by 5:00 p.m. EDT** - Revised AmeriCorps applications due in eGrants

**June 6, 2019 - June 21, 2019** - Final staff review of revised proposals

**June 26, 2019** - Formula application submitted to CNCS

**August 1, 2019** - Anticipated contract start date

XII. Volunteer Florida Technical Assistance Conference Calls

Volunteer Florida staff will host technical assistance conference calls to provide applicants with an opportunity to ask questions and receive general feedback. Participation in one of the technical assistance calls is strongly encouraged. Questions and responses from the technical assistance calls will be posted in an FAQ document listed [here](#).

Technical Assistance Conference Calls are scheduled as follows:

**March 7, 2019, at 10:00 a.m. EST** - Continuation applicants

**March 7, 2019, at 2:00 p.m. EST** - New and Re-Compete applicants

**March 14, 2019, at 10:00 a.m. EST** – Budget 101 webinar

**To register for the calls and/or webinar, please [click here](#).**
To ensure that this funding process is carried out in a fair and equitable manner, all questions concerning the application should be submitted to americorpsrfp@volunteerflorida.org. Answers will be compiled in a Frequently Asked Questions (FAQ) document posted here.

XIII. Resources

AmeriCorps State and National Grants FY 2019 (NOFO, Application Instructions, Technical Assistance, Other Documents) here.

Volunteer Florida Available Grants (Application supporting documents, FAQs and other grant information) here.
NOTICE OF FEDERAL FUNDING OPPORTUNITY

Federal Agency Name: Corporation for National and Community Service
Funding Opportunity Title: 2019 AmeriCorps State and National Grants
Announcement Type: Initial Announcement
CFDA Number: 94.006

Disclosure: Publication of this Announcement of Federal Funding Opportunity (Notice) does not obligate the Corporation for National and Community Service (CNCS) to award any specific number of grants or to obligate any particular amount of funding. The 2019 President’s Budget proposed the elimination of CNCS. The actual level and timing of grant funding will be subject to the availability of annual appropriations.

Important Dates
- Notification of Intent to Apply: Applicants are strongly encouraged to submit a Notification of Intent to Apply for this competition. Notifications of Intent to Apply should be submitted by Wednesday, December 12, 2018.
  Single-State applicants should provide this information directly to the State or Territory Commission (Commission) in the state in which the applicant intends to operate the program, not to CNCS. The Commissions’ deadlines are earlier than this deadline. See Types of Applicants section for definitions of National Direct and Single-State applicants.
- Deadline: Applications are due Wednesday, January 30, 2019 at 5:00 p.m. Eastern Time to CNCS.
  Single-state applicant deadlines to Commissions may be significantly before the CNCS deadlines, so prospective state applicants should contact the Commission in the state or territory where they intend to apply as early as possible. The list of Commissions can be found on CNCS’s website.
- Application Notification: Successful applicants will be notified by Monday, April 1, 2019.

A. PROGRAM DESCRIPTION
1. Purpose of AmeriCorps Funding
The mission of CNCS is to improve lives, strengthen communities, and foster civic participation through service and volunteering. Through AmeriCorps, Senior Corps, and the Volunteer Generation Fund, CNCS has helped to engage millions of citizens in meeting community and national challenges through service and volunteer action.

AmeriCorps grants are awarded to eligible organizations (see Eligible Applicants section) proposing to engage AmeriCorps members in evidence-based or evidence-informed interventions/practices to strengthen communities. An AmeriCorps member is an individual who engages in community service through an approved national service position. Members may receive a living allowance and other benefits while serving. Upon successful completion of their service, members earn a Segal AmeriCorps Education Award from the National Service Trust that members can use to pay for higher education expenses or apply to qualified student loans.

CNCS Focus Areas
The National and Community Service Act of 1990, as amended by the Serve America Act, emphasizes measuring the impact of service and focusing on a core set of issue areas. In order to carry out Congress’ intent and to maximize the impact of investment in national service, CNCS has the following focus areas:

Disaster Services
Grant activities will provide support to increase the preparedness of individuals for disasters, improve individuals’ readiness to respond to disasters, help individuals recover from disasters, and/or help individuals mitigate disasters.

Economic Opportunity
Grants will provide support and/or facilitate access to services and resources that contribute to the improved economic well-being and security of economically disadvantaged people; help economically disadvantaged people, to have
improved access to services that enhance financial literacy; transition into or remain in safe, healthy, affordable housing; and/or have improved employability leading to increased success in becoming employed.

Education
Grants will provide support and/or facilitate access to services and resources that contribute to improved educational outcomes for economically disadvantaged children; improved school readiness for economically disadvantaged young children; improved educational and behavioral outcomes of students in low-achieving elementary, middle, and high schools; and/or support economically disadvantaged students’ preparation for success in post-secondary educational institutions.

Environmental Stewardship
Grants will support responsible stewardship of the environment, while preparing communities for challenging circumstances and helping Americans respond to and recover from disruptive life events: programs that conserve natural habitats; protect clean air and water; maintain public lands; support wildland fire mitigation; cultivate individual and community self-sufficiency; and provide reforestation services after floods or fires.

Healthy Futures
Grants will provide support for activities that will address the opioid crisis; increase seniors’ ability to remain in their own homes with the same or improved quality of life for as long as possible; and/or increase physical activity and improve nutrition with the purpose of reducing obesity.

Veterans and Military Families
Grants will positively impact the quality of life of veterans and improve military family strength; increase the number of veterans, wounded warriors, military service members, and their families served by CNCS programs; and/or increase the number of veterans and military family members engaged in service through CNCS programs.

2. Funding Priorities (See Mandatory Supplemental Guidance for further information about some of the priorities)
CNCS seeks to prioritize the investment of national service resources in the following areas:

- Economic Opportunity - increasing economic opportunities for communities by engaging opportunity youth to prepare them for the workforce.
- Education - selection of one of the evidence-based interventions in three categories: School Readiness (three evidence-based interventions), K-12 success (nine evidence-based interventions), and Post-Secondary Support (one evidence-based intervention). In order to qualify for this priority, the applicants must be assessed as having Moderate or Strong evidence by the reviewers.
- Healthy Futures - reducing and/or preventing prescription drug and opioid abuse.
- Veterans and Military Families - positively impacting the quality of life of veterans and improving military family strength.
- Rural intermediaries - organizations that demonstrate measurable impact and primarily serve rural communities with limited resources and organizational infrastructure.
- Safer Communities - programs that focus on public safety, and/or partnerships between law enforcement and the community.
- Faith-based organizations.

In order to receive priority consideration for submitting an application within a Notice priority (ies), applicants must demonstrate that the priority area is a significant part of the program focus and intended outcomes and must include a high quality program design. Responses that propose programs for the purpose of receiving priority consideration are not guaranteed funding.

3. Performance Measures
All applications must include at least one aligned performance measure (output and outcome) that corresponds to the proposed primary intervention. This may be a National Performance Measure or an applicant-determined measure. Additional performance measures, including output-only National Performance Measures, may also be included if desired; however, all performance measures must be consistent with the program’s Theory of Change and represent significant program activities. CNCS does not expect applicants to select performance measures to correspond to each and every potential member activity or community impact. CNCS values the quality of performance measures over the quantity of performance measures.
CNCS expects applicants to use National Performance Measures as part of their comprehensive performance measurement strategy if the National Performance Measures are part of the applicant’s theory of change. Applicants should use both performance and evaluation data to learn from their work as well as make tactical and strategic adjustments to achieve their goals. For more information, please refer to the National Performance Measure Instructions.

4. Program Authority
CNCS’s legal authority to award these grants is found in the National and Community Service Act of 1990, as amended, (NCSA) (42 U.S.C. 12501 et seq.)

B. FEDERAL AWARD INFORMATION
1. Estimated Available Funds
CNCS expects a highly competitive AmeriCorps grant competition. CNCS reserves the right to prioritize providing funding to existing awards over making new awards. The actual level of funding will be subject to the availability of annual appropriations.

2. Estimated Award Amount
Grant awards have two components: operating funds and AmeriCorps member positions. Grant award amounts vary – both in the level of operating funds and in the type and amount of AmeriCorps member positions – as determined by the scope of the projects.

3. Project and Award Period
The project period is generally one year, with a start date proposed by the applicant. The grant award covers a three-year project period unless otherwise specified. In most cases, the application is submitted with a one-year budget. Continuation funding for subsequent years is not guaranteed and shall be dependent upon availability of appropriations and satisfactory performance.

The project start date may not occur prior to the date CNCS awards the grant. AmeriCorps members may not enroll prior to the start date of the award. AmeriCorps members may not begin service prior to the beginning of the member enrollment period as designated in the grant award. A program may not certify any hours a member performs prior to the beginning of the member enrollment period.

4. Type of Award
AmeriCorps Operating Grants: CNCS may award a Cost Reimbursement or a Fixed Amount grant to any successful applicant, but the availability of a Full-Time Fixed Amount grant is limited to certain applicants. Professional Corps are not eligible to apply for Cost Reimbursement grants. See the Eligible Applicants section and the Mandatory Supplemental Guidance for more information. CNCS will not provide both types of grants for the same project in one fiscal year.

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<thead>
<tr>
<th>Grant Types</th>
<th>Cost Reimbursement</th>
<th>Fixed Amount</th>
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<tbody>
<tr>
<td></td>
<td>Traditional</td>
<td>Full-time</td>
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<tr>
<td>Available Subtypes</td>
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<td>$15,192</td>
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<tr>
<td>Maximum Cost per MSY</td>
<td>Full-time, Three Quarter-time, Half-time, Reduced half-time, Quarter-time, Minimum Time</td>
<td>Full-time or Less than Full-time serving in a full-time capacity</td>
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<td>Budget Submission Required</td>
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<td>No</td>
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<tr>
<td>Availability of Funds linked to enrollment and retention of awarded MSYs</td>
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<td>Yes</td>
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<tr>
<td>Special Requirements</td>
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<td>Financial Reporting Requirements</td>
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<tr>
<td>Available to new Applicants</td>
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<td>No</td>
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C. ELIGIBILITY INFORMATION

1. Eligible Applicants

The following Non-Federal entities (as defined in 2 CFR §200.69) who have DUNS numbers and are registered in System for Award Management (SAM) are eligible to apply:

- Indian Tribes (2 CFR §200.54)
- Institutions of higher education (2 CFR §200.54)
- Local governments (2 CFR §200.64)
- Nonprofit organizations (2 CFR §200.70)
- States (2 CFR §200.90)

New Applicants

CNCS encourages organizations that have not received prior funding from CNCS to apply. The general practice is to award no more than 50 member positions (slots) to organizations receiving an AmeriCorps grant for the first time.

New applicants are eligible to apply for Cost Reimbursement and Education Award Program (EAP) grants but are not eligible to apply for Full-Time Fixed Amount grants. Existing subgrantees/operating sites of Fixed Amount and Cost Reimbursement grantees who can demonstrate a successful history and current capacity to manage a Fixed Amount grant are considered to have AmeriCorps experience and therefore can apply for Fixed Amount grants. See Mandatory Supplemental Guidance for more information.

Types of Applicants

State and Territory Service Commissions (for Single-State Applicants)

Organizations that propose to operate in only one state must apply through the Governor-appointed State or Territory Commissions. Each commission administers its own selection process and submits the applications it selects to compete for funding directly to CNCS. Single-State applicants must contact their Commissions to learn about their state or territory processes and deadlines. State deadlines may be significantly earlier than the CNCS deadlines and may have additional requirements. The list of Commissions can be found on the CNCS website. A Single-State application from a State or Territory with a Commission that is submitted directly to CNCS by the applicant rather than the Commission
will be considered noncompliant and will not be reviewed. See the Mandatory Supplemental Guidance for descriptions of National Direct and Single-State applicants.

**National Direct Applicants**

**Multi-State:** Organizations that propose to operate AmeriCorps programs in more than one State or Territory apply directly to CNCS.

**States and Territories without Commissions:** Applicants in South Dakota, American Samoa, the Commonwealth of the Northern Mariana Islands, and the U.S. Virgin Islands apply directly to CNCS because this State and these Territories do not have an established Commission.

**Federally-recognized Indian Tribes:** Applicants that are Indian Tribes apply directly to CNCS. In addition to Indian Tribes as defined in 2 CFR §200.54, tribal organizations controlled, sanctioned, or chartered by Indian Tribes are also eligible to apply. An entity applying on behalf of a federally-recognized tribe or multiple specific federally-recognized tribes must submit a sanctioning resolution, applicable for the entirety of the period of performance that the applicant is applying for, adopted by the Tribal Council (or comparable tribal governing body) of each Indian Tribe. The resolution(s) must identify the entity by name as a tribal organization and indicate whether it is controlled, sanctioned, or chartered by an Indian Tribe(s). It must authorize the entity to be the legal applicant and act on behalf of and include the Indian Tribe(s) in a CNCS application for the purpose of conducting the activities and providing the services described in the application. A current resolution will not suffice to meet this requirement unless the resolution applies for the entire period of performance of the award, i.e., current resolutions that are applicable to a previous AmeriCorps award at the time of the application submission, but expire during the prospective period of performance of a new award, do not meet this requirement.

In addition to being eligible to apply under this Notice, federally-recognized Indian Tribes will also be eligible to apply for operating or planning grants under a separate Notice of Federal Funding Opportunity for Indian Tribes to be released later in the Winter with an application deadline in the Spring. CNCS may request applicants apply under the Tribal competition, rather than under this Notice.

**2. Threshold Issues**

Applications should reflect that they meet the following threshold requirements for the grant type for which they are applying.

- Professional Corps applicants and/or applicants determined to be a Professional Corps by CNCS must demonstrate that the community in which it will place AmeriCorps members serving as professionals has an inadequate number of said professionals.
- Applications for a Full-Time Fixed Amount grant must have full-time members (either 1,700 hour members or 300, 450, 675, 900, or 1,200 hour members serving in a full-time capacity.)
- If the proposed service activities require specialized member qualifications and/or training (for example, tutoring programs: 45 CFR §2522.910-.940), the applicant must describe how the program will meet these requirements.

**3. Other Requirements**

Under section 132A(b) of the NCSA, organizations that have been convicted of a federal crime may not receive assistance described in this Notice.

Note that under section 745 of Title VII, Division E of the Consolidated Appropriations Act, 2016, if CNCS is aware that any corporation has any unpaid Federal tax liability which—

- has been assessed,
- for which all judicial and administrative remedies have been exhausted or have lapsed, and
- that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, that corporation is not eligible for an award under this Notice.

A similar restriction may be enacted with

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1 Although National Direct applicants do not have to apply to Commissions, there are substantial requirements to coordinate with the Commissions for states in which national service programs will operate. See the Coordination among State Commission & National Direct Applicants section for information on these important requirements.

2 However, this exclusion will not apply to a corporation which a federal agency has considered for suspension or debarment and has made a determination that suspension or debarment is not necessary to protect the interests of the federal government.
the appropriation which will fund awards under this Notice. However, this exclusion will not apply to a corporation which a federal agency has considered for suspension or debarment and has made a determination that suspension or debarment is not necessary to protect the interest of the federal government.

Pursuant to the Lobbying Disclosure Act of 1995, an organization described in Section 501(c)(4) of the Internal Revenue Code of 1986, 26 U.S.C. 501(c)(4) that engages in lobbying activities is not eligible to apply for CNCS funding.

D. APPLICATION AND SUBMISSION INFORMATION

This Notice should be read together with the AmeriCorps Regulations, 45 CFR §§ 2520–2550, the Mandatory Supplemental Guidance, Application Instructions, and the National Performance Measure Instructions which are incorporated by reference. These documents can be found on the CNCS website. The full Regulations are available online at www.ecfr.gov.

1. Address to Request Application Package

Applicants should refer to CNCS Funding Opportunities to obtain the necessary information to apply. Applicants can also send an email to americorpsgrants@cns.gov or call (202) 606-7508 for a printed copy of the application materials. The TTY (Text Telephone) number is (800) 833-3722.

2. Content and Form of Application Submission

a. Application Content

In CNCS’s web-based management system, applicants will enter the following components of a complete application:

- Standard Form 424 (SF-424) Face Sheet: This is automatically generated when applicants complete the data elements in the system
- Narratives
  - Executive Summary
  - Program Design
  - Organizational Capability
  - Cost Effectiveness and Budget Adequacy
  - Evaluation Plan (if applicable)
- Logic Model
- Standard Form 424 Budget
- Performance Measures
- Authorization, Assurances, and Certification

b. Page Limits

There are two page limits that must be adhered to: Narrative and Logic Model.

Narrative

Applications must not exceed 10 pages for the Narratives or 13 pages for Rural Intermediaries and Multi-State applications with more than five operating sites. CNCS will use the number of locations in the “multi-state operating sites” field in CNCS’s web-based management system to determine whether a multi-site application has more than five operating sites. The “multi-site operating sites” field can be found by clicking on the “operating sites” link in the system. Multi-state applicants that list five or fewer operating sites cannot exceed 10 pages for the Narrative.

In determining whether an application complies with page limits, CNCS will count the following for the narrative:

- The application’s Executive Summary, SF 424 Facesheet, and
- The Narrative portions contained in the Program Design, Organizational Capacity, and Cost Effectiveness and Budget Adequacy sections of the application.

CNCS will consider the number of pages only as they print out from the “Review” tab in CNCS’s web-based grant management system (where you will see the “View/Print your application” heading) when determining compliance for page limits. CNCS will not consider the results of any alternative printing methods in determining whether an application complies with the applicable page limits. CNCS strongly encourages applicants to print out the application from the “Review” tab prior to submission to check that the application does not exceed the page limit. The application page limit
does not include the Budget, narrative portion of the Evaluation Plan, the Logic Model, performance measures, or the supplementary materials, if applicable.

Logic Model
The Logic Model may not exceed three pages when printed with the application from the “Review” tab in CNCS’s web-based management system.

Please note the length of a document in word processing software may be different than what will print out in the CNCS’s web-based system. Reviewers will not consider any submitted material that exceeds the page limits in the printed report, also, note that the system will not prevent an applicant from entering text that will exceed page limitations. This applies to both the application page limit and the Logic Model page limit.

3. Dun and Bradstreet University Numbering System (DUNS) Number & System for Award Management (SAM)
Applications must include a DUNS number and an Employer Identification Number. The DUNS number does not replace an Employer Identification Number. DUNS numbers may be obtained at no cost by calling the DUNS number request line at (866) 705-5711 or by applying online: DUNS Request Service. CNCS recommends registering at least 30 days before the application due date.

After obtaining a DUNS number, all applicants must register with the SAM at www.sam.gov and maintain an active SAM registration until the application process is complete and, if a grant is awarded, throughout the life of the award. SAM registration must be renewed annually. CNCS suggests finalizing a new registration or renewing an existing one at least three weeks before the application deadline to allow time to resolve any issues that may arise. Applicants must use their SAM-registered legal name and address on all grant applications to CNCS.

Applicants who do not comply with these requirements may be ineligible to receive or maintain an award. See the SAM Quick Guide for Grantees at https://sam.gov/sam/transcript/Quick_Guide_for_Grants_Registrations.pdf

4. Submission Dates and Times
a. Notification of Intent to Apply
Submission of a Notification of Intent to Apply is strongly encouraged for this competition. Please see the Important Dates section at the beginning of this NOFO for the date. Eligibility is not based on submission of Notification of Intent to Apply. Send the intent by using this link: https://www.surveymonkey.com/r/CNCSIntentToApply2019AmeriCorps. All Notifications of Intent to Apply will receive an email response acknowledging receipt.

Single-State/Territory applicants should provide this information directly to the Commission in the state or territory in which the applicant intends to operate, and not directly to CNCS. The Commissions’ deadlines are earlier than this deadline.

National Direct Applicants: The Notification of Intent to Apply must include contact information (name of the applicant organization, address, contact person, email address, and phone number) and the focus area(s) the anticipated application will address.

State/Territory Commissions: The Notification of Intent to Apply submitted by each Commission must include an estimate of the number of applications planned for submission by focus area.

Continuation grantees who are only submitting continuation applications should not submit a Notification of Intent to Apply nor should Commissions include them in their estimate of anticipated applications.

b. Application Submission Deadline
Please see the Important Dates section at the beginning of this NOFO for the date. The deadline applies to new, recompeting, and continuation applicants. Commissions’ deadlines may be significantly before the CNCS deadlines, so prospective Single-State applicants should contact their respective Commission as soon as possible. CNCS reserves the right to extend the submission deadline and any notice of such extended deadline will be posted on CNCS’s website.
c. Additional Documents Deadline
Any required additional documents are due on the application deadline. See Submission of Additional Documents section for other guidance.

d. Late Applications
All applications received after the submission deadline published in the Notice are presumed to be non-compliant. In order to overcome this presumption, the applicant must:

- provide a written explanation or justification of the extenuating circumstance(s) that caused the delay, including:
  - the timing and specific cause(s) of the delay
  - the ticket number if a request for assistance was submitted to the National Service Hotline
  - any information provided to the applicant by the National Service Hotline
  - any other documentation or evidence that supports the justification
- ensure that CNCS receives the justification and any other evidence that substantiates the claimed extenuating circumstance(s) via email to LateApplications@cns.gov no later than one business day after the application deadline stated in the Notice.

Communication with CNCS staff, including an applicant’s program officer, is not a substitute for the letter. Applicants are required to continue working in CNCS’s web-based application system and with the National Service Hotline to submit the application. CNCS will determine whether or not to accept a late application on a case-by-case basis. Applicants that do not submit a justification or any other evidence to overcome the presumption of non-compliance within the published timeframe will not be reviewed or selected for award.

Please note: CNCS will not consider an advance request to submit a late application. Applicants are encouraged to continue working on their application until it is submitted. If you are experiencing technical difficulties, please carefully review and follow the guidance listed above, and submit your application as soon as possible.

5. Intergovernmental Review
This Notice is not subject to Executive Order 12372, “Intergovernmental Review of Federal Programs.”

6. Funding Restrictions
a. Member Living Allowance
A living allowance is not considered a salary or a wage. Programs are not required to provide a living allowance for members serving in less than full-time terms of service. If a program chooses to provide a living allowance to a less than full-time member, it must comply with the maximum limits in the table below. For Cost Reimbursement grants, the amount must be included in the proposed budget as either CNCS or grantee share. Exceptions are noted below.

While Fixed Amount grant applicants are not required to submit detailed budgets, they are still required to provide a living allowance to members that complies with the minimum and maximum requirements. Exceptions are noted below. Fixed Amount grant applicants are not required to indicate that amount in the application and should request those positions as “without living allowance” in the budget.

<table>
<thead>
<tr>
<th>Service Term</th>
<th>Minimum # of Hours</th>
<th>Minimum Living Allowance</th>
<th>Maximum Total Living Allowance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Full-time</td>
<td>1,700</td>
<td>$13,992</td>
<td>$27,984</td>
</tr>
<tr>
<td>Three Quarter-time</td>
<td>1,200</td>
<td>n/a</td>
<td>$19,753</td>
</tr>
<tr>
<td>Half-time</td>
<td>900</td>
<td>n/a</td>
<td>$14,815</td>
</tr>
<tr>
<td>Reduced Half-time</td>
<td>675</td>
<td>n/a</td>
<td>$11,111</td>
</tr>
<tr>
<td>Quarter-time</td>
<td>450</td>
<td>n/a</td>
<td>$7,408</td>
</tr>
<tr>
<td>Minimum-time</td>
<td>300</td>
<td>n/a</td>
<td>$4,938</td>
</tr>
</tbody>
</table>

Exceptions to the Living Allowance Requirements
1. Programs existing prior to September 21, 1993 are not required to offer a living allowance. If an applicant chooses to offer a living allowance, it is exempt from the minimum requirement, but not the maximum requirement.
2. **EAP Grantees** are not required to provide a living allowance, but if a living allowance is provided, it must comply with the maximum requirements set forth in the Living Allowance Table above.

3. **Professional Corps Grantees** must provide members a living allowance or salary, which must meet the minimum, but may exceed the maximum living allowance set in the Living Allowance Table above. Professional Corps member salaries and benefits including child care are paid entirely by the organizations with which the members serve, and are not included in the budget request to CNCS (federal or matching share.)

b. **Maximum Cost per Member Service Year (MSY)**
The CNCS cost per MSY is determined by dividing the CNCS share of budgeted grant costs by the number of MSYs requested. It does not include child care or the value of the education award a member may earn. The maximum amount an applicant may request from CNCS per MSY is determined on an annual basis.

New and recompeting Commission sub-grantees/applicants will be held to the maximum cost per MSY for their grant type.

<table>
<thead>
<tr>
<th>Grant Program</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual Competitive State/Territory Program (cost reimbursement)</td>
<td>$15,192*</td>
</tr>
<tr>
<td>Multi-state (cost reimbursement)</td>
<td>$15,192*</td>
</tr>
<tr>
<td>Professional Corps Fixed Amount Applicants/Grantees</td>
<td>$1,000*</td>
</tr>
<tr>
<td>Education Award Program Fixed Amount Grant</td>
<td>$800</td>
</tr>
<tr>
<td>Full-time Fixed Amount Grant</td>
<td>$15,192</td>
</tr>
<tr>
<td>State/Territory Commission Formula Prime</td>
<td>$18,000</td>
</tr>
<tr>
<td>Individual State/Territory Formula Program</td>
<td>$20,000</td>
</tr>
<tr>
<td>State/Territory Commission Average (of all its subgrants)</td>
<td>$15,192</td>
</tr>
</tbody>
</table>

*Cost reimbursement programs operating in rural communities (as defined in the Mandatory Supplemental Guidance) and cost reimbursement programs that recruit opportunity youth as AmeriCorps members may request up to $15,360 cost per MSY for the AmeriCorps members who will be serving in rural areas or who are opportunity youth.

**CNCS requires Professional Corps programs to cover the operating expenses associated with the AmeriCorps program through non CNCS. CNCS will only consider operating funds of up to $1,000 per MSY if an applicant is able to demonstrate significant organizational financial need based on the materials reviewed by the Office of Grant Management.

CNCS reserves the right to determine whether an applicant is a Professional Corps and whether the legal applicant has sufficiently demonstrated that they cannot effectively operate an AmeriCorps program without receiving CNCS operating funds. Given the high demand for AmeriCorps funding there may be specific circumstances where CNCS determines that either a legal applicant is not a Professional Corps program or is unlikely to demonstrate a need for operational funds due to other funding resources. Where CNCS’s due diligence review of a Professional Corps application shows that the applicant has a historically high level of support from non-CNCS sources, and as a result has levels of unrestricted funding that is in excess of the requested level of CNCS funding, CNCS may choose to not provide additional operational funding.

c. **Segal AmeriCorps Education Award**
AmeriCorps members who successfully complete a term of service will be eligible for an Education Award from the National Service Trust. The amount of the Education Award is linked to the value of the Pell Grant. A member has up to seven years after his or her term of service to use the Education Award. CNCS will provide the updated Education Award amounts at the time of grant award.
d. Cost Sharing or Matching

Fixed Amount Grants

There is no match requirement for Fixed Amount grants. CNCS does not provide all the funds necessary to operate the program; therefore organizations should raise the additional revenue required to operate the program.

Cost Reimbursement Grants

A first-time successful applicant is required to match at 24 percent for the first three-year funding period. Starting with year four, the match requirement gradually increases every year to 50 percent by year ten, according to the minimum overall share chart found in 45 CFR §2521.60 and below.

<table>
<thead>
<tr>
<th>AmeriCorps Funding Year</th>
<th>1, 2, 3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10+</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grantee Share Requirements</td>
<td>24%</td>
<td>26%</td>
<td>30%</td>
<td>34%</td>
<td>38%</td>
<td>42%</td>
<td>46%</td>
<td>50%</td>
</tr>
</tbody>
</table>

Section 121(e)(5) of NCSA (42 U.S.C. 12571(e)) requires programs that use other federal funds as match for an AmeriCorps grant to report the amount and source of these funds to CNCS on a Federal Financial Report. Grantees must track and be prepared to report on that match separately each year and at closeout.

The living allowance or salary provided to AmeriCorps members in Professional Corps programs (see Mandatory Supplemental Guidance) does not count toward the matching requirement.

Alternative Match

Under certain circumstances, applicants may qualify to meet alternative matching requirements that increase over the years to 35 percent instead of 50 percent as specified in the regulations at 45 CFR §2521.60(b). To qualify, applicants must demonstrate that the proposed program is either located in a rural county or in a severely economically distressed community as defined in the Application Instructions. Applicants who plan to request an alternative match schedule must submit a request at the time the application is submitted. State programs submit requests for alternative match to the Commission. Commissions submit on behalf of their state applicants and National Directs submit their requests directly to ACAAlarmateMatchRequests@cns.gov.

e. Indirect Costs

Application budgets may include indirect costs. Based on qualifying factors, applicants will either use a federally approved indirect cost rate, a 5/10 percent fixed option, a 10 percent *de minimis* rate of modified total direct costs, or may claim certain costs directly as outlined in 2 CFR § 200.413. States, local governments and Indian Tribes may use approved indirect cost allocation plans. All methods must be applied consistently across federal awards. Applicants that have a federal negotiated indirect cost rate or that will be using the 10 percent *de minimis* rate must enter that information in the Organization section in the CNCS’s web-based management system. However, under section 121(d) of the NCSA and CNCS’s regulations at 45 CFR 2521.95 and 2540.110, no more than five percent of award funds may be used to recover indirect costs on AmeriCorps grants.

7. Other Submission Requirements

a. Coordination among State Commissions and National Direct Applicants

CNCS expects Commissions and National Direct applicants to consult and coordinate activities at the local level, as specified in Section 131 of the NCSA (42 U.S.C. § 12583). This consultation is designed to ensure the most effective use of national service resources and lead to enhanced coordination. The list of Commissions can be found here: http://www.nationalservice.gov/about/contact-us/state-service-commissions.

To ensure coordination:
National Direct applicants, except federally-recognized Indian Tribes, must:
Before application submission:
- Consult with the Commission of each state and/or territory in which the organization plans to operate and describe this consultation in their applications. Contact the Commissions for details about the consultation process. Provide information that connects the proposed activities to the current State/Territory Service plan and/or State logic model.
After Award:
- Provide the Commission with contact information for National Direct programs in the state and/or territory and update the information on an annual basis.
- Participate in the Commissions’ annual needs assessment and training plan development activities, and in the development of its state/territory service plan, as well as appropriate training and other events.
- Include the Commission on the National Direct’s mailing/email distribution lists and invite it to appropriate training and other events.

State/Territory Commissions must:
Before application submission:
- Consult in a timely manner with the National Direct applicants, except Indian Tribes, that contact them prior to application submission.

After Award:
- Consider the schedules and needs of National Direct applicants, including Indian Tribes, operating in their states when planning annual events and technical assistance activities.
- Include National Direct programs, including Indian Tribes, in their annual needs assessment and training plan development activities, and in the development of their state/territory service plan.
- Add staff of multi-state programs in their state/territory to their mailing/email distribution lists and invite them to appropriate training and other events.

CNCS will solicit Commission input on National Direct applicants, except Indian Tribes, proposing to operate in their state/territory. Via the CNCS’s web-based management system, Commissions have the opportunity to select “support,” “do not support,” or “neutral,” and provide comments. Participation by Commissions in providing this input is strongly encouraged. CNCS reviews and considers Commission input on multi-state applicants proposing to operate in their state.

CNCS will assess an applicant’s strategic considerations. Applicants must check the relevant boxes in the Program Information tab in CNCS’s web-based management system in order to be considered for CNCS’s assessment of the strategic considerations (see Selection for Funding section.) Applicants should only check the boxes if the strategic consideration is a significant and if intentional part of their program design and implementation strategies are described in the application.

**b. Electronic Application Submission in CNCS’s web-based management system**
Applicants must submit applications electronically via CNCS’s web-based system. CNCS recommends that applicants create an account and begin the application at least three weeks before the deadline. Applicants should draft the application as a word processing document, then copy and paste the text into the appropriate field no later than ten days before the deadline.

The applicant’s authorized representative must be the person who submits the application. The authorized representative must be using CNCS’s web-based management system under his or her own account in order to sign and submit the application. A copy of the governing body’s authorization for this official representative to sign must be on file in the applicant’s office.

Contact the National Service Hotline at (800) 942-2677 or via Questions if a problem arises when creating an account or preparing or submitting the application. Be prepared to provide the application ID, organization’s name, and the Notice to which the organization is applying. If the issue cannot be resolved by the deadline, applicants must continue working with the National Service Hotline to submit their application via CNCS’s web-based management system.

If extenuating circumstances make it impossible for an applicant to submit in CNCS’s web-based management system, applicants may send a paper copy of the application via overnight carrier to the following address:
Corporation for National and Community Service
ATTN: Office of Grants Policy and Operations/AmeriCorps Application
250 E Street, SW, Suite 300
Washington, DC 20525
Please use a non-U.S. Postal Service carrier to avoid security-related delays. All deadlines and requirements in this Notice also apply to paper applications. Paper applications must include a cover letter detailing the circumstances that make it impossible to submit via CNCS’s web-based management system. CNCS does not accept applications submitted via fax or email.

**c. Submission of Additional Documents**

Applicants are required to submit the following additional documents by the application submission deadline:

All applicants
- Evaluation briefs, reports, studies. Please refer to the *Evidence Base* section and Mandatory Supplemental Guidance for detailed instructions by evidence tier.

Recompeting applications
- Evaluation report, if required. Please see the *Evidence Tiers* definitions in the Mandatory Supplemental Guidance and Section E. Evaluation Plan for further information.
- Labor union concurrence (if applicable)
- Federal debt delinquency (if applicable)

Rural Intermediaries (New and recompeting)
- Letters of support from the consortium members

Entities applying on behalf of a Federally Recognized Tribe (New and recompeting)
- Sanctioning resolution adopted by the tribal council of each Indian Tribe. (See the *Eligible Applicants* section.)

New and Recompeting applications
- Financial Management Survey (FMS) and supporting documents located on the CNCS website. Selected Financial Survey under the Application Certification Form section.

Additional Documents must be emailed to AdditionalDocuments@cns.gov with the labels outlined below. Emails should include the following information:
- Subject line: [Legal Applicant Name]–[Application ID number]
- Body of the email should identify:
  - The legal applicant name and its point of contact information
  - The application ID number
  - A list of documents that should be attached to the email

- Attachments to emails should include
  - Individually saved files that are clearly labeled
  - Each file should also include a header or title within the body of each additional document to include the legal applicant name and application ID number.

Do not submit other items not requested in this Notice or Application Instructions. CNCS will not review or return them.

**E. APPLICATION REVIEW INFORMATION**

1. Criteria

Each applicant must describe a project that will deploy AmeriCorps members effectively to solve a significant community problem. CNCS urges applicants to submit high quality applications that carefully follow the guidance in this Notice and in the Application Instructions. The quality of an application will be an important factor in determining whether an organization will receive funding.

**A. Executive Summary (Required - 0 percent)**

Please fill in the blanks of these sentences to complete the Executive Summary. Do not deviate from the template below.

The [Name of the organization] proposes to have [Number of] AmeriCorps members who will [service activities the members will be doing] in [the locations the AmeriCorps members will serve]. At the end of the first program year,
the AmeriCorps members will be responsible for [anticipated outcome of project]. In addition, the AmeriCorps members will leverage [number of leveraged volunteers, if applicable] who will be engaged in [what the leveraged volunteers will be doing].

This program will focus on the CNCS focus area(s) of [Focus Area(s)].* The CNCS investment of $[amount of request] will be matched with $[amount of projected match], $[amount of local, state, and federal funds] in public funding and $[amount of non-governmental funds] in private funding.

*If the program is not operating in a CNCS focus area, omit this sentence.

Fixed-Amount grant applicants (EAP, Full-time Fixed, No Cost Slots) should list their Other Revenue (see Mandatory Supplemental Guidance) because they are not required to provide a specific amount of match, but still raise significant additional resources to operate the program. CNCS will post all Executive Summaries of awarded grant applications on www.nationalservice.gov in the interest of transparency and Open Government.

B. Program Design (50 percent)

Reviewers will consider the quality of the application’s response to the criteria below. Do not assume all sub-criteria are of equal value.

I. Theory of Change and Logic Model (28 points)

The Theory of Change shall address:

- The proposed intervention is responsive to the identified community problem.
- The applicant’s proposed intervention is clearly articulated including the design, dosage, target population, and roles of AmeriCorps members and (if applicable) leveraged volunteers.
- The applicant’s intervention is likely to lead to the outcomes identified in the applicant’s theory of change.
- The expected outcomes articulated in the application narrative and logic model represent meaningful progress in addressing the community problem identified by the applicant.
- The rationale for utilizing AmeriCorps members to deliver the intervention(s) is reasonable.
- The service role of AmeriCorps members will produce significant contributions to existing efforts to address the stated problem.

The Logic Model shall depict:

- A summary of the community problem.
- The inputs or resources that are necessary to deliver the intervention, including but not limited to:
  - Locations or sites in which members will provide services
  - Number of AmeriCorps members who will deliver the intervention
- The core activities that define the intervention or program model that members will implement or deliver, including:
  - The duration of the intervention (e.g., the total number of weeks, sessions or months of the intervention)
  - The dosage of the intervention (e.g., the number of hours per session or sessions per week)
  - The target population for the intervention (e.g., disconnected youth, third graders at a certain reading proficiency level)
  - The measurable outputs that result from delivering the intervention (i.e. number of beneficiaries served, types and number of activities conducted.) If applicable, identify which National Performance Measures will be used as output indicators
  - Outcomes that demonstrate changes in knowledge/skill, attitude, behavior, or condition that occur as a result of the intervention. If applicable, identify which National Performance Measures will be used as outcome indicators.

Note: The logic model is a visual representation of the applicant’s theory of change. Programs may include short, medium or long-term outcomes in the logic model. Applicants are not required to measure all components of their theory of change. The applicant’s performance measures should be consistent with the program’s theory of change and should represent significant program activities.

In the application narrative, applicants should discuss their rationale for setting output and outcome targets for their performance measures.
Rationales and justifications should be informed by the organization’s performance data (e.g., program data observed over time that suggests targets are reasonable), relevant research (e.g. targets documented by organizations running similar programs with similar populations), or prior program evaluation findings.

Applicants with multiple interventions should complete one Logic Model chart which incorporates each intervention. Logic model content that exceeds three pages will not be reviewed.

2. Evidence Base (16 points)
The assessment of an applicant’s evidence base has two parts. First, the applicant will be assigned to an evidence tier (see the Mandatory Supplemental Guidance.) Second, the quality of the applicant’s evidence and the degree to which it supports the proposed program design will be assessed and scored.

Evidence Tier (8 points):
An evidence tier will be assessed for each applicant for the purpose of applying the strategic characteristics (moderate/strong evidence) and understanding the relative strength of each applicant’s evidence base and the likelihood that the proposed intervention will lead to outcomes identified in the logic model.

In 2018, the evidence tiers of successful AmeriCorps State and National applicants that were competing were as follows: Strong 9%, Moderate 5%, Preliminary 40%, and Pre-Preliminary 46%. As these figures indicate, CNCS values and funds programs at all points along the evidence continuum and expects programs to progress along the evidence continuum over time. Thus, do not be deterred from applying for funding due to your current evidence level.

Applicants who have evaluation reports of the same intervention described in the application (see Mandatory Supplemental Guidance for a definition of “same intervention”) may submit up to 2 of those reports, plus (if applicable) the evaluation report from their last three-year grant cycle, to qualify for the Preliminary, Moderate, or Strong evidence tier. In order to qualify for consideration, the intervention evaluated in the submitted report(s) must match the intervention proposed by the applicant in the following areas, all of which must be clearly described in the Program Design and Logic Model sections of the application:

• Characteristics of the beneficiary population
• Characteristics of the population delivering the intervention
• Dosage (frequency, duration) and design of the intervention
• The context in which the intervention is delivered
• Outcomes of the intervention

Submitted reports that do not sufficiently match the intervention proposed by the applicant in all of these areas will not be considered applicable and will not be reviewed or receive any points. Submission of additional documents that are not consistent with the guidance and requirements described in the Notice (e.g., advocacy pieces, policy briefs, other narratives that are not research studies or program evaluations) will not be reviewed.

In the Evidence Tier section of the application narrative, applicants must (1) summarize the study design and key findings of any evaluation report(s) submitted and (2) describe any other evidence that supports their program, including past performance measure data and/or other research studies that inform their program design. Applicants who submit evaluation reports for consideration must also describe in the Evidence Base section of the application narrative how the intervention described in the submitted reports is the same as the intervention described in the application (see Mandatory Supplemental Guidance).

Applicants should provide citations for the studies they describe, if applicable; however, reviewers will not review any documents external to the application other than evaluation report(s) submitted in accordance with the Notice instructions.

Applicants must meet all requirements of an evidence tier in order to be considered for that tier.

If the evaluation reports submitted by the applicant do not meet the definitions in the Mandatory Supplemental Guidance, the applicant may be considered for a lower evidence tier.
Evidence Quality (8 points)
After the applicant’s evidence tier has been assessed, the quality of the applicant’s evidence and the extent to which it supports the proposed program design will be assessed and scored.

For applicants who are assessed as being in the Preliminary, Moderate, or Strong evidence tiers, reviewers will score the submitted evaluation reports using the following standards:
- The submitted reports are of satisfactory methodological quality and rigor for the type of evaluation conducted (e.g., adequate sample size and statistical power, internal and/or external validity, appropriate use of control or comparison groups, etc.);
- The submitted reports describe evaluations that were conducted relatively recently, preferably within the last six years;
- The submitted reports show a meaningful and significant positive effect on program beneficiaries in at least one key outcome of interest.

For applicants who are assessed as being in the Pre-Preliminary evidence tier, reviewers will score the narrative provided in the Evidence Base section of the application using the following standards:
- The applicant uses relevant evidence, including past performance measure data and/or cited research studies, to inform their proposed program design;
- The described evidence is relatively recent, preferably from the last six years;
- The evidence described by the applicant indicates a meaningful positive effect on program beneficiaries in at least one key outcome of interest.

All applicants, including new grantees, are required to provide additional information in the Evaluation Summary or Plan field of the application (See Section E. Evaluation Plan); however, information provided in the Evaluation Summary or Plan field will not be scored and will not be reviewed until after funding decisions have been made.

3. Notice Priority (0 points)
- The applicant proposed program fits within one or more of the 2018 AmeriCorps funding priorities as outlined in the Funding Priorities section and more fully described in the Mandatory Supplemental Guidance and the proposed program meets all of the requirements detailed in the Funding Priorities section and in the Mandatory Supplemental Guidance.

4. Member Experience (6 points)
- AmeriCorps members will gain skills as a result of their training and service that can be utilized and will be valued by future employers after their service term is completed.
- The program will recruit AmeriCorps members from the geographic or demographic communities in which the programs operate.
- The applicant will foster an inclusive service culture where different backgrounds, talents, and capabilities are welcomed and leveraged for learning and effective service delivery.

C. Organizational Capability (25 percent)
Reviewers will consider the quality of the application’s response to the following criteria below. Do not assume all sub-criteria are of equal value.

1. Organizational Background and Staffing (9 points)
- The organization details the roles, responsibilities, and structure of the staff that will be implementing the AmeriCorps program as well as providing oversight and monitoring for the program.

2. Compliance and Accountability (8 points)
- The organization has a monitoring and oversight plan to prevent and detect non-compliance and enforce compliance with AmeriCorps rules and regulations including those related to prohibited and unallowable activities and criminal history checks at the grantee, subgrantee (if applicable), and service site locations.
- The CNCS-required evaluation report meets CNCS requirements (if applicable),
- The CNCS-required evaluation report is of satisfactory quality (if applicable).
3. Culture that Values Learning (6 points)
   • The applicant's board, management, and staff collect and use information, including performance data, for learning and decision making.

4. Member Supervision (2 points)
   • AmeriCorps members will receive sufficient guidance and support from their supervisor to provide effective service.
   • AmeriCorps supervisors will be adequately trained/prepared to follow AmeriCorps and program regulations, priorities, and expectations.

D. Cost Effectiveness and Budget Adequacy (25 percent)
Reviewers will assess the quality of the application’s budget to the following criteria below. Do not assume all sub-criteria are of equal value.

This criteria will be assessed based on the budget submitted. No narrative should be entered in the narrative box except for “See budget”

1. Cost Effectiveness and Budget Adequacy (25 points)
   • Budget is submitted without mathematical errors and proposed costs are allowable, reasonable, and allocable to the award.
   • Budget is submitted with adequate information to assess how each line item is calculated.
   • Budget is in compliance with the budget instructions.
   • Match is submitted with adequate information to support the amount written in the budget.
   • The budgeted match is equal to or more than the required match for the given program year.
   • The cost per MSY is equal to or less than the maximum cost per MSY.

Proposed budgets that contain MSY costs that exceed the maximum cost per MSY and/or less than required match will be considered unresponsive to the application criteria.

Applicants must complete the budget and ensure the following information is in the budget screens:
   • Current indirect rate cost if used to claim indirect/administrative costs.
   • Identify the non-CNCS funding and resources necessary to support the project, including for Fixed Amount applicants.
   • Indicate the amount of non-CNCS resource commitments, type of commitments (in-kind and/or cash), the sources of these commitments, and if the commitments are proposed or secured.

E. Evaluation Plan (Required for recompeting grantees - 0 percent)
If the applicant is competing for the first time, please provide a data collection plan in the “Evaluation Summary or Plan” field that includes the following:
   • A description of the applicant’s data collection system and how it is sufficient to collect high quality performance measurement data during the first three years of the grant. If the applicant does not yet have a data collection system, describe the plan and timeline for developing a high quality system.
   • A description of how the applicant will use performance data (including CNCS performance measures and other process and outcome measures if applicable) to improve its program in the first three years of funding.

First-time applicants should be aware that CNCS may require submission of data collection instruments if a grant is approved for funding. For more information about how to develop a high-quality data collection plan, visit the CNCS Knowledge Network.

If the applicant is recompeting for AmeriCorps funds for the first time (see definition of “recompeting” below) the program must submit its evaluation plan in the “Evaluation Summary or Plan” section of the Narratives field in CNCS’s web-based management system. If the applicant is recompeting for a subsequent time, the program must submit its evaluation report as an attachment (see the Submission of Additional Documents section for more information), and must also submit an evaluation plan for the next three-year period in the “Evaluation Summary or Plan” field in the system. Evaluations plans submitted outside of the system will not be reviewed.
Evaluation plans must include as much information as possible for each of the following (see Frequently Asked Questions: Evaluation, available at http://www.nationalservice.gov/resources/evaluation/cnscs-evaluation-policies):

- A short description of the theory of change - why the proposed intervention is expected to produce the proposed results
- Outcome(s) of interest - clear and measurable outcomes that are aligned with the theory of change and will be assessed during the evaluation
- Research questions to be addressed by the study - concrete research questions (or hypotheses) that are clearly connected to the outcomes
- Proposed research design for the evaluation including a rationale for the design selected, an assessment of its strengths and limitations, and a description of the main components;
- Description of the data sources, sampling methods, measurement tools, and data collection procedures that will be used in the evaluation
- Analysis plan that clearly describes the methodology(ies) that will be used to analyze the collected data
- A timeline for the evaluation that describes how the evaluation will cover at least one year of CNCS-funded activity and will be completed within the three-year timeframe of the grant
- Qualifications needed for the evaluator
- The proposed budget

Information provided in the Evaluation Summary or Plan field will not be scored and will not be reviewed until after funding decisions have been made.

For more information about evaluation plans visit the CNCS Knowledge Network’s Evaluation Resources: http://www.nationalservice.gov/resources/evaluation/planning-evaluation.

The evaluation requirements differ depending on the amount of the grant, as described in 45 CFR §2522.700-710:

- If the applicant is a State/Territory subgrantee and/or National Direct Grantee (other than an Education Award Program grantee), and its average annual CNCS program grant is $500,000 or more, it must arrange for an external impact evaluation of the program (see Mandatory Supplemental Guidance), and it must submit the evaluation with any subsequent application to CNCS for competitive funds as required in 45 CFR §2522.730.
- If the applicant is a State/Territory subgrantee and/or National Direct Grantee whose average annual CNCS program grant is less than $500,000, or an Education Award Program Grantee, it must conduct an internal or an external evaluation of the program, and it must submit the evaluation with any subsequent application to CNCS for competitive funds as required in 45 CFR §2522.730.

For purposes of compliance with evaluation plan and report requirements, an application will be considered a recompeting application if it satisfies the CNCS definition of “same project” (see Mandatory Supplemental Guidance) and has been funded competitively for at least three years. If the applicant has received competitive funding for at least three of the last five years, it will be required to submit an evaluation plan. If the project satisfied the definition of same project and the applicant has completed, including at least six years of competitive funding for the same project, the applicant will be required to submit an evaluation report as well as an evaluation plan for the upcoming 3 year grant. If the applicant does not satisfy the definition of recompeting, it will not be required to submit an evaluation plan or completed evaluation report.

The “Evaluation Summary or Plan” field of the Narrative does not count toward the page limit of the application; however, it does have a set character limit of 10,000 characters.

State/Territory subgrantees and/or National Direct Grantees that are recompeting for funds may be eligible to apply for approval of an alternative evaluation approach. Grantees requesting approval of an alternative evaluation approach should submit a request for approval of an alternative evaluation approach along with their evaluation plan in the Evaluation Summary or Plan field in the system. The request should clearly explain: (a) the evaluation constraints faced by the program, (b) why the proposed approach is the most rigorous option feasible, and (c) how the proposed alternative approach will help the grantee build their evidence base. Evaluation plans should include, at a minimum, the required elements listed in this Notice. The evaluation plan must be consistent with the information submitted in the competitive funding application and in the request for approval of an alternative evaluation approach. CNCS guidance on alternative evaluation approaches can be found on the CNCS website.
The “Evaluation Summary or Plan” field of the Narrative does not count toward the page limit of the application; however, it does have a set character limit of 10,000 characters. If the request for the alternative evaluation approach plus the Evaluation Plan itself will exceed the character limit of the Evaluation Summary or Plan field in the system, the applicant should do the following:

- Enter the Evaluation Plan in the Evaluation Summary or Plan field in the system.
- Include a note in the Evaluation Summary or Plan field stating that the applicant is requesting an alternative evaluation approach.
- Include the alternative evaluation approach at the END of the application narrative with the heading “REQUEST FOR ALTERNATIVE EVALUATION APPROACH.” This section of the application narrative will not count against the page limit.

F. Amendment Justification (0 percent)
Enter N/A. This field will be used if the applicant is awarded a grant and needs to amend it.

G. Clarification Information (0 percent)
Enter N/A. This field will be used to enter information that requires clarification in the post-review period.

H. Continuation Changes (0 percent)
Enter N/A. This field will be used to enter changes in the application narratives in continuation requests.

2. Review and Selection Process
CNCS will make determination about applicants utilizing the data provided within the application and supplemental materials. These determinations may be different than what the applicant self-determined upon submission of its application.

a. Compliance and Eligibility Review
CNCS staff will conduct a Compliance Review to determine if an application meets the compliance requirements published in this Notice and advances to the next stage of the review process. Applications determined non-compliant will not be considered for funding. An application is compliant if the applicant:

- Is an eligible organization
- Is eligible to submit directly to CNCS
- Submits an application by the submission deadline

Reviewing for eligibility is intended to ensure that only those applications that are eligible for award are further reviewed. However, a determination of eligibility can take place at any point during the application review and selection process. Applicants that are determined to be non-compliant and ineligible will not receive an award.

b. Review
Each application will be assessed by several CNCS staff. Staff reviewers will evaluate the application using the application review criteria, consider the comprehensiveness and feasibility of the application, and assess the priorities and strategic considerations detailed in the Notice. External Reviewers will review and assess the evidence base criteria in the Notice. All reviewers will be screened for conflicts of interest.

c. Post Review Quality Control
After the reviewers complete their assessment, CNCS staff will review the results for fairness and consistency. Some applications may be selected for a Quality Control assessment. This additional level of review may be used to assess applications ranked first by a Commission that received low scores (unless the Commission only submitted one application) and applications for which there are significant panel anomalies. In addition, the Commission input on National Direct applications will be reviewed and assessed.

d. Risk Assessment Evaluation
CNCS staff will evaluate the risks to the program posed by each applicant, including conducting due diligence to ensure an applicant's ability to manage federal funds and the applicant’s past performance (if applicable.) This evaluation is in addition to the evaluation of the applicant’s eligibility for funding and the quality of its application on the basis of the
Selection Criteria. Results from this evaluation will inform funding decisions. If CNCS determines that an award will be made, special conditions that correspond to the degree of risk assessed may be applied to the award. In evaluating risks, CNCS may consider the following, but not limited to:

- Financial capability and capacity to manage Federal funds
- Quality of financial management systems and ability to meet the administrative standards prescribed in applicable OMB Guidance
- History of performance as reflected in the applicant’s record in managing previous CNCS awards, cooperative agreements, or procurement awards, including:
  - Timeliness of compliance with applicable reporting requirements,
  - If applicable, meeting matching requirements, and
  - If applicable, the extent to which any previously awarded amounts will be expended prior to future awards
- Information available through any OMB-designated repositories of government-wide eligibility qualification or financial integrity information, such as—
  - Federal Awardee Performance and Integrity Information System (FAPIIS),
  - U.S. Treasury Bureau of Fiscal Services
  - Dun and Bradstreet,
  - “Do Not Pay,” and/or
  - System for Award Management
- Reports and findings from single audits performed under Uniform Administrative Guidance and findings of any other available audits
- IRS Tax Form 990
- An applicant organization's annual report
- Publicly available information including information from an applicant organization's website
- Any other information listed in 45 CFR § 2521.140
- The applicant’s ability to effectively implement statutory, regulatory, or other requirements.
- Responses to the Financial Management Survey and supporting documentation.
- Past Performance

Commission staff should review the same risks for the applicants they submit.

Commission staff will assess their recompeting subgrantees’ past performance and submit those assessments to CNCS. CNCS will assess its recompeting direct grantees related to past performance. This assessment is in addition to the evaluation of the applicant’s eligibility for funding or the quality of its application on the basis of the Selection Criteria. Results from this assessment will inform funding decisions. In evaluating programmatic performance, CNCS will consider the following for applicants that are current formula and competitive grantees:

- Grant progress reports – attainment of Performance Measures
- Enrollment and retention
- Compliance with 5 day enrollment and 30 day exit requirements in the AmeriCorps portal
- Site visit or other monitoring findings (if applicable)
- OIG findings (if applicable)
- Significant opportunities and/or risks of the grantee related to national service
- Commission Rank

Additionally, CNCS may use the results of the review of the risk assessment evaluation in determining which applications to fund. If CNCS concludes that the reasons for applicants having poor risk assessment evaluations are not likely to be mitigated, those applications may not be selected for funding.

e. Consideration of Integrity and Performance System Information

Prior to making any award that exceeds $150,000, CNCS is required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM and FAPIIS (see 41 U.S.C. 2313). Additionally CNCS may expand upon these requirements and use its discretion to review and consider information about any applicant receiving an award, including those under $150,000.
Any applicant, at its option, may review information in the designated integrity and performance systems accessible through FAPIIS and comment on any information about itself that a Federal awarding agency previously entered and is currently in the designated integrity and performance system accessible through FAPIIS;

CNCS will consider any comments by any applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing its review of risk posed by the applicant under the Risk Assessment Evaluation section of this Notice.

f. Applicant Clarification
Some applicants may receive requests to provide clarifying information and/or make changes to their application including changes to the budget. This information is used by CNCS staff in making funding recommendations. Applications may be recommended for funding even if they are not asked clarification questions. A request for clarification does not guarantee a grant award. Failure to respond to requests for clarification adequately and in a timely manner may result in the removal of applications from consideration.

g. Selection for Funding
The assessment of applications involves a wide range of factors and considerations. CNCS staff will apply their experience and expertise in evaluating and recommending applications. CNCS will engage external reviewers to provide insight and input with respect to the evidence base of eligible applications. In the end, the review and selection process will produce a diversified set of high-quality programs that reflect the priorities and strategic consideration described in this Notice.

Specifically, the review and selection process will:
A. Identify how well eligible applications are aligned with application review criteria and/or represent relative risk and/or opportunity.

B. Yield a diversified portfolio based on the following strategic considerations:
   • Meaningful representation of
     • Geographic diversity
     • Rural communities (see definition in Mandatory Supplemental Guidance)
     • Single and multi-state programs
     • Faith-based organizations
   • CNCS Notice Priorities representation
   • Focus area representation
   • Moderate and Strong evidence levels
     • Program models that demonstrate a new approach to solving a community problem based on thorough review of existing research and evaluation about existing approaches to the problem

Based on the evaluation of these strategic considerations, applications may be selected for funding over applications with a greater degree of alignment with the review criteria. In selecting applicants to receive awards under this Notice, the Chief Executive Officer will endeavor to include a diverse portfolio of applications based on staff evaluations, recommendations, priorities, and strategic considerations.

CNCS reserves the right to adjust or make changes to the review process, in the event that unforeseen challenges or exigent circumstances make it impossible, impractical or inefficient to execute the review process as planned. Any such adjustments or changes will not affect the selection criteria that will be used to assess applications submitted to CNCS in response to this Notice.

4. Feedback to Applicants
Following grant awards, each applicant will receive summary comments pertaining to their application. Feedback will be based on the review of the original application and will not reflect any information that may have been provided in
response to applicant clarification, if any. All compliant applications will receive feedback from the external review of the evidence base portion of their application.

5. Transparency in Grant-making
CNCS is committed to transparency in grant-making. The following information for new and re-competing applications will be published on CNCS Results of Grant Competitions within 90 business days after all grants are awarded:

- A list of all compliant applications submitted
- Executive Summaries of all compliant applications submitted by the applicants
- Data extracted from the SF-424 Face Sheet and the submitted program narratives for successful applications
- A blank template of the external review worksheet
- A list of all external reviewers that completed the review process
- A summary of external reviewer comments for successful applications.

F. FEDERAL AWARD ADMINISTRATION INFORMATION
1. Federal Award Notices
CNCS will make awards following the grant selection announcement. Please see the Important Dates section at the beginning of this NOFO for the date, contingent on timely full year appropriations. Applicants will be notified of funding decisions via email. This notification is not an authorization to begin grant activities. The Notice of Grant Award signed by the Grant Officer is the only document authorizing awardees to commence grant activities. Unsuccessful applicants will also receive a notification that their application was not approved for funding.

An awardee may not obligate or expend federal funds until the start of the Project Period identified on the Notice of Grant Award.

2. Administrative and National Policy Requirements
   a. Uniform Guidance
      All awards under this Notice are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance), now consolidated in 2 CFR Parts 200 and 2205.

   b. Requests for Improper Payment Information
      CNCS may, from time to time, request documentation from recipients in order to monitor the award or to comply with other legal requirements, such as the Improper Payments Information Act of 2002, as amended. Failure to make timely responses to these requests may result in award funds being placed on temporary manual hold, reimbursement only, or other remedies as appropriate. In addition, CNCS may, in appropriate circumstances, consider in its funding decisions any previous failures to make timely responses.

   c. CNCS Terms and Conditions
      All awards made under this Notice will be subject to the 2019 CNCS General Terms and Conditions, and the 2019 AmeriCorps Program Specific Terms and Conditions. These Terms and Conditions contain detailed, mandatory compliance and reporting requirements. Current versions of the CNCS General and Specific Terms and Conditions for each of its programs is available at https://www.nationalservice.gov/resources/terms-and-conditions-cnsc-grams.

3. National Service Criminal History Check Requirements
   The National Service Criminal History Check (NSCHC) is a screening procedure established by law to protect the beneficiaries of national service. The law requires recipients to conduct and document NSCHCs on any person (including award-funded staff, national service participant, or volunteer) receiving a salary, living allowance, stipend, or education award through a program receiving CNCS funds. This includes staff that receive part of their salary through a subgrant. An individual is ineligible to serve in a position that receives such CNCS funding if the individual is registered, or required to be registered, as a sex offender or has been convicted of murder. The cost of conducting NSCHCs is an allowable expense under the award. Please budget at least $54 plus the cost of a state check per covered individual (staff and members) on the CNCS share of the budget.

   Unless CNCS has provided a recipient with a written exemption or written approval of an alternative search procedure, recipients must perform the following checks—
All award-funded staff, national service participants, and volunteers must undergo NSCHCs that include:

- A nationwide name-based search of the National Sex Offender Public Website (NSOPW) and
- Either
  - A name- or fingerprint-based search of the statewide criminal history registry in the person’s state of residence and in the state where the person will serve/work; or
  - A fingerprint-based FBI criminal history check.

**Special Rule for Persons Serving Vulnerable Populations.** Award-funded staff, national service participants, and volunteers with recurring access to vulnerable populations (i.e., children age 17 or younger, individuals age 60 or older, or individuals with disabilities) must undergo NSCHCs that include:

- A nationwide name-based check of the NSOPW; and
- Both
  - A name- or fingerprint-based search of the statewide criminal history registry in the person’s state of residence and in the state where the person will serve/work; and
  - A fingerprint-based FBI criminal history check.

See 45 CFR § 2540.200–§ 2540.207 and CNCS Criminal History Check Resources for complete information and FAQs.

### 4. Use of Material

To ensure that materials generated with CNCS funding are available to the public and readily accessible to grantees and non-grantees, CNCS reserves a royalty-free, nonexclusive, and irrevocable right to obtain, use, modify, reproduce, publish, or disseminate publications and materials produced under the award, including data, and to authorize others to do so (2 CFR §200.315 (b)).

### 5. Reporting

Recipients are required to submit a variety of reports which are due at specific times during the life cycle of an award. All reports must be accurate, complete, and submitted on time.

Cost reimbursement grantees are required to provide mid-year, end of year, and final progress reports, semi-annual financial reports, and an internal or external evaluation report as required by the AmeriCorps regulations 45 CFR §§2522.500-2522.540 and §§2522.700-2522.740. A final financial report is due at the end of the grant.

Fixed Amount grantees are required to provide mid-year, end of year, and final progress reports and an internal or external evaluation report. All grantees, including Fixed Amount grantees, submit quarterly financial reports to the U.S. Department of Health and Human Services Payment Management System.

Award recipients will be required to report at www.FSRS.gov on all subawards over $25,000 and may be required to report on executive compensation for your organization and for your subgrantees. Recipients and subrecipients must have the necessary systems in place to collect and report this information. See 2 CFR Part 170 for more information and to determine how these requirements apply.

Once the grant is awarded, recipients will be expected to have data collection and data management policies and practices that provide reasonable assurance that they are providing CNCS with high quality programmatic and financial data. At a minimum, grantees should have policies and practices which address the following five aspects of data quality:

- The grantee measures what it intends to measure;
- The grantee collects data in a consistent manner;
- The grantee takes steps to correct data errors;
- The grantee ensures that the data reported is complete; and
- The grantee actively reviews data prior to submission.

**Progress Report Data**

In addition to semi-annual reporting of progress toward the grant’s approved performance measure outputs and outcomes, additional demographic and narrative data must be reported annually (as applicable), including the number of applicants for AmeriCorps positions and the number of volunteers recruited and/or supported by AmeriCorps members.
(both episodic and ongoing volunteers.) The full list of required and optional demographic indicators for AmeriCorps programs can be found on the AmeriCorps Grantee Progress Report webpage.

Failure to submit accurate, complete, and timely required reports may affect the recipient’s ability to secure future CNCS funding.

5. Continuation Funding Information and Requirements
Organizations that have current AmeriCorps awards that do not end in FY18 must submit an application in order to be eligible to receive funding for the following year. Please see the Application Instructions. Requests by existing continuation applicants for increases in the level of funding or number of positions will be assessed using the criteria under this Notice.

G. FEDERAL AWARDING AGENCY CONTACT(S)
This Notice is available at http://www.nationalservice.gov/build-your-capacity/grants/funding-opportunities. The TTY number is 800-833-3722. For further information or for a printed copy of this Notice, call (202) 606-7508. For questions regarding multi-state or Indian Tribes applications submitted directly to CNCS, please call (202) 606-7508 or send an email to americorpsgrants@cns.gov. Questions about single state applications should be addressed to the respective Commission. A list of all Commissions can be found here: http://www.nationalservice.gov/about/contact-us/state-service-commissions. CNCS’ mailing address is:
Corporation for National and Community Service
ATTN: Office of Grants Policy and Operations/ASN Application
250 E St SW
Washington, DC 20525

H. OTHER INFORMATION
1. Technical Assistance
In addition to consulting the Application Instructions, Mandatory Supplemental Guidance, Performance Measure Instructions, and AmeriCorps regulations as directed in this Notice, Commissions and National Direct applicants are encouraged also to consult the CNCS web site for a schedule of technical assistance conference calls, and Frequently Asked Questions that are updated during the competition period. Applicants in a single state should consult with their Commission.

2. Reallocation of Funding
CNCS reserves the right to reallocate funding in the event of disaster or other compelling need for service.
APPLICATION INSTRUCTIONS

State and National Competitive New and Continuation

As applicable to the Notice of Funding Opportunity or Notice of Funding Availability

OMB Control #: 3045-0047
Expiration Date: 06/30/2020
IMPORTANT NOTICE

These application instructions conform to the Corporation for National and Community Service’s online grant application system, eGrants. All funding announcements by the Corporation for National and Community Service (CNCS) are posted on www.nationalservice.gov and www.grants.gov.

Public Burden Statement: Public reporting burden for this collection of information is estimated to average 80 hours per submission, including reviewing instructions, gathering and maintaining the data needed, and completing the form. Comments on the burden or content of this instrument may be sent to the Corporation for National and Community Service, Attn: Jennifer Bastress Tahmasebi, 250 E Street, SW, Suite 300, Washington, DC 20525. CNCS informs people who may respond to this collection of information that they are not required to respond to the collection of information unless the OMB control number and expiration date displayed on page one are current and valid. (See 5 CFR 1320.5(b)(2)(i).)

Privacy Act Notice: The Privacy Act of 1974 (5 U.S.C § 552a) requires that the following notice be provided to you: The information requested on the AmeriCorps Application Instructions is collected pursuant to 42 U.S.C. §§ 12581 - 12585 of the National and Community Service Act of 1990 as amended, and 42 U.S.C. § 4953 of the Domestic Volunteer Service Act of 1973 as amended. Purposes and Uses - The information requested is collected for the purposes of reviewing grant applications and granting funding requests. Routine Uses - Routine uses may include disclosure of the information to federal, state, or local agencies pursuant to lawfully authorized requests. In some programs, the information may also be provided to federal, state, and local law enforcement agencies to determine the existence of any prior criminal convictions. The information may also be provided to appropriate federal agencies and Department contractors that have a need to know the information for the purpose of assisting the Department’s efforts to respond to a suspected or confirmed breach of the security or confidentiality or information maintained in this system of records, and the information disclosed is relevant and unnecessary for the assistance. Executive Summaries of all compliant applications received and applications of successful applicants will be published on the CNCS website as part of ongoing efforts to increase transparency in grantmaking. This is described in more detail in the Notice of Federal Funding Opportunity. The information will not otherwise be disclosed to entities outside of AmeriCorps and CNCS without prior written permission. Effects of Nondisclosure - The information requested is mandatory in order to receive benefits.

Federal Funding Accountability and Transparency Act: Grant recipients will be required to report at www.FSRS.gov on all subawards over $25,000 and may be required to report on executive compensation for recipients and subrecipients. Recipients must have the necessary systems in place to collect and report this information. See 2 C.F.R. Part 170 for more information and to determine how these requirements apply.

Indirect Cost Rates:
CNCS allows applicants to include indirect costs in application budgets. Based on qualifying factors, applicants have the option of using a federally approved indirect cost rate, a 10% de minimis rate of modified total direct costs, or may claim certain costs directly as outlined in 2 CFR § 200.413 Direct costs. Applicants who hold a federal negotiated indirect cost rate must use that rate in lieu of the AmeriCorps 5/10% allocation of administrative costs.

Applicants who hold a state or federal negotiated indirect cost rate or will be using the 10% de minimis rate must enter that information in the Organization section in eGrants (See Attachment J).

Universal Identifier: Applications must include a Dun and Bradstreet Data Universal Numbering System (DUNS) number and register with the Central Contractor’s Registry (CCR). All grant recipients are required to maintain a valid registration, which must be renewed annually.
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### ATTACHMENTS

*These attachments are worksheets only. All information must be entered in eGrants.*

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APPLICATION RESOURCES

Please use these application instructions if you are a new or recompeting applicant to CNCS.

If you are submitting a request for continuation to CNCS, please see the Continuation Instructions in this document.

Use these instructions in conjunction with the Notice of Federal Funding Opportunity (Notice), and the AmeriCorps Regulations, 45 CFR §§ 2520–2550. The Notice includes deadlines, eligibility requirements, submission requirements, maximum amount of funding per Member Service Year (MSY),¹ and other information that is specific to the grant competition.

The AmeriCorps regulations include pertinent information (see Table 1, below). The Notice can be found at http://www.nationalservice.gov/build-your-capacity/grants/funding-opportunities.

The full regulations are available online at: https://www.gpo.gov/fdsys/browse/collectionCfr.action?collectionCode=CFR

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If there is any inconsistency between the AmeriCorps Regulations, the Notice, and the Application Instructions, the order of precedence is as follows:

1. AmeriCorps Regulations 45 CFR §§ 2520–2550 take precedence over the
2. Notice of Federal Funding Opportunity/Notice of Federal Funding Availability, which takes precedence over the
3. Application Instructions.

SUBMITTING YOUR APPLICATION IN EGRANTS

New and Recompeting Applicants

New applicants need to establish an eGrants account by accessing this link: https://egrants.cns.gov/espan/main/login.jsp and selecting “Don’t have an eGrants account? Create an account.”

In eGrants, before Starting Section I you will need to:
- Start a new Grant Application
- Select a Program Area (AmeriCorps)
- Select a NOFA (see the Notice for a listing)

Your application consists of the following components. Make sure to complete each section.
I. Applicant Info
II. Application Info

¹ One MSY is equivalent to at least 1700 service hours, a full-time AmeriCorps position. The CNCS cost per MSY is determined by dividing the CNCS share of budgeted grant costs by the number of MSYs requested in the application. It does not include childcare or the cost of the education award.
III. Narratives

IV. Logic Model

V. Performance Measures

VI. Program Information

VII. Documents

VIII. Budget

IX. Funding/Demographics

X. Review

XI. Authorize, and Submit

I. Applicant Info

Information entered in the Applicant Info, Application Info, and Budget sections will populate the SF 424 Facesheet. **If you can only submit your application in hard copy, please contact CNCS.**

- If you are recompeting (in the final year of a competitive funding cycle and applying for a new grant cycle), select **Continuation/Renewal**
- If you are not a current grantee, but have received a competitive AmeriCorps grant in the past five years, select **Continuation/Renewal**
- If you are applying for the first time, have only received formula funding in the past, or are a former grantee (non-formula) whose last AmeriCorps grant was received more than five years ago, select **New**
- If you are a current planning grantee applying for an implementation grant, select **New**

Enter or update the requested information in the fields that appear. The contact person needs to be the person who can answer questions about the application.

II. Application Info

In the Application Info Section enter:

- Areas affected by your proposed program. Please include the two-letter abbreviation with both letters capitalized for each state where you plan to operate. Separate each two-letter state abbreviation with a comma. For city or county information, please follow each one with the two-letter capitalized state abbreviation.
- Requested project period start and end dates. The length of the project period is specified in the **Notice**.
- State Application Identifier: Enter N/A.
- The Application is Subject to Review by State Executive Order 12372 Process: This is pre-filled as “No, this is not applicable.”
- Indicate Yes or No if you are delinquent on any federal debt. If yes, provide an explanation.
- Request a waiver: use this link to request a waiver to the volunteer generation requirement.

III. Narratives

The narrative section of the application is your opportunity to convince reviewers that your project meets the selection criteria as outlined in the **Notice**. Below are some general recommendations to help you present your project in a way the reviewers will find compelling and persuasive.

- **Lead from your program strengths and be explicit.** Do not make the mistake of trying to stretch your proposed program description to fit funding priorities and special considerations articulated in the regulations or the **Notice**.
- **Be clear and succinct.** Do not use jargon, boilerplate, rhetoric, or exaggeration. Describe clearly what you intend to do and how your project responds to the selection criteria.
- **Avoid circular reasoning.** The problem you describe should not be defined as the lack of the solution you are proposing.
- **Explain how.** Avoid simply stating that the criteria will be met. Explicitly describe how the proposed project will meet the criteria.
• **Don’t make assumptions.** Even if you have received funding from CNCS in the past, do not assume your reviewers know anything about you, your proposed program, your partners, or your beneficiaries. Avoid overuse of acronyms.

• **Use an impartial proofreader.** Before you submit your application, let someone who is completely unfamiliar with your project read and critique the project narrative.

• **Follow the instructions and discuss each criterion in the order they are presented in the instructions.** Use headings to differentiate narrative sections by criterion.

In eGrants, you will enter text for

- Executive Summary
- Rationale and Approach (Program Design)
- Organizational Capability
- Cost Effectiveness and Budget Adequacy
- Evaluation Summary or Plan

Note: The Narratives Section also includes fields for Clarification Summary, Amendment Justification, and Continuation Changes. Please enter N/A in these fields. They will be used at a later date to enter information for clarification following review, to request amendments once a grant is awarded, and to enter changes in the narrative in continuation requests.

Reviewers will assess your application against the selection criteria. To best respond to the criteria listed in the Notice and Application Instructions, we suggest that you include a brief discussion of each bullet if it pertains to your application.

**A. Executive Summary**
Please complete the executive summary per the guidance in the Notice.

**B. Selection Criteria**
Each application must clearly describe a project that will effectively deploy AmeriCorps members to solve a significant community problem. specifics about the selection criteria are published in the Notice.

1. **Program Design (50 percent)**
   In assessing Rationale and Approach/Program Design, reviewers will examine the degree to which the applicant demonstrates how AmeriCorps members and the proposed intervention are particularly well-suited to addressing the identified community problem.

2. **Organizational Capability (25 percent)**
   Reviewers will assess the extent to which the applicant demonstrates organizational background and staffing and structures, including compliance and accountability, to support the proposed program.

3. **Cost Effectiveness and Budget Adequacy (25 percent)**
   In assessing Cost Effectiveness and Budget Adequacy, reviewers will examine the degree to which the budget is cost effective and appropriate for the program being proposed. Enter N/A in the narrative as the assessment will be of the budget.

**C. Evaluation Summary or Plan**
If you are competing for the first time, please provide your data collection plan in the Evaluation Summary or Plan field. If you are recompeting for AmeriCorps funds, please provide your Evaluation Plan. Please follow the guidance in the Notice.

**D. Amendment Justification**
Enter N/A. This field will be used if you are awarded a grant and need to amend it.

**E. Clarification Information**
Enter N/A. This field may be used to enter information that requires clarification in the post-review period. Please clearly label new information added during clarification with the date.

F. Continuation Changes
Enter N/A. This field will be used to enter changes in your narratives in your continuation requests.

IV. Logic Model
Complete the logic model using the guidance provided in the Notice.

To begin entering your logic model, from your eGrants application page select “Logic Model” in the left side navigation menu.

In the first blank row of the logic model, click “edit.” Clicking this link will open a pop-up screen with fields for each column of the logic model. Complete any fields that are applicable; there are no required fields in this screen. When you are finished, click “save and close.”

You may add an unlimited number of rows to the logic model by clicking “add a new row.” However, please be mindful of any page limits specified elsewhere in the Application Instructions or Notice.

You may edit or delete an existing row by clicking “edit” or “delete” in the last column of the logic model.

V. Performance Measures
All applicants must submit performance measures with their application. See Attachments for instructions for entering performance measures. CNCS may use the performance measures separately from the grant narrative after grant-making. Thus all information requested in the National Performance Measure Instructions must be included in the text of the performance measures themselves, and it must be evident in the performance measure text that all definitions and requirements outlined in the National Performance Measures Instructions and NOFO FAQs are met. Should an applicant choose to provide information about performance measures in the narrative, this information will also need to be in the performance measures module.

VI. Program Information
In the Program Information Section, applicants must check the relevant boxes in order to be considered for CNCS’ assessment of the strategic considerations and Special Initiatives. Applicants should only check the boxes for those characteristics that represent a significant part of the program.

General Information: select either Yes or No from the drop-down menu
- My organization has received an AmeriCorps State and National Grant. Organizations that have been a host site for AmeriCorps members but never had a direct grant relationship with either a State Commission or CNCS should answer No.
- The organization has sufficiently engaged community members and partner organizations in planning and implementing its intervention.
- My organization certifies that it will comply with definitions and requirements outlined in the Performance Measure Instructions.

AmeriCorps Funding Priorities
Check any priority area(s) that apply to the proposed program. Only select Priorities that represent a significant part of the program focus, high quality program design, and outcomes.
- Economic Opportunity - increasing economic opportunities for communities by engaging opportunity youth to prepare them for the workforce
- Education – 13 evidence-based interventions
- Healthy Futures - reducing and/or preventing prescription drug and opioid abuse
- Veterans and Military Families - positively impacting the quality of life of veterans and improving military family strength
• Rural intermediaries that demonstrate measurable impact and primarily serve communities with limited resources and organizational infrastructure.
• Safer Communities – programs that focus on public safety, and/or partnerships between law enforcement and the community
• Faith-based organization
• No NOFO priority area

Grant Characteristics
Check any grant characteristics that are a significant part of the proposed program:
• Faith-based organizations
• SIG/Priority Schools
• Professional Corps
• STEM Program
• Geographic Focus – Urban
• Geographic Focus – Rural
• None of the above grant characteristics

AmeriCorps Identity/Co-branding Questions. Select Yes or No.
• AmeriCorps members will wear the AmeriCorps logo every day.
• AmeriCorps members will create and deliver “elevator speeches.”
• The AmeriCorps logo will be prominently displayed on the front page of the organization’s website.

VII. Documents
In addition to the application submitted in eGrants, you are required to provide your evaluation, labor union concurrence (if necessary – see B., below), other required documents listed in the Notice (if applicable), and an explanation of federal debt delinquency (if applicable), as part of your application. After you have submitted the documents via the guidance in the Notice, change the status in eGrants from the default “Not Sent” to the applicable status “Sent,” “Not Applicable,” or “Already on File at CNCS.”

A. Evaluation
Submit any completed report as described in F., below. Select Evaluation and select “Sent” once you have submitted a completed evaluation report. If an evaluation is required, you must submit a copy at the time of application even if CNCS may already have it on file.

B. Labor Union Concurrence
1) If a program applicant—
   a) Proposes to serve as the placement site for AmeriCorps members; and
   b) Has employees engaged in the same or substantially similar work as that proposed to be carried out by AmeriCorps members; and
   c) Those employees are represented by a local labor organization
   --then the application must include the written concurrence of the local labor organization representing those employees. Written concurrence can be in the form of a letter or e-mail from the local union leadership.

2) If a program applicant:
   a) Proposes to place AmeriCorps members at sites where they will be engaged in the same or substantially similar work as employees represented by a local labor organization, then the applicant must submit a written description of how it will ensure that:
      i) AmeriCorps members will not be placed in positions that were recently occupied by paid staff.
      ii) No AmeriCorps member will be placed into a position for which a recently resigned or discharged employee has recall rights as a result of a collective bargaining agreement, from which a recently resigned or discharged employee was removed as a result of a reduction in force, or from which a recently resigned/discharged employee is on leave or strike.
For the purposes of this section, “program applicant” includes any applicant to CNCS or a State Commission, as well as any entity applying for assistance or approved national service positions through a CNCS grantee or subgrantee.

C. Federally-approved Indirect Cost Agreement
Applicants applying directly to CNCS that include a federally approved indirect cost rate amount in their budget must enter the current approved indirect cost rate agreement information into eGrants at the same time they submit their application. See Attachment J.

D. Other Documents
Provide other required documents list in the Notice (if applicable) via the email listed in the Notice, as part of your application.

E. Delinquent on Federal Debt
Any applicant who checks Yes to the question on federal debt delinquency must submit a complete explanation to Additionaldocuments@cns.gov at the same time they submit their application.

F. Submission Instructions for Evaluations, Labor Union Concurrence, Other Required Documents, and Explanation of Federal Debt Delinquency, as applicable.
Please submit the required documents to the email listed in the Notice using the naming convention and other instructions found there. This information must be received at CNCS by the deadline in the Notice.

VIII. Budget Instructions

For Fixed Amount grants, including EAPs: Use the Budget Instructions for Fixed Amount applicants (Attachment) and the Budget Worksheet (Attachment) to prepare your budget.

A. Match Requirements
Program requirements, including requirements on match are located in the AmeriCorps Regulations and summarized below.

<table>
<thead>
<tr>
<th>Grant Type</th>
<th>Match Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cost Reimbursement including Professional Corps, States and Territories without Commissions, Indian Tribes</td>
<td>Minimum grantee share is 24% of program costs for the first three years. Overall grantee share of total program costs increases gradually beginning in Year 4 to 50% by the tenth year of funding and any year thereafter.</td>
</tr>
<tr>
<td>EAP Fixed-Amount Grants</td>
<td>There are no specific match requirements for fixed-amount grants. Grantees pay all program costs over the cost in the NOFO provided by CNCS.</td>
</tr>
<tr>
<td>Professional Corps Fixed-Amount Grants</td>
<td>There are no specific match requirements for fixed-amount grants. Grantees pay all program costs over the cost in the NOFO provided by CNCS.</td>
</tr>
<tr>
<td>Stipended Fixed-Amount Grants</td>
<td>There are no specific match requirements for full-time fixed-amount grants. Grantees pay all program costs over the maximum cost in the NOFO provided by CNCS.</td>
</tr>
</tbody>
</table>

- Grantees are required to meet an overall matching rate that increases over time. You have the flexibility to meet the overall match requirements in any of the three budget areas, as long as the minimum match of 24% for the first three years, and the increasing minimums in years thereafter, are maintained. See 45 CFR §§ 2521.35–2521.90 for the specific regulations.
- If you are applying for the first time, you must match with cash or in-kind contributions at least 24% of the project’s total Operating Costs (Section I) plus Member Costs (Section II) plus Administrative Costs (Section III). If you are recompeting, please see 45 CFR §§ 2521.40-2521.95 for the match schedule.
The acceptable sources of matching funds are federal, state, local, and/or private sector funds in accordance with applicable AmeriCorps requirements.

In the “Source of Funds” field that appears at the end of Budget Section III, enter a brief description of the match. Identify each match source separately. Identify if the match is secured or proposed. Include dollar amount, the match classification (cash or in-kind), and the source type (Private, State/Local, or Federal) for your entire match. (The total amount in the Source of Funds field should match the total amount in the budget narrative exactly.) Define all acronyms the first time they are used. See Attachment G for instructions for applying for the Alternative Match Schedule.

Note: CNCS legislation permits the use of non-CNCS federal funds as match for the grantee share of the budget. Please discuss your intention of using federal funds to match an AmeriCorps grant with the other agency prior to submitting your application. Section 121(e)(5) of the National Community Service Act requires that grantees who use other federal funds as match for an AmeriCorps grant report the amount and source of these funds to CNCS. If you use other federal funds as match, you must ensure you can meet the requirements and purpose of both grants. Grantees who use federal funds as match will be required to report the sources and amounts on the Federal Financial Report (FFR).

B. Preparing Your Budget
Your proposed budget should be sufficient to allow you to perform the tasks described in your narrative. Reviewers will consider the information you provide in this section in their assessment of the Cost-Effectiveness and Budget Adequacy selection criterion.

Follow the detailed budget instructions in the Attachments to prepare your budget. We recommend that you prepare your budget in the same order as indicated in the Budget Worksheets in the Attachments.

As you enter your detailed budget information, eGrants will automatically populate a budget summary and budget narrative report. Prior to submission be sure to review the budget checklist (Attachment) to ensure your budget is compliant. In addition, eGrants will perform a limited compliance check to validate the budget. If eGrants finds any compliance issues you will receive a warning and/or error messages. You must resolve all errors before you can submit your budget.

As you prepare your budget:
- All the amounts you request must be defined for a particular purpose. Do not include miscellaneous, contingency, or other undefined budget amounts.
- Itemize each cost and present the basis for all calculations in the form of an equation.
- Do not include unallowable expenses, e.g., entertainment costs (which include food and beverage costs) unless they are justified as an essential component of an activity.
- Do not include fractional amounts (cents).
- Please add $54 plus the cost of the state check for criminal history checks for each covered position in the CNCS share of the budget.

Programs must comply with all applicable federal laws, regulations, and the requirements of the Uniform Guidance. Please refer to the Uniform Guidance, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200) for allowable, allocable, and reasonable cost information, as well as, audit requirements, including the need to provide audits to the Clearinghouse if expending over $750,000 in federal funds as required in the OmniCircular. The OMB Uniform Guidance can be found on-line at https://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cf200_main_02.tpl

IX. Funding/Demographics
In the Funding/Demographics Section enter:
- Other Revenue funds. Enter the amount of funds that your program uses to run the program that are not identified on the application budget as CNCS share or grantee share (match). Note: Programs should not enter the total operating budget for their organization unless the entire operating budget supports the AmeriCorps program. Programs that have additional revenue sources not included in the matching funds section of the budget should provide the amount of this additional revenue that supports the program. This amount should not
include the CNCS or grantee share amounts in the budget. Fixed amount grantees should enter all non-CNCS funds that support the program in this field. All fixed grants will have other revenue.

- Number of Volunteers Generated by AmeriCorps members. Please enter the number of volunteers participating in one day service projects or ongoing volunteer commitments that the proposed AmeriCorps members will generate.
- Percentage of MSY’s who are opportunity youth, if any
- If Education NOFO Priority, which Education Priority number intervention is your program model

**X. Operating Sites (for multi-state applicants only)**

In the Multi-State Operating Section (For applicants that are operating in more than one state):

- Please fill in the following information for your operating sites: organization name, address, city, state, zip code + 0000 (eGrants will correct the last four digits to the correct numbers), amount of funding going to the operating site, and number of proposed AmeriCorps members who will be located at the site

**XI. Review, Authorize, and Submit**

eGrants requires that you review and verify your entire application before submitting, by completing the following sections in eGrants:

- Review
- Authorize
- Assurances
- Certifications
- Verify
- Submit

Read the Authorization, Assurances, and Certifications carefully (Attachment I). The person who authorizes the application must be the applicant’s Authorized Representative or his/her designee and must have an active eGrants account to sign these documents electronically. An Authorized Representative is the person in your organization authorized to accept and commit funds on behalf of the organization. A copy of the governing body’s authorization for this official representative to sign must be on file in the applicant’s office.

Be sure to check your entire application to ensure that there are no errors before submitting it. When you verify the application eGrants will also generate a list of errors if there are sections that need to be corrected prior to submission. If someone else is acting in the role of the applicant’s Authorized Representative, that person must log into his/her eGrants account and proceed with Authorize and Submit. After signing off on the Authorization, Assurances, and Certifications, his/her name will override any previous signatory who may appear and show on the application as the Authorized Representative.

*Note: Anyone within your organization who will be entering information in the application at any point during application preparation and submission in the eGrants system must have their own eGrants account.* Individuals may establish an eGrants account by accessing this link: [https://egrants.cns.gov/espan/main/login.jsp](https://egrants.cns.gov/espan/main/login.jsp) and selecting “Don’t have an eGrants account? Create an account.”
CONTINUATION REQUESTS

The following instructions for submitting a continuation request apply only to programs that are currently in their first or second year of operation within a grant cycle. If your program is currently in the final year of its grant cycle, you must apply using the application instructions for new and recompeting programs. In addition, if you are in year two or three of a cost reimbursement grant cycle, you need to submit a new application to participate in the fixed amount grant; you cannot continue your existing project period and switch from cost reimbursement to fixed amount. CNCS reserves the right to consider your continuation request if your fixed amount application is not funded.

When to Submit Your Continuation Request:
See the Notice for application deadlines.

How to Submit Your Continuation Request:
- Click Continuation/Renewal on your eGrants home page. You will be shown a list of grants that are eligible to be continued. Select the grant you wish to continue. Make sure you select the correct one. Do not start a new application. The system will copy your most recently awarded application.
- Edit your continuation application as directed in the continuation request instructions below. When you have completed your work, click the SUBMIT button.

Be sure you also review the Notice when preparing your request. If you have questions about the content of your continuation request, please contact your Program Officer.

What to Include in Your Continuation Request:

I. Applicant Info and Application Info
Update the Applicant Info and Application Info Sections in eGrants if necessary. Note in the Continuation Changes field that you have updated the Applicant Info or Application Info Section(s).

II. Narrative (Narratives Section)
Your original application will appear in the Executive Summary and in the narrative sections Rationale and Approach/Program Design, Organizational Capability, Cost-Effectiveness and Budget Adequacy, Evaluation Summary or Plan, Amendment Justification, Clarification Information, and Continuation Changes, as appropriate. Do not modify these original narrative fields.

CNCS expects that programs will maintain a consistent program design for the duration of the three-year project period; however, we recognize that, on occasion, some programmatic changes are necessary. As a result, continuation applicants may request the changes listed below during the continuation process.

Information should be provided in the Continuation Changes narrative field as relevant.
- Changes in Operating Sites
- Significant Changes in Program Scope or Design
- Changes to Performance Measures
- Significant Changes to Monitoring Structures or Staffing
- Budget revisions (detail provided in section VI).

The page limit for the Continuation Changes field is six pages, as the pages print out from eGrants.

Any continuation applicant not requesting changes that fit within the above categories should enter “N/A” in Continuation Changes. CNCS expects that most continuation applications will not be requesting changes.
III. Logic Model
Continuation applicants do not need to enter content into these fields unless changes to the originally-submitted logic model are being requested as part of Continuation Changes.

IV. Performance Measures (Performance Measures Section)
Your performance measures are copied from your previous year’s application into your continuation request. If you made changes to your program, such as adding or changing grant-funded activities, or requesting additional slots or MSYs, you may need to revise your performance measures. Continuation applicants whose measures do not align with the 2019 Performance Measure Instructions must also revise their measures to conform with the 2019 instructions. To revise performance measures, “View/Edit” the performance measures that copy over from your original application, or add new performance measures (see Attachments). Note in the Continuation Changes field that you have updated your performance measures. If you are proposing to significantly increase or decrease output or outcome targets for existing performance measures, provide a justification for this change.

V. Program Information
In the Program Information Section, review and make selections as appropriate. Applicants should only select priorities and characteristics that represent a significant part of the program.

General Information: Select either Yes or No from the drop-down menu
- My organization has received an AmeriCorps State and National Grant. Organizations that have been a host site for AmeriCorps members but never had a direct grant relationship with either a State Commission or CNCS should answer No.
- The organization has sufficiently engaged community members and partner organizations in planning and implementing its intervention.
- My organization certifies that it will comply with definitions and requirements outlined in the Performance Measure Instructions.

AmeriCorps Funding Priorities
Check any priority area(s) that apply to the proposed program. Only select Priorities that represent a significant part of the program focus, high quality program design, and outcomes.
- Economic Opportunity - increasing economic opportunities for communities by engaging opportunity youth to prepare them for the workforce
- Education – 13 evidence-based interventions
- Healthy Futures - reducing and/or preventing prescription drug and opioid abuse
- Veterans and Military Families - positively impacting the quality of life of veterans and improving military family strength
- Rural intermediaries that demonstrate measureable impact and primarily serve communities with limited resources and organizational infrastructure.
- Safer Communities – programs that focus on public safety, and/or partnerships between law enforcement and the community
- Faith-based organization
- No NOFO priority area

Grant Characteristics
Check any grant characteristics that are a significant part of the proposed program:
- Faith-based organizations
- SIG/Priority Schools
- Professional Corps
- STEM Program
- Geographic Focus – Urban
- Geographic Focus – Rural
- None of the above grant characteristics
AmeriCorps Identity/Co-branding Questions. Select Yes or No.
- AmeriCorps members will wear the AmeriCorps logo every day.
- AmeriCorps members will create and deliver elevator speeches.
- The AmeriCorps logo will be prominently displayed on the front page of the organization’s website.

VI. Budget (Budget Section)
Your budget from the previous year’s application is copied into your continuation request so you can make the necessary adjustments. Revise your detailed budget for the upcoming year. Incorporate any required CNCS increases, such as an increase to the member living allowance, into your budget. Please add $54 plus the cost of the state check for criminal history checks for each covered position in the CNCS share of the budget.

Continuation applicants may apply for expansions – dollars, MSY, and/or members.

Source of Funds (Match)
In the “Source of Funds” field that appears at the end of Budget Section III, enter a brief description of the match. Identify each match source separately. Identify if the match is secured or proposed. Include dollar amount, the match classification (cash or in-kind), and the source type (Private, State/Local, or Federal) for your entire match. (The total amount in the Source of Funds field should match the total amount in the budget narrative exactly.) Define all acronyms the first time they are used.

VII. Increasing Grantee Overall Share of Total Budgeted Costs
Grantees are required to meet an overall matching rate that increases over time. You have the flexibility to meet the overall match requirements in any of the three budget areas, as long as the minimum match of 24% for the first three years, and the increasing minimums in years thereafter, are maintained. See 45 CFR §§ 2521.35–2521.90 for the specific regulations.

See Attachments for instructions for applying for the Alternative Match Schedule.

In the Funding/Demographics Section enter:
- Other Revenue funds. Enter the amount of funds that your program uses to run the program that are not CNCS share or match.
- Number of Volunteers Generated by AmeriCorps members. Please enter the number of volunteers participating in one day service projects or ongoing volunteer commitments that the proposed AmeriCorps members will generate.
- Percentage of MSYs who are opportunity youth
- If Education NOFO Priority, which Education priority number intervention is your program model

VIII. Operating Sites (for multi-state applicants only)
In the Multi-State Operating Section (for applicants who are operating in more than one state):
- Make any changes to operating sites being requested as part of Continuation Changes.

IX. Review, Authorize, and Submit
eGrants requires that you review and verify your entire application before submitting, by completing the following sections in eGrants:
- Review
- Authorize
- Assurances
- Certifications
- Verify
- Submit
Read the Authorization, Assurances, and Certifications carefully (Attachment I). The person who authorizes the application must be the applicant’s Authorized Representative or his/her designee and must have an active eGrants account to sign these documents electronically. An Authorized Representative is the person in your organization authorized to accept and commit funds on behalf of the organization. A copy of the governing body’s authorization for this official representative to sign must be on file in the applicant’s office.

Be sure to check your entire application prior to submission to ensure that there are no errors. When you verify the application eGrants will also generate a list of errors if there are sections that need to be corrected prior to submission. If someone else is acting in the role of the applicant’s Authorized Representative, that person must log into his/her eGrants account and proceed with Authorize and Submit. After signing off on the Authorization, Assurances, and Certifications, his/her name will override any previous signatory that may appear and show on the application as the Authorized Representative.

Note: Anyone within your organization who will be entering information in the application at any point during application preparation and submission in the eGrants system must have their own eGrants account. Individuals may establish an eGrants account by accessing this link: https://egrants.cns.gov/espan/main/login.jsp and selecting “Don’t have an eGrants account? Create an account.”
ATTACHMENT A: Performance Measures Instructions

(eGrants Performance Measures Section)

About the Performance Measures Module
In the performance measures module, you will:

- Provide information about your program’s connection to CNCS focus areas and objectives.
- Show MSY and member allocations.
- Create at least one aligned performance measure, plus one or more additional measure(s) if appropriate.
- Set targets and describe data collection plans for your performance measures.

Home Page
To start the module, click the “Begin” button on the Home Page.

As you proceed through the module, the Home Page will summarize your work and provide links to edit the parts of the module you have completed. You may also navigate sections of the module using the tab feature at the top of each page.

Once you have started the module, clicking “Continue Working” will return you to the tab you were on when you last closed the module.

To edit the interventions, objectives, MSYs, and member allocations for your application, click the “Edit Objectives/MSYs/Members” button.

After you have created at least one performance measure, the Home Page will display a chart summarizing your measures. To edit a performance measure, click the “Edit” button. To delete a measure, click “Delete.” To create a new performance measure, click the “Add New Performance Measure” button.

Objectives Tab
On the objectives tab, applicants will account for the full range of their program activity. Applicants are not expected to create performance measures for every focus area, objective, or intervention they select on this tab.

An expandable list of CNCS focus areas appears on this tab. When you click on a focus area, a list of objectives from the CNCS strategic plan appears. A list of common interventions appears under each objective. First click on a focus area. Then click on an objective. All national performance measures fall under a strategic plan objective. Only the performance measures that correspond to the strategic plan objectives you select on this tab will be available for selection as you continue through this module. To see which performance measures correspond to which objective, refer to the CNCS Performance Measures Instructions.

Next, select all interventions that are part of your program design. Interventions are the activities that members and volunteers will carry out to address the problem(s) identified in the application. Select “other” if one or your program’s interventions does not appear on the list. Repeat these actions for each of your program’s focus areas. Select “other” for your focus area and/or objective if your program activities do not fall within one of the CNCS focus areas or objectives.

Choose your program’s primary focus area from the drop-down list. Only the focus areas that correspond to the objectives you selected above appear in the list. Next, select the primary intervention within your primary focus area. You will be required to create an aligned performance measure (output paired with outcome) that contains your primary intervention. Note that your primary intervention, and the performance measure associated with your primary intervention, must be focused on the community impact of the program; applicants may not count AmeriCorps members as beneficiaries under either National Performance Measures or applicant-determined measures. Some member-focused outputs and outcomes may be reported as demographic indicators.
You may select a secondary focus area and a secondary intervention. The primary and secondary focus area may be the same if you have more than one intervention within the focus area.

**MSYs/Members Tab**
On this tab, you will enter information about the allocation of MSYs and members across the focus areas and objectives you have selected. You will allocate 100% of your program’s MSYs to focus areas and objectives. When you create your performance measures, you will be asked to allocate MSYs to each performance measure; however, you will not be required to assign 100% of your total MSYs to performance measures.

Begin by entering the total MSYs for your program. This must match the total MSYs in your budget. Please double-check your budget to make sure that the total MSY values match.

Next, enter the number of MSYs your program will allocate to each objective. Only the objectives that were selected on the previous tab appear in the MSY chart. If some of your program’s objectives are not represented in the chart, return to the previous tab and select additional objectives. The MSY chart must show how all your program’s resources are allocated. If you have selected the Find Opportunity objective (under the Economic Opportunity focus area) and/or the Teacher Corps objective (under the Education focus area), enter 0 MSYs for these objectives and allocate your MSYs to the other objectives you selected. If the only activity in another objective that you have selected is focused on member development, enter 0 MSYs for that objective also.

As you enter MSYs into the MSY column of the chart, the corresponding percentage of MSYs will calculate automatically. When you have finished entering your MSYs, the total percentage of MSYs in the chart must be 100%. The total number of MSYs in the chart must equal the number of MSYs in your budget.

In the members column, enter the number of members who will be assigned to each objective. Some members may perform services across more than one objective. If this is the case, allocate these members to all applicable objectives. For example, if one member works on both school readiness and K-12 success, allocate one member to each of these objectives. It is acceptable for the total number of members in this table to exceed total slots requested in the application due to counting members’ service across multiple objectives. If you have selected the Find Opportunity objective (under the Economic Opportunity focus area) and/or the Teacher Corps objective (under the Education focus area), enter 0 members for these objectives. If the only activity in another objective that you have selected is focused on member development, enter 0 members for that objective also.

To ensure that information is entered accurately, please refer to additional guidance in Appendix A of the National Performance Measure Instructions on calculating and entering MSY and member allocations.

**Performance Measure Tab**
CNCS no longer requires National Performance Measure outputs to be paired with corresponding National Performance Measure outcomes. National Performance Measure outputs may now be paired with applicant-determined outcomes or may not be paired with any outcome. The latter is referred to as an “output-only measure” in these instructions. Refer to selection rules in the National Performance Measures Instructions to ensure that your performance measures meet CNCS requirements.

This tab allows you to create performance measures for all the grant activities you intend to measure.

You must create at least one aligned performance measure (either a National Performance Measure or an applicant-determined measure) that corresponds to your primary intervention. You may create additional aligned performance measures and/or output-only measures provided that they measure significant program activities. There is no expectation that 100% of program activity would be allocated to National Performance Measures or to any performance measures at all.

Begin by creating the aligned performance measure for your primary intervention. After creating your required performance measure, you will be able to create additional performance measures if desired.
To create a performance measure, begin by selecting an objective. The list of objectives includes those you selected on the objectives tab. Note that programs may not create performance measures for the Find Opportunity, Teacher Corps, Green Jobs, or Access & Attract objectives, since these are member-focused objectives. Member-focused outputs and outcomes related to these objectives may be reported as demographic indicators.

Provide a short, descriptive title for your performance measure.

Briefly describe the problem your program will address in this performance measure.

Select the intervention(s) to be delivered by members and/or member-supported volunteers. The list of interventions includes the ones you selected previously for this objective. Select only the interventions that will lead to the outputs (plus outcomes, if applicable) of the performance measure and that are applicable to all beneficiaries counted under the measure. If you selected “other” as an intervention and wish to include an applicant-determined intervention in your aligned performance measure, click “add user intervention” and enter a one or two word description of the intervention. (Note: you are not permitted to create a user-defined intervention that duplicates an intervention already available in the system.)

Select output(s) for your performance measure. The output list includes only the National Performance Measure outputs that correspond to the objectives you have selected. If you do not wish to select National Performance Measures, you may create an applicant-determined output by clicking in the checkbox next to the empty output text box and entering the text of your output indicator. You may create additional applicant-determined outputs for the performance measure by clicking “Add User Output.” (Note: you are not permitted to create an applicant-determined output that duplicates a National Performance Measure output. If you do not see the National Performance Measure output that you wish to use, check the Selection Rules in the National Performance Measure Instructions to make sure you selected the objective associated with that performance measure.)

Select outcome(s). If you have selected a National Performance Measures output with a corresponding National Performance Measures outcome, these outcomes will be available to select. If you do not wish to select a National Performance Measure outcome, you may create an applicant-determined outcome by clicking in the checkbox next to the empty outcome text box and entering the text of your outcome indicator. (Note: all output-only measures must consist of National Performance Measure outputs. Applicant-determined outputs must be paired with applicant-determined outcomes.)

You may create additional applicant-determined outcomes for the performance measure by clicking “Add User Outcome.”

If you have not selected a National Performance Measures output, or if there is no corresponding National Performance Measure outcome, create an applicant-determined outcome by clicking “Add User Outcome.” All applicant-determined outputs must be paired with an applicant-determined outcome.

Enter the number of MSYs and members your program will allocate to achieving the outcomes and/or outputs you have selected in this performance measure. Since programs are not required to measure all grant activities, the number you enter does not have to correspond to the MSY chart you created on the MSY/Members tab; however, the total number of MSYs across all performance measures within a single objective cannot exceed the total number of MSYs previously allocated to that objective. Members may be double-counted across performance measures, but MSYs may not.

Click “next” to proceed to the data collection tab. Later you can return to this tab to create additional performance measures.

Data Collection Tab
On this tab, you will provide additional information about your interventions, instruments, and plan for data collection. The performance measures may be used after grant making separate from the grant narrative. Thus all information requested in the National Performance Measure Instructions must be included in the text of the
performance measures themselves, and it must be evident in the performance measure text that all definitions and requirements outlined in the National Performance Measures Instructions and NOFO FAQs are met. Should an applicant choose to provide duplicate information about performance measures in the narrative, this information will also need to be in the performance measures module.

Describe the design and dosage (frequency, intensity, duration) of the interventions you have selected. Frequency refers to how often an intervention occurs (for example, number of sessions per week); intensity refers to the length of time devoted to the intervention (for example, number of minutes per session); and duration refers to the period of time over which the intervention occurs (for example, how many total weeks of sessions).

Expand each output and outcome and enter data collection information.

Select the data collection method you will use to measure the output or outcome. To select more than one method, click the “Add new method” button. To de-select a method, click the first (blank) line in the method drop-down.

Describe the specific instrument(s) you will use to measure the output or outcome. Include the title of the instrument(s), a brief description of what it measures and how it will be administered, and details about its reliability and validity if applicable. For outcomes, specify how much improvement in knowledge, attitude, behavior or condition is required to be counted as having improved and clearly explain how the instrument measures this.

Enter the target number for your output or outcome. Targets must be numbers, not percents.

For applicant-determined outputs and outcomes, enter the unit of measure for your target. The unit of measure should describe the population you intend to count (children, miles, etc.). Do not enter percents or member service hours as units of measure. In most cases, the unit of measure should be the same for the outputs and outcomes in an aligned performance measure.

For output-only performance measures, eGrants will require text in the outcome data collection fields. If you do not wish to have an outcome for your National Performance Measure output, enter the following:

- Method: Select “other.”
- Instrument Description: Enter “NA”
- Target: Enter “1”
- Unit of Measure: Enter “NA”

After entering data collection information for all outputs and outcomes, click “Mark Complete.” You will return to the Performance Measure tab. If you wish to create another performance measure, repeat the process. If you would like to continue to the next step of the module, click “Next.”

Summary Tab
The summary tab shows all of the information you have entered in the module.

To print a summary of all performance measures, click “Print PDF for all Performance Measures.”

To print one performance measure, expand the measure and click “Print This Measure.”

Click “Edit Performance Measure” to return to the Performance Measure tab.

Click “Edit Data Collection” to return to the Data Collection tab.

“Click Validate Performance Measures” to validate this module prior to submitting your application. You should also use the Performance Measures Checklist in Appendix B of the National Performance Measure to self-assess your measure(s) prior to submission.
ATTACHMENT B: Detailed Budget Instructions for Cost Reimbursement Grants (eGrants Budget Section)

Fixed-Amount Grants refer to Attachment D

Section I. Program Operating Costs

Complete Section I, Program Operating Costs, of the Budget Worksheet by entering the “Total Amount,” “CNCS Share,” and “Grantee Share” for Parts A-I, for Year 1 of the grant, as follows:

A. Personnel Expenses
Under “Position/Title Description,” list each staff position separately and provide salary and percentage of effort as percentage of FTE devoted to this award. Each staff person’s role listed in the budget must be described in the application narrative and each staff person mentioned in the narrative must be listed in the budget as either CNCS or Grantee share. Because the purpose of this grant is to enable and stimulate volunteer community service, do not include the value of direct community service performed by volunteers. However, you may include the value of volunteer services contributed to the organization for organizational functions such as accounting, audit work, or training of staff and AmeriCorps members.

B. Personnel Fringe Benefits
Under “Purpose/Description,” identify the types of fringe benefits to be covered and the costs of benefit(s) for each staff position. Allowable fringe benefits typically include FICA, Worker’s Compensation, Retirement, SUTA, Health and Life Insurance, IRA, and 401K. You may provide a calculation for total benefits as a percentage of the salaries to which they apply or list each benefit as a separate item. If a fringe benefit amount is over 30%, please list covered items separately and justify the high cost. Holidays, leave, and other similar vacation benefits are not included in the fringe benefit rates, but are absorbed into the personnel expenses (salary) budget line item.

C. 1. Staff Travel
Describe the purpose for which program staff will travel. Provide a calculation that includes itemized costs for airfare, transportation, lodging, per diem, and other travel-related expenses multiplied by the number of trips/staff. Where applicable, identify the current standard reimbursement rate(s) of the organization for mileage, daily per diem, and similar supporting information. Reimbursement should not exceed the federal mileage rate unless a result of applicant policy and justified in the budget narrative. Only domestic travel is allowable.

We expect all State Commissions and National Direct applicants to include funds in this line item for travel for staff and site staff to attend CNCS-sponsored technical assistance meetings. There are two to three such opportunities per year. Travel funds should be budgeted for new staff to attend the Symposium pre-conference events (if applicable).

Please itemize the costs. For example: Two staff members will attend the Annual AmeriCorps Symposium in Washington, DC.
2 staff X $750 airfare + $50 ground transportation + (1 day) X $400 lodging + $35 per diem = $2,470 for Annual AmeriCorps Symposium.

C. 2. Member Travel
Describe the purpose for which members will travel. Provide a calculation that includes itemized costs for airfare, transportation, lodging, per diem, and other related expenses for members to travel outside their service location or between sites. Costs associated with local travel, such as bus passes to local sites, mileage reimbursement for use of car, etc., should be included in this budget category. Where applicable, identify the current standard reimbursement rate(s) of the organization for mileage, daily per diem, and similar supporting information.

D. Equipment
Equipment is defined as tangible, non-expendable personal property having a useful life of more than one year AND an acquisition cost of $5,000 or more per unit (including accessories, attachments, and modifications). Any items
that do not meet this definition should be entered in E. Supplies below. Purchases of equipment are limited to 10% of the total CNCS funds requested. If applicable, show the unit cost and number of units you are requesting. Provide a brief justification for the purchase of the equipment under Item/Purpose.

E. Supplies
AmeriCorps members must wear an AmeriCorps logo on a daily basis – preferably clothing with the AmeriCorps logo. The item with the AmeriCorps logo is a required budget expense. Please include the cost of the item with the AmeriCorps logo in your budget or explain how your program will be providing the item to AmeriCorps members without using grant funds. Grantees may add the AmeriCorps logo to their own local program uniform items using federal funds. Please note that your program will be using the AmeriCorps logo in the budget description.

Include the amount of funds to purchase consumable supplies and materials, including member service gear and equipment that does not fit the definition above. You must individually list any single item costing $1,000 or more. Except for safety equipment, grantees may only charge the cost of member service gear to the federal share if it includes the AmeriCorps logo. All safety gear may be charged to the federal share, regardless of whether it includes the AmeriCorps logo. All other service gear must be purchased with non-CNCS funds.

F. Contractual and Consultant Services
Include costs for consultants related to the project’s operations, except training or evaluation consultants, who will be listed in Sections G. and H., below. There is not a maximum daily rate.

G. 1. Staff Training
Include the costs associated with training staff on project requirements and training to enhance the skills staff need for effective project implementation, i.e., project or financial management, team building, etc. If using a consultant(s) for training, indicate the estimated daily rate. There is not a maximum daily rate.

G. 2. Member Training
Include the costs associated with member training to support them in carrying out their service activities. You may also use this section to request funds to support training in Life after AmeriCorps. If using a consultant(s) for training, indicate the estimated daily rate. There is not a maximum daily rate.

H. Evaluation
Include costs for project evaluation activities, including additional staff time or subcontracts, use of evaluation consultants, purchase of instrumentation, and other costs specifically for this activity not budgeted in Section A Personnel Expenses. This cost does not include the daily/weekly gathering of data to assess progress toward meeting performance measures, but is a larger assessment of the impact your project is having on the community, as well as an assessment of the overall systems and project design. Indicate daily rates of consultants, where applicable.

I. Other Program Operating Costs
Allowable costs in this budget category should include when applicable:

- Criminal history background checks for all members and for all employees or other individuals who receive a salary, education award, living allowance, or stipend or similar payment from the grant (federal or non-federal share). Please include $54 plus the cost of the state check for criminal history checks for all covered positions plus the cost of the state check.
- Office space rental for projects operating without an approved indirect cost rate agreement that covers office space. If space is budgeted and it is shared with other projects or activities, the costs must be equitably pro-rated and allocated between the activities or projects.
- Utilities, telephone, internet, postage, copying, and similar expenses that are specifically used for AmeriCorps members and AmeriCorps project staff, and are not part of the organization’s indirect cost allocation pool. If such expenses are budgeted and shared with other projects or activities, the costs must be equitably pro-rated and allocated between the activities or projects.
- Recognition costs for members. List each item and provide a justification in the budget narrative. Gifts and/or food in an entertainment/event setting are not allowable costs.
• Multi-state applicants: Indicate the number of subgrants and the average amount of subgrants. Indicate any match that you will require of your subgrants under the “grantee share” column in this category. Subgranted funds may only cover costs allowable under federal and AmeriCorps regulations and terms and conditions.

Section II. Member Costs

Member Costs are identified as “Living Allowance” and “Member Support Costs.” Your required match can be federal, state, local, or private sector funds.

A. Living Allowance

The narrative should clearly identify the number of members you are supporting by category (i.e., full-time, three-quarter-time, half-time, reduced-half-time, quarter-time, minimum-time) and the amount of living allowance they will receive, allocating appropriate portions between the CNCS share (CNCS Share) and grantee share (match).

The minimum and maximum living allowance amounts are provided in the Notice.

In eGrants, enter the total number of members you are requesting in each category. Enter the average amount of the living allowance for each type of member. In addition, enter the number of members for whom you are not requesting funds for a living allowance, but for whom you are requesting education awards.

B. Member Support Costs

Consistent with the laws of the states where your members serve, you must provide members with the benefits described below.

• FICA. Unless exempted by the IRS, all projects must pay FICA for any member receiving a living allowance, even when CNCS does not supply the living allowance. If exempted, please note in the narrative. In the first column next to FICA, indicate the number of members who will receive FICA. Calculate the FICA at 7.65% of the total amount of the living allowance.

• Worker’s Compensation. Some states require worker’s compensation for AmeriCorps members. You must check with State Departments of Labor or State Commissions where members serve to determine if you are required to pay worker’s compensation and if so at what level. If you are not required to pay worker’s compensation, you must obtain Occupational, Accidental, Death and Dismemberment coverage for members to cover in-service injury or accidents.

• Health Care. You must offer or make available health care benefits to full-time members in accordance with AmeriCorps requirements. Except as stated below, you may not pay health care benefits to less-than-full-time members with CNCS funds. You may choose to provide health care benefits to less-than-full-time members from other sources (i.e., non-federal) but the cost cannot be included in the budget. Less-than-full-time members who are serving in a full-time capacity for a sustained period of time (such as a full-time summer project) are eligible for health care benefits. If you budget health insurance for less-than-full-time members serving in a full-time capacity, indicate in the budget narrative. In your budget narrative, indicate the number of members who will receive health care benefits. CNCS will not pay for dependent coverage.

• Unemployment Insurance and Other Member Support Costs. Include any other required member support costs here. Some states require unemployment coverage for their AmeriCorps members. You may not charge the cost of unemployment insurance taxes to the grant unless mandated by state law. Programs are responsible for determining the requirements of state law by consulting State Commissions, legal counsel, or the applicable state agencies.

We expect all applicants to include $54 plus the cost of a state check in the CNCS share for criminal history checks each covered position.

Section III. Administrative/Indirect Costs

Definitions

Administrative costs are general or centralized expenses of the overall administration of an organization that receives CNCS funds and do not include particular project costs. These costs may include administrative staff
positions. For organizations that have an established indirect cost rate for federal awards, administrative costs mean those costs that are included in the organization’s indirect cost rate agreement. Such costs are generally identified with the organization’s overall operation and are further described in Office of Management and Budget Uniform Guidance.

**Options for Calculating Administrative/Indirect Costs (choose either A, B, OR C)**

Applicants choose one of three methods to calculate allowable administrative costs – a CNCS-fixed percentage rate method, a federally approved indirect cost rate method, or a *de minimis* method. Regardless of the option chosen, the CNCS share of administrative costs is limited to 5% of the total CNCS funds actually expended under this grant. Do not create additional lines in this category.

**A. CNCS-Fixed Percentage Method**

**Five/Ten Percent Fixed Administrative Costs Option**

The CNCS-fixed percentage rate method allows you to charge administrative costs up to a cap without a federally approved indirect cost rate and without documentation supporting the allocation. If you choose the CNCS-fixed percentage rate method (Section IIIA in eGrants), you may charge, for administrative costs, a fixed 5% of the total of the CNCS funds expended. In order to charge this fixed 5%, the grantee match for administrative costs may not exceed 10% of all direct cost expenditures.

1. To determine the maximum CNCS share for Section III: Multiply the sum of the CNCS funding shares of Sections I and II by 0.0526. This is the maximum amount you can request as Corporation share. The factor 0.0526 is used to calculate the 5% maximum amount of federal funds that may be budgeted for administrative (indirect) costs, rather than 0.0500, as a way to mathematically compensate for determining Section III costs when the total budget (Sections I + II + III) is not yet established. Enter this amount as the CNCS share for Section III A.

2. To determine the Grantee share for Section III: Multiply the total (both CNCS and grantee share) of Sections I and II by 10% (0.10) and enter this amount as the grantee share for Section III A.

3. Enter the sum of the CNCS and grantee shares under Total Amount.

If a commission elects to retain a share of the 5% of federal funds available to programs for administrative costs up to 2%, that decision is identified within each subgrant’s budget. A state commission can take up to 2% in administrative costs, provided the commission has less than 25% in prior year unexpended funds on the Commission Support Grant. If the commission’s unexpended exceeds 25%, the commission can take up to 1% in administrative funds. If the commission elects to retain 1% of the administrative costs, to calculate these fractional shares, within Section III of the subgrant budget, *one-fifth* (20%) of the federal dollars budgeted for administrative costs is allocated to the commission’s share and *four-fifths* (80%) of the federal dollars budgeted for administrative costs are allocated to the program’s share. The allocation between commission and program shares would be calculated as follows:

\[(\text{Section I} + \text{Section II} \times 0.0526) \times 0.20 = \text{Commission Share}\]

\[(\text{Section I} + \text{Section II} \times 0.0526) \times 0.80 = \text{Subgrantee Share}\]

If a commission elects to retain a share that is less than 1% budgeted for administrative costs, adjust the calculation above, as appropriate.

If the commission elects to retain 2% of the administrative costs, to calculate these fractional shares, within Section III of the subgrant budget, *two-fifths* (40%) of the federal dollars budgeted for administrative costs is allocated to the commission’s share and *three-fifths* (60%) of the federal dollars budgeted for administrative costs are allocated to the program’s share. The allocation between commission and program shares would be calculated as follows:

\[(\text{Section I}) + \text{Section II} \times 0.0526) \times 0.40 = \text{Commission share}\]
B. Federally Approved Indirect Cost Rate

If you have a federally approved indirect cost rate, this method must be used and the rate will constitute documentation of your administrative costs, not to exceed the 5% maximum federal share payable by CNCS. Specify the Cost Type for which your organization has current documentation on file, i.e., Provisional, Predetermined, Fixed, or Final indirect cost rate. Supply your approved IDC rate (percentage) and the base upon which this rate is calculated (direct salaries, salaries and fringe benefits, etc.). CNCS does not restrict the overall indirect cost rate claimed. It is at your discretion whether or not to claim your entire IDC rate to calculate administrative costs. If you choose to claim a lower rate, please include this rate in the Rate Claimed field.

1. Determine the base amount of direct costs to which you will apply the IDC rate, including both the CNCS and Grantee shares, as prescribed by your established rate agreement (i.e., based on salaries and benefits, total direct costs, or other). Then multiply the appropriate direct costs by the rate being claimed. This will determine the total amount of indirect costs allowable under the grant.

2. To determine the CNCS share: Multiply the sum of the CNCS funding share in Sections I and II by 0.0526. This is the maximum amount you can claim as the CNCS share of indirect costs.

If a commission elects to retain a share of the 5% of federal funds available, please note the percentage or amount in the text. There is no separate line item to show this calculation.

3. To determine the Grantee share: Subtract the amount calculated in step 2 (the CNCS share) from the amount calculated in step 1 (the Indirect Cost total). This is the amount the applicant can claim as grantee share for administrative costs.

C. De Minimis Rate of 10% of Modified Total Direct Costs

Organizations who have never, at any point in time, held a federally negotiated indirect cost rate (except for those non-Federal entities described in Appendix VII to Part 200—States and Local Government and Indian Tribe Indirect Cost Proposals, paragraph (d)(1)(B)) and who receive less than $35 million in direct federal funding, may indefinitely use a de minimis rate of 10% of modified total direct costs (MTDC). Additional information regarding what is included in MTDC and use of this option can be found at 2 CFR 200.414(f) and 200.68. If this option is elected, it must be used consistently across all federal awards.

Source of Funds

In the “Source of Funds” field that appears at the end of Budget Section III, enter a brief description of the match. Identify each match source separately. Identify if the match is secured or proposed. Include dollar amount, the match classification (cash or in-kind), and the source type (Private, State/Local, or Federal) for your entire match. (The total amount in the Source of Funds field should match the total amount in the budget narrative exactly.) Define all acronyms the first time they are used. The total amount of Source of Match should equal the Grantee Share amount.

Note: the value of the Segal Education Awards that members earn for their service is not identified in the budget. Also, the childcare reimbursements provided to eligible full-time members is not included in the budget.
## ATTACHMENT C: Budget Worksheet (eGrants Budget Section)

### Section I. Program Operating Costs

#### A. Personnel Expenses

<table>
<thead>
<tr>
<th>Position/Title/Description</th>
<th>Qty</th>
<th>Annual Salary</th>
<th>% Time</th>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
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**Totals**

#### B. Personnel Fringe Benefits

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<th>Purpose/Description</th>
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<th>CNCS Share</th>
<th>Grantee Share</th>
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**Totals**

#### C.1. Staff Travel

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<th>Purpose</th>
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<th>CNCS Share</th>
<th>Grantee Share</th>
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**Totals**

#### C.2. Member Travel

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**Totals**

#### D. Equipment

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<th>Item/ Purpose/Justification</th>
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**Totals**

#### E. Supplies

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<th>Purpose</th>
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**Totals**
### F. Contractual and Consultant Services

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### G.1. Staff Training

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### G.2. Member Training

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</tbody>
</table>

### H. Evaluation

<table>
<thead>
<tr>
<th>Purpose</th>
<th>Calculation</th>
<th>Daily Rate</th>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
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</tbody>
</table>

### I. Other Program Operating Costs

<table>
<thead>
<tr>
<th>Purpose</th>
<th>Calculation</th>
<th>Daily Rate</th>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
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<tbody>
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<td><strong>Totals</strong></td>
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</table>

**Subtotal Section I:**

<table>
<thead>
<tr>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
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</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>
### Section II. Member Costs

#### A. Living Allowance

<table>
<thead>
<tr>
<th>Item</th>
<th># Mbrs</th>
<th>Allowance Rate</th>
<th># w/o Allowance</th>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
</thead>
<tbody>
<tr>
<td>Full-time (1700 hrs)</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Three quarter-time (1200 hrs)</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Half-time (900 hrs)</td>
<td></td>
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<tr>
<td>Reduced Half-time (675 hrs)</td>
<td></td>
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<tr>
<td>Quarter-time (450 hrs)</td>
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<tr>
<td>Minimum-time (300 hrs)</td>
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</tr>
</tbody>
</table>

**Totals**

#### B. Member Support Costs

<table>
<thead>
<tr>
<th>Purpose</th>
<th>Calculation</th>
<th>Daily Rate</th>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
</thead>
</table>

**Totals**

#### Subtotal Section II:

<table>
<thead>
<tr>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
</thead>
</table>

#### Subtotal Sections I + II:

<table>
<thead>
<tr>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
</thead>
</table>
Section III. Administrative/Indirect Costs

A. Corporation-fixed Percentage Rate

<table>
<thead>
<tr>
<th>Purpose</th>
<th>Calculation</th>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
</tbody>
</table>

  **Totals**

B. Federally Approved Indirect Cost Rate Or *De Minimis* Rate of 10% of Modified Total Direct Costs

<table>
<thead>
<tr>
<th>Cost Type</th>
<th>Cost Basis</th>
<th>Calculation</th>
<th>Rate</th>
<th>Rate Claimed</th>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
</tbody>
</table>

**Total Sections I + II + III:**

<table>
<thead>
<tr>
<th>Total Sections I + II + III:</th>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

**Budget Total: Validate this budget**

**Required Match Percentages:**

<table>
<thead>
<tr>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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Source of Funds

<table>
<thead>
<tr>
<th>Match Description (Note whether Secured or Proposed)</th>
<th>Amount</th>
<th>Match Classification (Cash or In Kind)</th>
<th>Match Source (Federal, State/Local, Private)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

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28
ATTACHMENT D: Detailed Budget Instructions for Fixed Amount Grants (eGrants Budget Section)

These instructions apply only to applicants for fixed amount grants, including education award programs (EAPs). Do not use if you are a Professional Corps applicant.

EAP and Fixed Amount grant applicants may only request a fixed amount of funding per MSY. Therefore, Fixed Amount applicants are not required to complete a detailed budget or complete the grantee share column. However, you must complete the source of match chart to identify the sources of the additional revenue you need to operate the program. If you are applying for a Stipended fixed amount grant, you must pay at least the minimum living allowance listed in the Notice for each type of position you are proposing.

Budget Section II. AmeriCorps Member Positions

Member Positions

Identify the number of members you are requesting by category (i.e. full-time, three quarter-time, half-time, reduced half-time, quarter-time, minimum-time) and list under the column labeled #w/o Allow (without CNCS-funded living allowance.) Leave all other columns blank.

The total number of member service years (MSY) will automatically calculate at the bottom of the Member Positions chart. The MSY are calculated as follows:

B. Fixed Award

Display your calculation in the following format:

\[
\text{Member Positions} \times \text{MSY} = \text{Total Grant Request} \$\
\]

\[
\text{Full-time (1700 hours)} \times \text{members x 1.000} = \\
\text{Three quarter-time (1200 hours)} \times \text{members x 0.7000000} = \\
\text{Half-time (900 hours)} \times \text{members x 0.500} = \\
\text{Reduced half-time (675 hours)} \times \text{members x 0.3809524} = \\
\text{Quarter-time (450 hours)} \times \text{members x 0.26455027} = \\
\text{Minimum-time (300 hours)} \times \text{members x 0.21164022} = \\
\]

Total MSY

Type the total amount requested in the “Total Amount” and “CNCS Share” columns. Leave the “Grantee Share” blank. See example below (applies to a Stipended Fixed Amount grant):

<table>
<thead>
<tr>
<th>Purpose</th>
<th>Calculation</th>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Grant Request</td>
<td>47.5 MSY \times $9,500/MSY</td>
<td>$451,250</td>
<td>$451,250</td>
<td>$0</td>
</tr>
<tr>
<td>Subtotal</td>
<td></td>
<td>$451,250</td>
<td>$451,250</td>
<td>$0</td>
</tr>
</tbody>
</table>

For full time fixed awards, indicate member are serving in a full-time capacity (if less than full-time members are budgeted)

Source of Funds

<table>
<thead>
<tr>
<th>Match Description (Note whether Secured or Proposed)</th>
<th>Amount</th>
<th>Match Classification (Cash or In Kind)</th>
<th>Match Source (Federal, State/Local, Private)</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>
ATTACHMENT E: Budget Worksheet for Fixed-Amount Grants (eGrants Budget Section)

Complete the fields for the # w/o Allowance only.

**Member Positions**

<table>
<thead>
<tr>
<th>Item</th>
<th># Mbrs</th>
<th>Allowance Rate</th>
<th># w/o Allow</th>
<th>Total Amount</th>
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<th>Purpose</th>
<th>Calculation</th>
<th>Total Amount</th>
<th>CNCS Share</th>
<th>Grantee Share</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Grant</td>
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<tr>
<td>Request</td>
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<tr>
<td>Subtotal</td>
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<tr>
<th>Item</th>
<th># Mbrs</th>
<th>Allowance Rate</th>
<th># w/o Allow</th>
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<td>Subtotal</td>
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</table>
ATTACHMENT F: Budget Checklist

Below is a checklist to help you make certain that you submit an accurate budget narrative that meets AmeriCorps requirements. Note: This does not apply to fixed-amount grants.

<table>
<thead>
<tr>
<th>In Compliance?</th>
<th>Section I. Program Operating Costs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes __ No __</td>
<td>Costs charged under the Personnel line item directly relate to the operation of the AmeriCorps project? Examples include costs for staff who recruit, train, place, or supervise members as well as manage the project.</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Staff indirectly involved in the management or operation of the applicant organization are funded through the administrative cost section (Section III) of the budget? Examples of administrative costs include central management and support functions.</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Staff fundraising expenses are not charged to the grant? You may not charge AmeriCorps staff members’ time and related expenses for fundraising to the federal or grantee share of the grant. Expenses incurred to raise funds must be paid out of the funds raised. Development officers and fundraising staff are not allowable expenses.</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>The types of fringe benefits to be covered and the costs of benefit(s) for each staff position are described? Allowable fringe benefits typically include FICA, Worker’s Compensation, Retirement, SUTA, Health and Life Insurance, IRA, and 401K. You may provide a calculation for total benefits as a percentage of the salaries to which they apply or list each benefit as a separate item. If the fringe amount is over 30%, please list separately.</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Holidays, leave, and other similar vacation benefits are not included in the fringe benefit rates but are absorbed into the personnel expenses (salary) budget line item?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>The purpose for all staff and member travel is clearly identified?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>You have budgeted funds for State Commission and National Direct staff travel to CNCS sponsored meetings in the budget narrative under Staff Travel?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Funds to pay relocation expenses of AmeriCorps members are not in the CNCS share of the budget?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Funds for the purchase of equipment (does not include general use office equipment) are limited to 10% of the total grant amount?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>All single equipment items over $5000 per unit are specifically listed?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Justification/explanation of equipment items is included in the budget narrative?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>All single supply items over $1000 per unit are specifically listed?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Cost of items with the AmeriCorps logo that will be worn daily is included for all AmeriCorps members? Or if not, there is an explanation of how the program will be providing the AmeriCorps logo item to AmeriCorps members using funds other than CNCS grant funds.</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>You only charged to the federal share of the budget member service gear that includes the AmeriCorps logo and noted that the gear will have the AmeriCorps logo, with the exception of safety equipment?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Does the budget reflect adequate budgeted costs for project evaluation?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Have you budgeted $54 plus the cost of the state check in the CNCS share for criminal history checks of each member and grant-funded staff that are in covered positions per 45 CFR 2522.205?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Are all items in the budget narrative itemized and the purpose of the funds justified?</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>In Compliance?</th>
<th>Section II. Member Costs</th>
</tr>
</thead>
</table>

31
| Yes __ No __ | Are the living allowance amounts correct? Full-time AmeriCorps members must receive at least the minimum living allowance.  
Note: Programs in existence prior to September 21, 1993 may offer a lower living allowance than the minimum. If such a program chooses to offer a living allowance, it is exempt from the minimum requirement, but not from the maximum requirement. |
| Yes __ No __ | Living allowances are not paid on an hourly basis? They may be calculated using service hours and program length to derive a weekly or biweekly distribution amount. Divide the distribution in equal increments that are not based on the specified number of hours served. |
| Yes __ No __ | Is FICA calculated correctly? You must pay FICA for any member receiving a living allowance. Unless exempted by the IRS, calculate FICA at 7.65% of the total amount of the living allowance. If exempted from paying FICA, is the exemption noted in the budget narrative? |
| Yes __ No __ | Is the Worker’s Compensation calculation correct? Some states require worker’s compensation for AmeriCorps members. Check with your local State Department of Labor or State Commission to determine whether or not you are required to pay worker’s compensation and at what level (i.e., rate). If you are not required to pay worker’s compensation, you will provide similar coverage for members’ on-the-job injuries through their own existing coverage or a new policy purchased in accordance with normal procedures (i.e., death and dismemberment coverage). |
| Yes __ No __ | Health care is provided for full-time AmeriCorps members only (unless part-time serving in a full-time capacity)? If your project chooses to provide health care to other half-time members, you may not use federal funds to help pay for any portion of the cost. Projects must provide health care coverage to all full-time members who do not have adequate health care coverage at the time of enrollment or who lose coverage due to participation in the project. In addition, projects must provide coverage if a full-time member loses coverage during the term of service through no deliberate act of his/her own. |
| Yes __ No __ | Unemployment insurance is only budgeted if state law requires it? |

<table>
<thead>
<tr>
<th>In Compliance?</th>
<th>Section III. Administrative/Indirect Costs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes __ No __</td>
<td>Applicant does not have a current federally approved indirect cost rate and has chosen to use the CNCS-fixed percentage method and the maximum federal share of administrative costs does not exceed 5% of the total federal funds budgeted? To determine the federal administrative share, multiply all other budgeted federal funds by .0526.</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Applicant has chosen to use CNCS fixed percentage method and the maximum grantee share is at 10% or less of total budgeted funds?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Applicant has a current approved indirect cost rate – The maximum grantee share does not exceed the federally approved rate, less the 5% CNCS share?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Applicant has a current approved indirect cost rate-the type of rate, the IDC rate percentage, the rate claimed and the base to which the rate is applied has been specified?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Applicant is directly applying to CNCS and the approved indirect cost rate agreement has been entered into eGrants?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>Applicant has never had a federally approved indirect cost rate and is choosing to use a de minimis rate of 10% of modified total direct costs?</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>In Compliance?</th>
<th>Match</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes __ No __</td>
<td>Is the overall match being met at the required level, based on the year of funding?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>For all matching funds, proposed vs secured, the source(s) [private, state, local, and/or federal], the type of contribution (cash or in-kind), and the amount of match, are clearly identified in the narrative and in the Source of Funds field in eGrants?</td>
</tr>
<tr>
<td>Yes __ No __</td>
<td>The amount of match is for the entire amount in the budget narrative? (The total amount of match equals the amount in the budget?)</td>
</tr>
</tbody>
</table>
ATTACHMENT G: Alternative Match Instructions

Grantees are required to meet an overall matching rate that increases over time. You have the flexibility to meet the overall match requirements in any of the three budget areas, as long as the minimum match of 24% for the first three years, and the increasing minimums in years thereafter, are maintained. See 45 CFR §§ 2521.35–2521.90 for the specific regulations.

Special Circumstances for an Alternative Match Schedule: Under certain circumstances, applicants may qualify to meet alternative matching requirements that increase over the years to 35% instead of 50% as specified in the regulations at §2521.60(b). To qualify, you must demonstrate that your program is either located in a rural county or in a severely economically distressed community as defined below.

A. Rural County: In determining whether a program is rural, CNCS will consider the most recent Beale code rating published by the U.S. Department of Agriculture for the county in which the program is located. Any program located in a county with a Beale code of 4, 5, 6, 7, 8, or 9 is eligible to apply for the alternative match requirement. See Attachment H for the Table of Beale codes.

B. Severely Economically Distressed County: In determining whether a program is located in a severely economically distressed county, CNCS will consider the following list of county-level characteristics. See Attachment H for a list of website addresses where this publicly available information can be found.

- The county-level per capita income is less than or equal to 75 percent of the national average for all counties using the most recent census data or Bureau of Economic Analysis data;
- The county-level poverty rate is equal to or greater than 125 percent of the national average for all counties using the most recent census data; and
- The county-level unemployment is above the national average for all counties for the previous 12 months using the most recently available Bureau of Labor Statistics data.
- The areas served by the program lack basic infrastructure such as water or electricity.

C. Program Location: Except when approved otherwise, CNCS will determine the location of your program based on the legal applicant’s address. If you believe the legal applicant’s address is not the appropriate way to consider the location of your program, you must provide relevant facts about your program location in your request. CNCS will, in its sole discretion, determine whether some other address is more appropriate for determining a program’s location.

If your program is located in one of these areas, see the instructions below for applying for this alternative match schedule. You must submit your request to the alternative schedule per the information contained in the Notice. CNCS will review your request and notify you within 30 days if you qualify for the alternative schedule and provide instructions for entering your budget into eGrants under the Alternative Match Schedule.

If approved for the alternative schedules, programs will base their budget in the upcoming application on the approved alternative match. The alternative match requirement will be in effect for whatever portion of the three-year project period remains or if applying as a new grantee, for the upcoming three-year grant cycle.

D. Instructions for the Alternative Match Schedule: Programs operating in one state must send their requests to the State Commission for review and approval. The Commission will then forward the approved request to CNCS for consideration.

Submit mail applications per the NOFO instructions.
Rural Community

**Beale codes** are published by the U.S. Department of Agriculture and are used to classify counties as being more urban or more rural. Counties are designated on a scale from one to nine according to the following descriptions:

<table>
<thead>
<tr>
<th>Code</th>
<th>Metropolitan Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Metropolitan</td>
<td>Counties in metro areas of 1 million population or more</td>
</tr>
<tr>
<td>2</td>
<td>Metropolitan</td>
<td>Counties in metro areas of 250,000 to 1 million</td>
</tr>
<tr>
<td>3</td>
<td>Metropolitan</td>
<td>Counties in metro areas of fewer than 250,000</td>
</tr>
<tr>
<td>4</td>
<td>Non-metro</td>
<td>Urban population of 20,000 or more, adjacent to a metropolitan area</td>
</tr>
<tr>
<td>5</td>
<td>Non-metro</td>
<td>Urban population of 20,000 or more, not adjacent to a metropolitan area</td>
</tr>
<tr>
<td>6</td>
<td>Non-metro</td>
<td>Urban population of 2,500 to 19,999, adjacent to a metropolitan area</td>
</tr>
<tr>
<td>7</td>
<td>Non-metro</td>
<td>Urban population of 2,500 to 19,999, not adjacent to a metropolitan area</td>
</tr>
<tr>
<td>8</td>
<td>Non-metro</td>
<td>Completely rural or less than 2,500 urban population, adjacent to a metropolitan area</td>
</tr>
<tr>
<td>9</td>
<td>Non-metro</td>
<td>Completely rural or less than 2,500 urban population, not adjacent to a metropolitan area</td>
</tr>
</tbody>
</table>

Any program located in a county with a Beale code of 4, 5, 6, 7, 8, or 9 is eligible to apply for the alternative match.

Severely Economically Distressed Community

The following table provides the website addresses where the publicly available information on county-level economic data including per capita income, poverty rate, and unemployment levels can be found.

<table>
<thead>
<tr>
<th>WEBSITE ADDRESS</th>
<th>EXPLANATION</th>
</tr>
</thead>
<tbody>
<tr>
<td><a href="http://www.bea.gov/regional/">http://www.bea.gov/regional/</a></td>
<td><strong>Bureau of Economic Analysis’ Regional Economic Information System (REIS):</strong> Provides data on <em>per capita</em> income by county for all states except Puerto Rico.</td>
</tr>
<tr>
<td><a href="https://factfinder.census.gov/face">https://factfinder.census.gov/face</a></td>
<td><strong>Census Bureau’s American Fact-finder:</strong> Provides census data including estimates on poverty, per capita income and unemployment by counties, states, and metro areas including Puerto Rico.</td>
</tr>
<tr>
<td>s/najsf/pages/index.xhtml</td>
<td></td>
</tr>
<tr>
<td><a href="http://www.bls.gov">www.bls.gov</a></td>
<td><strong>Bureau of Labor Statistics’ Local Area Unemployment Statistics (LAUS):</strong> Provides data on annual and monthly employment and unemployment by counties for all states including Puerto Rico.</td>
</tr>
<tr>
<td><a href="http://www.ers.usda.gov/Data/Rural">http://www.ers.usda.gov/Data/Rural</a></td>
<td><strong>US Department of Agriculture’s Rural-Urban Continuum Codes:</strong> Provides urban rural code for all counties in US.</td>
</tr>
<tr>
<td>UrbanContinuumCodes/</td>
<td></td>
</tr>
</tbody>
</table>
ATTACHMENT I: Assurances and Certifications  
(eGrants Review, Authorize and Submit Section)

Instructions

By signing and submitting this application, as the duly authorized representative of the applicant, you certify that the applicant will comply with the Assurances and Certifications described below.

a) Inability to certify
Your inability to provide the assurances and certifications listed below will not necessarily result in denial of a grant. You must submit an explanation of why you cannot do so. We will consider your explanation in determining whether to enter into this transaction. However, your failure to furnish an explanation will disqualify your application.

b) Erroneous certification or assurance
The assurances and certifications are material representations of fact upon which we rely in determining whether to enter into this transaction. If we later determine that you knowingly submitted an erroneous certification or assurance, in addition to other remedies available to the federal government, we may terminate this transaction for cause or default.

c) Notice of error in certification or assurance
You must provide immediate written notice to us if at any time you learn that a certification or assurance was erroneous when submitted or has become erroneous because of changed circumstances.

d) Definitions
The terms “covered transaction”, “debarred,” “suspended,” “ineligible,” “lower tier covered transaction,” “participant,” “person,” “primary covered transaction,” “principal,” “proposal,” and “voluntarily excluded” as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. An applicant shall be considered a “prospective primary participant in a covered transaction” as defined in the rules implementing Executive Order 12549. You may contact us for assistance in obtaining a copy of those regulations.

ASSURANCES

As the duly authorized representative of the applicant, I certify, to the best of my knowledge and belief, that the applicant:

- Has the legal authority to apply for federal assistance, and the institutional, managerial, and financial capability (including funds sufficient to pay the non-federal share of project costs) to ensure proper planning, management, and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the state, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM’s Standards for a Merit System of Personnel Administration (5 CFR 900, Subpart F).
- Will comply with all federal statutes relating to nondiscrimination. These include but are not limited to: Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), which prohibits discrimination on the basis of disability (d) The Age Discrimination Act of 1975, as amended (42 U.S.C. 6101-6107), which prohibits discrimination on the basis of age; (e) The Drug Abuse Office and Treatment Act...
of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290dd-3 and 290ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the National and Community Service Act of 1990, as amended; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

- Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of federal participation in purchases.
- Will comply with the provisions of the Hatch Act (5 U.S.C. 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C 276a and 276a-77), the Copeland Act (40 U.S.C 276c and 18 U.S.C. 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-333), regarding labor standards for Federally assisted construction sub-agreements.
- Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires the recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is $10,000 or more.
- Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved state management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C 1451 et seq.); (f) conformity of federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16U.S.C. 469a-l et seq.).
- Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
- Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984, as amended, and 2 CFR Part 200, Chapter II, Subpart F.
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, application guidelines, and policies governing this program.
- Will comply with all rules regarding prohibited activities, including those stated in applicable Notice, grant provisions, and program regulations, and will ensure that no assistance made available by CNCS will be used to support any such prohibited activities.
- Will comply with the nondiscrimination provisions in the national service laws, which provide that an individual with responsibility for the operation of a project or program that receives assistance under the national service laws shall not
discriminate against a participant in, or member of the staff of, such project or program on the basis of race, color, national origin, sex, age, political affiliation, disability, or on the basis of religion. (NOTE: the prohibition on religious discrimination does not apply to the employment of any staff member paid with non-CNCS funds or paid with CNCS funds but employed with the organization operating the project prior to or on the date the grant was awarded. If your organization is a faith-based organization that makes hiring decisions on the basis of religious belief, your organization may be entitled, under the Religious Freedom Restoration Act, 42 U.S.C. § 2000bb, to receive federal funds and yet maintain that hiring practice, even though the national service legislation includes a restriction on religious discrimination in employment of staff hired to work on a Corporation-funded project and paid with Corporation grant funds. (42 U.S.C. §§ 5057(c) and 12635(c)). For the circumstances under which this may occur, please see the document “Effect of the Religious Freedom Restoration Act on Faith-Based Applicants for Grants”: http://www.usdoj.gov/archive/fbci/effect-rfra.pdf.

• Will comply with all other federal statutes relating to nondiscrimination, including any self-evaluation requirements. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), which prohibits discrimination on the basis of handicaps; (d) The Age Discrimination Act of 1975, as amended (42 U.S.C. 6101-6107), which prohibits discrimination on the basis of age; (e) The Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; and (i) the requirements of any other nondiscrimination statute(s) which may apply to the application.

• Will provide, in the design, recruitment, and operation of any AmeriCorps program, for broad-based input from – (1) the community served, the municipality and government of the county (if appropriate) in which the community is located, and potential participants in the program; and (2) community-based agencies with a demonstrated record of experience in providing services and local labor organizations representing employees of service sponsors, if these entities exist in the area to be served by the program;

• Will, prior to the placement of participants, consult with the appropriate local labor organization, if any, representing employees in the area who are engaged in the same or similar work as that proposed to be carried out by an AmeriCorps program, to ensure compliance with the nondisplacement requirements specified in section 177 of the National and Community Service Act of 1990 (NCSA);

• Will, in the case of an AmeriCorps program that includes or serves children, consult with the parents or legal guardians of children in developing and operating the program;

• Will, before transporting minor children, provide the children’s parents or legal guardians with the reason for the transportation and obtain the parent’s or legal guardian’s permission for such transportation, consistent with state law;

• Will, in the case of an AmeriCorps program that is not funded through a State, consult with and coordinate activities with the State Commission for the state in which the program operates.

• Will ensure that any national service program carried out by the applicant using assistance provided under section 121 of the National and Community Service Act of 1990 and any national service program supported by a grant made by the applicant using such assistance will address unmet human, educational, environmental, or public safety needs through services that provide a direct benefit to the community in which the service is performed;

• Will comply with the nonduplication and nondisplacement requirements set out in section 177 of the NCSA, and in CNCS’s regulations at § 2540.100;

• Will comply with the grievance procedure requirements as set out in section 176(f) of the NCSA and in CNCS’s regulations at 45 CFR § 2540.230;

• Will provide participants in the national service program with the training, skills, and knowledge necessary for the projects that participants are called upon to perform, including training on prohibited activities;
• Will provide support services to participants, such as information regarding G.E.D. attainment and post-service employment, and, if appropriate, opportunities for participants to reflect on their service experiences;

• Will arrange for an independent evaluation of any national service program carried out using assistance provided to the applicant under section 121 of the NCSA or, with the approval of CNCS, conduct an internal evaluation of the program;

• Will apply measurable performance goals and evaluation methods, which are to be used as part of such evaluation to determine the program’s impact on communities and persons served by the program, on participants who take part in the projects, and in other such areas as required by CNCS;

• Will ensure the provision of a living allowance and other benefits to participants as required by CNCS;

• Has not violated a Federal criminal statute;

• If a state applicant, will ensure that the State subgrants will be used to support national service programs selected consistent with the requirements of the NCSA;

• If a state applicant, will seek to ensure an equitable allocation within the State of assistance and approved national service positions, taking into consideration such factors as the locations of the programs, population density, and economic distress;

• If a state applicant, will ensure that not less than 60% of the assistance will be used to make grants to support national service programs other than those carried out by a State agency, unless CNCS approves otherwise.
CERTIFICATIONS

Certification – Debarment, Suspension, and Other Responsibility Matters

This certification is required by the government-wide regulations implementing Executive Order 12549, Debarment and Suspension, 2 CFR Part 180, Section 180.335, What information must I provide before entering into a covered transaction with a Federal agency?

As the duly authorized representative of the applicant, I certify, to the best of my knowledge and belief, that neither the applicant nor its principals:

- Is presently excluded or disqualified;
- Has been convicted within the preceding three years of any of the offenses listed in § 180.800(a) or had a civil judgment rendered against it for any of those offenses within that time period;
- Is presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission or any of the offenses listed in § 180.800(a); or
- Has had one or more public transactions (Federal, State, or local) terminated within the preceding three years for cause or default.

Certification – Drug Free Workplace

This certification is required by the Corporation’s regulations implementing sections 5150-5160 of the Drug-Free Workplace Act of 1988 (P.L. 100-690), 45 CFR Part 2545, Subpart B. The regulations require certification by grantees, prior to award, that they will make a good faith effort, on a continuing basis, to maintain a drug-free workplace. The certification set out below is a material representation of fact upon which reliance will be placed when the agency determines to award the grant. False certification or violation of the certification may be grounds for suspension of payments, suspension or termination of grants, or government-wide suspension or debarment (see 45 CFR Part 2542, Subparts G and H).

As the duly authorized representative of the grantee, I certify, to the best of my knowledge and belief, that the grantee will provide a drug-free workplace by:

A. Publishing a drug-free workplace statement that:
   a. Notifies employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee’s workplace;
   b. Specifies the actions that the grantee will take against employees for violating that prohibition; and
   c. Informs employees that, as a condition of employment under any award, each employee will abide by the terms of the statement and notify the grantee in writing if the employee is convicted for a violation of a criminal drug statute occurring in the workplace within five days of the conviction;

B. Requiring that a copy of the statement described in paragraph (A) be given to each employee who will be engaged in the performance of any Federal award;

C. Establishing a drug-free awareness program to inform employees about:
   a. The dangers of drug abuse in the workplace;
   b. The grantee’s policy of maintaining a drug-free workplace;
   c. Any available drug counseling, rehabilitation, and employee assistance programs; and
   d. The penalties that the grantee may impose upon them for drug abuse violations occurring in the workplace;

D. Providing us, as well as any other Federal agency on whose award the convicted employee was working, with written notification within 10 calendar days of learning that an employee has been convicted of a drug violation in the workplace;

E. Taking one of the following actions within 30 calendar days of learning that an employee has been convicted of a drug violation in the workplace:
   a. Taking appropriate personnel action against the employee, up to and including termination; or
   b. Requiring that the employee participate satisfactorily in a drug abuse assistance or rehabilitation program approved for these purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
F. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (A) through (E).

Certification - Lobbying Activities
As required by 31 U.S.C. 1352, as the duly authorized representative of the applicant, I certify, to the best of my knowledge and belief, that:

- No federal appropriated funds have been paid or will be paid, by or on behalf of the applicant, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer of Congress in connection with the awarding of any federal contract, the making of any federal loan, the entering into of any cooperative agreement, or modification of any federal contract, grant, loan, or cooperative agreement;
- If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the applicant will submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;
- The applicant will require that the language of this certification be included in the award documents for all subcontracts at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients will certify and disclose accordingly.

Erroneous certification or assurance
The assurances and certifications are material representations of fact upon which we rely in determining whether to enter into this transaction. If we later determine that you knowingly submitted an erroneous certification or assurance, in addition to other remedies available to the federal government, we may terminate this transaction for cause or default.

Notice of error in certification or assurance
You must provide immediate written notice to us if at any time you learn that a certification or assurance was erroneous when submitted or has become erroneous because of changed circumstances.

Definitions
The terms “debarment”, “suspension”, “excluded”, “disqualified”, “ineligible”, “participant”, “person”, “principal”, “proposal”, and “voluntarily excluded” as used in this document have the meanings set out in 2 CFR Part 180, subpart I, “Definitions.” A transaction shall be considered a “covered transaction” if it meets the definition in 2 CFR part 180 subpart B, “Covered Transactions.”

Assurance requirement for subgrant agreements
You agree by submitting this proposal that if we approve your application you shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by us.

Assurance inclusion in subgrant agreements
You agree by submitting this proposal that you will obtain an assurance from prospective participants in all lower tier covered transactions and in all solicitations for lower tier covered transactions that the participants are not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction.

Assurance of subgrant principals
You may rely upon an assurance of a prospective participant in a lower-tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless you know that the assurance is erroneous. You may decide the method and frequency by which you determine the eligibility of your principals. You may, but are not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

Non-assurance in subgrant agreements
If you knowingly enter into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the federal government, we may terminate this transaction for cause or default.

Prudent person standard
Nothing contained in the aforementioned may be construed to require establishment of a system of records in order to render in good faith the assurances and certifications required. Your knowledge and information is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
Certification - Grant Review Process (State Commissions Only)
I certify that in conducting our review process, we have ensured compliance with the National and Community Service Act of 1990, and all state laws and conflict of interest rules.

ASSURANCES AND CERTIFICATIONS

ASSURANCE SIGNATURE:             NOTE: Sign this form and include in the application.

SIGNATURE:
By signing this assurances page, you certify that you agree to perform all actions and support all intentions in the Assurances section.

Organization Name:
Program Name:
     Name and Title of Authorized Representative:
Signature:
Date:

CERTIFICATION SIGNATURE:       NOTE: Sign this form and include in the application.

SIGNATURE:
By signing this certification page, you certify that you agree to perform all actions and support all intentions in the Certification sections of this application. The three Certifications are:

. Certification: Debarment, Suspension and Other Responsibility Matters
. Certification: Drug-Free Workplace
. Certification: Lobbying Activities

Organization Name:
Program Name:
Name and Title of Authorized Representative:
Signature:
Date:
ATTACHMENT J: eGrants Indirect Cost Rate (IDCR) User Instructions

eGrants Indirect Cost Rate (IDCR) User Instructions

A new feature has been introduced to eGrants which allows users to input Indirect Cost Rate information into their eGrants account. Grantees who will be claiming indirect costs on CNCS awards are required to enter the following indirect cost rates in eGrants: federally negotiated rates, state negotiated rates, and the use of *de minimis* rate of 10% of modified total direct costs (MTDC). Recipients of AmeriCorps State and National awards may only charge 5% of their negotiated rate to the federal share of the award, with the remaining balance being charged to match (See 45 CFR §§ 2521.95 and 2540.110).

*Once a rate is entered and saved in eGrants, it cannot be edited.* If users inadvertently enter incorrect information, a new entry must be submitted with the correct information.

**Entry for the IDCR screen can be accessed using the following steps:**

1) From the eGrants Home screen, in the lower panel under Managing My Account, click on My Account

![Managing My Account](image)

2) From the My Account screen, under Edit My Organization Info, click on Add and View Indirect Cost Rate

![Edit My Organization Info](image)
3) From the **Add and View Indirect Cost Rate** screen, select **add a new** to add a rate or **cancel** to back out of the screen.

Field by field instructions can be found by clicking the “?” located next to Indirect Cost Rate or Indirect Cost Rate Record.
If **add a new** is selected, the screen below will pop up.
4) **Do you have an Indirect Cost Rate to record?** Respond Yes or No. If **NO** is selected, users cannot go any further and nothing will be recorded. If **Yes** is selected, users can continue.

If your organization will be claiming a current, approved indirect cost rate on any CNCS award, it must be reported on this page. The rate information you record will be used in all award negotiation and reviews until it is superseded by a new approved rate, or expires. Applicants will have the opportunity to identify, in application submissions, if they elect to use a lesser percentage of an approved rate.

5) **Rate Type:** If your rate type is not one of the following options, contact your grants officer for guidance:
   - **Federally Negotiated** – select if your rate has been negotiated by your cognizant federal agency. Cognizance is determined by the agency which provides the highest amount of direct federal funding;
   - **State Negotiated** – select if your rate has been negotiated by a state agency or other pass through entity; or
   - **10% of MTDC** – select if your organization qualifies for and elects to use the 10% de minimus rate of Modified Total Direct Costs (MTDC). Organizations qualify for this rate if they have NEVER had a federally negotiated rate. State entities must also not receive more than $35 million in direct federal funding.

**Rates must be used consistently across ALL federal awards.**

6) **Issuing Agency.** Respond by selecting the federal agency that approved your rate, or if the federal agency who issued your rate is not listed, select **Other**, or if your rate is issued by a state agency select **Other**.
Identify federal agencies using the drop-down list. If your rate is approved by a federal agency other than the ones listed, notify your grants officer. Other federal agencies may be added as needed.

7) **Acceptance Date.** Enter a valid date.
   The acceptance date is usually identified where the rate was signed by the issuing state or federal agency.

8) **Rate Status.** Select one of the following options: Final, Provisional, Predetermined, Fixed, Other, or Other – 10%.
   Rates issued by federal agencies will almost always be final or provisional. However, if your organization has formally notified a federal or state agency of your eligibility and intent to use the 10-percent of MTDC rate, select Other – 10%. If your organization has a predetermined or fixed rate, select those options accordingly. If a state rate indicates a term that is not listed here select Other and notify your grants officer. Additional rate status options may be added as needed.

9) **Effective From.** Enter a valid date.
   The effective from date is found on your indirect cost rate document. If using the 10-percent of MTDC rate, enter today’s date or the date your organization formally started charging costs under the 10-percent of MTDC rate.

10) **Effective To.** Enter a valid date.
    The effective to date is found on your indirect cost rate document. If your organization has received approval to extend your rate, enter the end date of the extension.

11) **No Expiration.** Check or leave unchecked.
    If your rate does not have an expiration date, as is the case with the use of the 10 percent of MTDC rate, check this box, otherwise, leave unchecked.

12) **Extended?** Respond Yes or No.
    If the rate “effective to” date has been extended with approval of the federal cognizant agency under authority of the 2014 Omni Circular, respond Yes. If it is not an extended rate effective to date, respond No.

13) **Rate Base.** Enter up to 500 characters including spaces.
    Enter the text as found on your indirect cost rate approval document. For rates issued by state agencies, enter either the rate base used to determine the indirect cost pool as stated on your indirect cost rate approval document or “State Rate N/A.” If you need more than 500 characters, indicate “Summary” and record the most important content.

14) **Treatment of Fringe Benefits.** Enter up to 500 characters including spaces.
    Enter the text as found on your indirect cost rate approval document. For rates issued by state agencies, enter either the how fringe benefits were treated in determining the indirect cost rate as stated on your indirect cost rate approval document or “State Rate N/A.” If you need more than 500 characters, indicate “Summary” and record the most important content.

15) **Treatment of Paid Absences.** Enter up to 500 characters including spaces.
    Enter the text as found on your indirect cost rate approval document. For rates issued by state agencies, enter either the how paid absences were treated in determining the indirect cost rate as stated on your indirect cost rate approval document or “State Rate N/A.” If you need more than 500 characters, indicate “Summary” and record the most important content.
16) When you have completed all of the above entries, click the “save & close” button at the bottom of the page.

- If you would like to cancel your entry, click the “cancel” button and the entry will be cancelled. All entry information will be lost and no entry will be shown.
- **Once a rate is saved it cannot be modified.**
- If users inadvertently enter incorrect information, a new entry must be submitted with the correct information.

17) **Order of Rates** - Once an entry is saved, users will be able to see the rates they have entered. Rates will display in the order of entry. Entry of rates will provide users and CNCS with a historical record which can be used to clarify indirect cost rate inquiries for monitoring, consistent record maintenance, and audits.

If you have any questions or concerns, please contact your assigned grants officer.
CNCS Performance Measures Instructions

AmeriCorps State and National

2019 CLEAN
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AmeriCorps State and National Performance Measure Requirements

All applications must include at least one aligned performance measure (output paired with outcome) that corresponds to the proposed primary intervention. This may be a National Performance Measure or an applicant-determined measure depending on the program’s theory of change. Applications may also include National Performance Measure outputs without associated outcome(s) provided that the output measures a significant program activity. These output-only measures do not fulfill the requirement for an aligned performance measure, but may be selected in addition to the aligned measure(s).

All performance measures must reflect significant program activities whose outputs and outcomes are consistent with the applicant’s core theory of change. Applicants are not expected to have performance measures for every program activity. CNCS does not require applicants to use National Performance Measures but expects them to do so if National Performance Measures reflect key outputs and/or outcomes of the theory of change. Applicants may not create applicant determined outputs or outcomes that duplicate existing National Performance Measures.

All performance measures, including output-only measures, must be associated with one or more interventions (service activities). Applicants are expected to use the system-defined intervention categories if they appropriately represent the applicant’s program activities. Applicants may not create user-defined intervention labels that duplicate existing intervention categories.
AmeriCorps State and National Performance Measures Selection Rules

These selection rules specify allowable output/outcome pairings for National Performance Measures. Applicants must follow these selection rules when using National Performance Measures. Applicants may not select any National Performance Measures that may appear in eGrants if they are not present on this list. Please see the NOFO for additional information about application requirements.

Capacity Building

<table>
<thead>
<tr>
<th>Strategic Plan Objective</th>
<th>Selection Rules</th>
<th>Interventions</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Outputs</td>
<td>Outcomes (if applicable)*</td>
</tr>
<tr>
<td>Capacity Building &amp; Leverage</td>
<td>G3-3.4: Number of organizations that received capacity building services</td>
<td>G3-3.10A: Number of organizations that increase their efficiency, effectiveness, and/or program reach</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Volunteer management Training Resource development Systems development</td>
</tr>
</tbody>
</table>

*All National Performance Measure outputs may also be paired with an applicant-determined outcome instead or may be used without an associated outcome.

Disaster Services

<table>
<thead>
<tr>
<th>Strategic Plan Objective</th>
<th>Selection Rules</th>
<th>Interventions</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Outputs</td>
<td>Outcomes (if applicable)*</td>
</tr>
<tr>
<td>Assistance Provided</td>
<td>D1A: Number of individuals served</td>
<td>D5: Number of individuals reporting increased disaster readiness</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Disaster Preparation Disaster Response Disaster Recovery Disaster Mitigation</td>
</tr>
<tr>
<td></td>
<td>D6: Number of structures protected or restored</td>
<td>D7: Number of structures returned to regular use after a disaster</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Disaster Preparation Disaster Response Disaster Recovery Disaster Mitigation</td>
</tr>
<tr>
<td></td>
<td>EN4: Acres of parks or public land treated</td>
<td>EN4.1: Acres of parks or public land improved</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Disaster Preparation Disaster Response Disaster Recovery Disaster Mitigation</td>
</tr>
<tr>
<td></td>
<td>EN5: Miles of trails or rivers treated.</td>
<td>EN5.1: Miles of trails or rivers improved</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Disaster Preparation Disaster Response Disaster Recovery Disaster Mitigation</td>
</tr>
<tr>
<td></td>
<td>G3-3.4: Number of organizations that received capacity building services</td>
<td>G3-3.10A: Number of organizations that increase their efficiency, effectiveness, and/or program reach</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Disaster Preparation Disaster Response Disaster Recovery Disaster Mitigation</td>
</tr>
</tbody>
</table>

*All National Performance Measure outputs may also be paired with an applicant-determined outcome instead or may be used without an associated outcome.
## Economic Opportunity

<table>
<thead>
<tr>
<th>Strategic Plan Objective</th>
<th>Selection Rules</th>
<th>Interventions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Financial Literacy</strong></td>
<td>Outputs</td>
<td>Outcomes (if applicable)*</td>
</tr>
<tr>
<td></td>
<td>O1A: Number of individuals served</td>
<td>O9: Number of individuals with improved financial knowledge</td>
</tr>
<tr>
<td></td>
<td>Housing</td>
<td>Financial Literacy Education</td>
</tr>
<tr>
<td></td>
<td>O1A: Number of individuals served</td>
<td>O11: Number of individuals transitioned into safe, healthy, affordable housing</td>
</tr>
<tr>
<td></td>
<td>O4: Number of housing units developed or repaired</td>
<td>O20: Number of safe, healthy, affordable housing units made available</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Housing Unit Development Housing Unit Repair Housing Placement/Assistance</td>
</tr>
<tr>
<td>Employment</td>
<td>O1A: Number of individuals served</td>
<td>O10: Number of individuals who secure employment</td>
</tr>
<tr>
<td></td>
<td></td>
<td>O21: Number of individuals with improved job readiness</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Job Training Job Placement GED Education Other Adult Education</td>
</tr>
<tr>
<td>Find Opportunity</td>
<td>N/A (outputs may be reported as demographic indicators)</td>
<td>N/A (outcomes may be reported as demographic indicators)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>N/A</td>
</tr>
</tbody>
</table>

*All National Performance Measure outputs may also be paired with an applicant-determined outcome instead or may be used without an associated outcome.
## Education

<table>
<thead>
<tr>
<th>Strategic Plan Objective</th>
<th>Selection Rules</th>
<th>Interventions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>School Readiness</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ED1A: Number of individuals served</td>
<td>ED23A: Number of children demonstrating gains in school readiness</td>
<td>Tutoring, Mentoring, Other Classroom Support, Out-of-School Time, Family Involvement, Service Learning, Summer Learning, Classroom Teaching</td>
</tr>
<tr>
<td><strong>K-12 Success</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ED1A: Number of individuals served</td>
<td>ED5A: Number of students with improved academic performance ED9: Number of students graduating from high school on time ED10: Number of students enrolling in post-secondary education/training ED27C: Number of students with improved academic engagement or social-emotional skills ED6: Number of students with increased attendance ED7A: Number of students with decreased disciplinary incidents (referrals, suspensions/expulsions, criminal or gang involvement)</td>
<td>Tutoring, Mentoring, Other Classroom Support, Out-of-School Time, Family Involvement, Service Learning, Summer Learning, Classroom Teaching, Classroom Teaching, Opioid/Drug Intervention</td>
</tr>
<tr>
<td><strong>Post-HS Education Support</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ED1A: Number of individuals served</td>
<td>ED11: Number of individuals earning a post-secondary degree or technical certification</td>
<td>Tutoring, Mentoring, Family Involvement, Service Learning, Summer Learning, Summer Learning, Summer Learning</td>
</tr>
<tr>
<td><strong>Teacher Corps</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>N/A (outputs may be reported as demographic indicators)</td>
<td>N/A (outcomes may be reported as demographic indicators)</td>
<td>N/A</td>
</tr>
</tbody>
</table>

*All National Performance Measure outputs may also be paired with an applicant-determined outcome instead or may be used without an associated outcome.*
### Environmental Stewardship

<table>
<thead>
<tr>
<th>Strategic Plan Objective</th>
<th>Outputs</th>
<th>Selection Rules</th>
<th>Interventions</th>
</tr>
</thead>
</table>
| At-Risk Ecosystems       | EN4: Acres of parks or public land treated | EN4.1: Acres of parks or public land improved | Fire Mitigation  
Flood Mitigation  
Invasive Species Removal  
Debris Removal  
Plant Establishment |
|                          | EN5: Miles of trails or rivers treated. | EN5.1: Miles of trails or rivers improved | Trail Creation  
Trail Remediation  
Stream Remediation  
Fire Mitigation  
Flood Mitigation  
Invasive Species Removal  
Debris Removal  
Plant Establishment |
| Energy Efficiency        | EN1: Number of housing units or public structures weatherized or retrofitted to improve energy efficiency | EN1.1: Number of housing units or public structures with reduced energy consumption or reduced energy costs | Weatherization  
Retrofitting |
| Awareness & Stewardship  | EN3: Number of individuals receiving education or training in environmental stewardship and/or environmentally-conscious practices | EN3.1: Number of individuals with increased knowledge of environmental stewardship and/or environmentally-conscious practices  
EN3.2: Number of individuals reporting a change in behavior or intention to change behavior to better protect the environment | Education/Training  
Service Learning |
| Green Jobs               | N/A (outputs may be reported as demographic indicators) | N/A (outcomes may be reported as demographic indicators) | N/A |

*All National Performance Measure outputs may also be paired with an applicant-determined outcome instead or may be used without an associated outcome.
## Healthy Futures

<table>
<thead>
<tr>
<th>Strategic Plan Objective</th>
<th>Selection Rules</th>
<th>Interventions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Aging in Place</strong></td>
<td><strong>Outputs</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>H4A: Number of individuals served</td>
<td></td>
</tr>
<tr>
<td></td>
<td>H9A: Number of individuals who report improved capacity for independent living</td>
<td>Companionship</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Nutrition/Food Support</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Legal Services</td>
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<td></td>
<td></td>
<td>Transportation</td>
</tr>
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<td></td>
<td></td>
<td>Medical Services</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Opioid/Drug Intervention</td>
</tr>
<tr>
<td><strong>Obesity &amp; Food</strong></td>
<td><strong>Outputs</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>H4A: Number of individuals served</td>
<td></td>
</tr>
<tr>
<td></td>
<td>H12: Number of individuals who report increased food security</td>
<td>Outreach</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Education/Training</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Referrals</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Medical Services</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Nutrition/Food Support</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Physical Activities</td>
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<tr>
<td></td>
<td></td>
<td>Counseling/Coaching</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Opioid/Drug Intervention</td>
</tr>
<tr>
<td></td>
<td>H17: Number of individuals with increased health knowledge</td>
<td></td>
</tr>
<tr>
<td></td>
<td>H18: Number of individuals reporting a change in behavior or intent to change behavior to improve their health</td>
<td></td>
</tr>
<tr>
<td></td>
<td>H19: Number of individuals with improved health</td>
<td></td>
</tr>
<tr>
<td></td>
<td>H10A: Number of pounds of food provided</td>
<td>Nutrition/Food Support</td>
</tr>
<tr>
<td><strong>Access to Care</strong></td>
<td><strong>Outputs</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>H4A: Number of individuals served</td>
<td></td>
</tr>
<tr>
<td></td>
<td>H17: Number of individuals with increased health knowledge</td>
<td>Outreach</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Education/Training</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Referrals</td>
</tr>
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<td></td>
<td>Medical Services</td>
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<td></td>
<td></td>
<td>Counseling/Coaching</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Opioid/Drug Intervention</td>
</tr>
<tr>
<td></td>
<td>H18: Number of individuals reporting a change in behavior or intent to change behavior to improve their health</td>
<td></td>
</tr>
<tr>
<td></td>
<td>H19: Number of individuals with improved health</td>
<td></td>
</tr>
<tr>
<td></td>
<td>H20: Number of individuals with improved access to medical care</td>
<td></td>
</tr>
</tbody>
</table>

*All National Performance Measure outputs may also be paired with an applicant-determined outcome instead or may be used without an associated outcome.*
### Veterans and Military Families

<table>
<thead>
<tr>
<th>Strategic Plan Objective</th>
<th>Outputs</th>
<th>Selection Rules</th>
<th>Interventions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Veterans &amp; Families Served</td>
<td>V1: Number of veterans served</td>
<td>O9: Number of individuals with improved financial knowledge</td>
<td>Financial Literacy Education</td>
</tr>
<tr>
<td></td>
<td>V7A: Number of active military members and/or military family members served</td>
<td>O11: Number of individuals transitioned into safe/affordable housing</td>
<td>Housing Unit Development</td>
</tr>
<tr>
<td></td>
<td>V8: Number of veteran family members served</td>
<td>O10: Number of individuals who secure employment</td>
<td>Housing Unit Repair</td>
</tr>
<tr>
<td></td>
<td></td>
<td>O21: Number of individuals with improved job readiness</td>
<td>Housing Placement/Assistance</td>
</tr>
<tr>
<td></td>
<td></td>
<td>ED11: Number of individuals earning a post-secondary degree or technical certification</td>
<td>Job Training</td>
</tr>
<tr>
<td></td>
<td></td>
<td>H9A: Number of individuals who report improved capacity for independent living</td>
<td>Job Placement</td>
</tr>
<tr>
<td></td>
<td></td>
<td>H12: Number of individuals who report increased food security</td>
<td>GED Education</td>
</tr>
<tr>
<td></td>
<td></td>
<td>H17: Number of individuals with increased health knowledge</td>
<td>Other Adult Education</td>
</tr>
<tr>
<td></td>
<td></td>
<td>H18: Number of individuals reporting a change in behavior or intent to change behavior to improve their health</td>
<td>Tutoring</td>
</tr>
<tr>
<td></td>
<td></td>
<td>H19: Number of individuals with improved health</td>
<td>Mentoring</td>
</tr>
<tr>
<td></td>
<td></td>
<td>H20: Number of individuals with improved access to medical care</td>
<td>Family Involvement</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Service Learning</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Summer Learning</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Companionship</td>
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<tr>
<td></td>
<td></td>
<td></td>
<td>Nutrition/Food Support</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Legal Services</td>
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<td></td>
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<td>Transportation</td>
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<td>Outreach</td>
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<td></td>
<td>Referrals</td>
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<td></td>
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<td>Medical Services</td>
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<td></td>
<td>Nutrition/Food Support</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Physical Activities</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Counseling/Coaching</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Opioid/Drug Intervention</td>
</tr>
<tr>
<td>Access &amp; Attract</td>
<td>N/A (outputs may be reported as demographic indicators)</td>
<td>N/A (outcomes may be reported as demographic indicators)</td>
<td>N/A</td>
</tr>
</tbody>
</table>

*All National Performance Measure outputs may also be paired with an applicant-determined outcome instead or may be used without an associated outcome.*
CAPACITY BUILDING

Focus Area Notes
- Programs should only opt into the Capacity Building performance measures if the measures reflect significant program activities aligned with the applicant’s core theory of change.
- Activities associated with these measures must be carried out by National Service Participants or by volunteers directly recruited and/or supported by National Service Participants.
- Activities associated with these measures must meet the definition of capacity building specified in the “key terms” definition under G3-3.4.
- Programs are not permitted to create applicant-determined outputs in the Capacity Building focus area.

<table>
<thead>
<tr>
<th>G3-3.4 (output)</th>
<th>Number of organizations that received capacity building services</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Organization**: nonprofit or state/local/tribal government entity  
**Capacity building services**: a set of activities that expand the scale, reach, efficiency, or effectiveness of programs and organizations. Capacity building activities may also leverage resources (e.g., funding, volunteers, in-kind support, or partnerships) for programs and/or organizations. As a general rule, CNCS considers capacity building activities to be *indirect services* that enable organizations to provide more, better and sustained *direct services*. Capacity building activities must (1) be intended to support or enhance the program delivery model, (2) respond to the organization’s goal of increasing, expanding or enhancing services in order to address pressing community needs, and (3) enable the organization to provide a sustained level of more or better direct services after the national service participant’s term of service has ended. |
| **How to Measure/Collect Data** | Tracking mechanism that ensures an unduplicated count of organizations who have received services |

<table>
<thead>
<tr>
<th>G3-3.10 (outcome)</th>
<th>Number of organizations that increased their effectiveness, efficiency, and/or program scale/reach</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Organizations**: those counted in G3-3.4  
**Effectiveness**: Improved ability of the organization to achieve outcomes resulting in better success rates or better quality of outcomes achieved  
**Efficiency**: Improved outcomes with the same level of resources; improved or consistent quality of services with fewer resources  
**Scale/Reach**: The scope of a program’s services. Increased scale/reach can be measured by the number of new people served, new populations served, and/or new or expanded services. |
| **How to Measure/Collect Data** | Organizational assessment tool or other instrument capable of measuring changes in effectiveness, efficiency, or scale/reach at the organization level. When possible, pre-post assessments should be utilized. |
DISASTER SERVICES

Focus Area Notes
- Programs should only opt into the Disaster Services performance measures if the measures reflect significant program activities aligned with the applicant’s core theory of change.
- Any other grantees who provide disaster-related services during the program year should report on this activity in the GPR demographics and provide a brief description of those services.
- To ensure unduplicated counts, beneficiaries reported under National Performance Measures in the Disaster Services focus area should not also be reported in disaster-related GPR demographics.
- Activities associated with these measures must be carried out by National Service Participants or by volunteers directly recruited and/or supported by National Service Participants.
- All individuals counted under these measures must be program beneficiaries, not National Service Participants. National Service Participant outputs and outcomes should be reported in the GPR demographics.

<table>
<thead>
<tr>
<th>Measure Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>D1A (output)</td>
<td>Number of individuals served</td>
</tr>
<tr>
<td>Definition of Key Terms</td>
<td>Individuals: recipients of CNCS-supported services related to disaster preparedness, response, recovery, and/or mitigation. Served: substantive engagement of individuals with a specific disaster-related goal in mind. Cannot consist solely of mass dissemination of information such as email blasts, social media posts, or distributing pamphlets.</td>
</tr>
<tr>
<td>How to Measure/Collect Data</td>
<td>Tracking mechanism that ensures an unduplicated count of individuals who have received services</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Measure Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>D5 (outcome)</td>
<td>Number of individuals reporting increased disaster readiness</td>
</tr>
<tr>
<td>Definition of Key Terms</td>
<td>Individuals: those reported in measure D1A. Disaster readiness: measures taken to prepare for and reduce the effects of future disasters.</td>
</tr>
<tr>
<td>How to Measure/Collect Data</td>
<td>Survey, interview, or other instrument capable of measuring changes in disaster readiness at the individual beneficiary level. When possible, pre-post assessments should be utilized.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Measure Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>D6 (output)</td>
<td>Number of structures protected or restored</td>
</tr>
<tr>
<td>Definition of Key Terms</td>
<td>Structures: housing units or public facilities. Protected: prepared to more effectively withstand future disasters. Restored: repaired from damage sustained during a disaster.</td>
</tr>
<tr>
<td>How to Measure/Collect Data</td>
<td>Tracking mechanism that ensures an unduplicated count of structures that received services</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Measure Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>D7 (outcome)</td>
<td>Number of structures returned to regular use after a disaster</td>
</tr>
<tr>
<td>Definition of Key Terms</td>
<td>Structures: those reported in measure D6. Returned to regular use: able to be used for the same or similar purpose for which they were used prior to the disaster.</td>
</tr>
<tr>
<td>How to Measure/Collect Data</td>
<td>Report from structure owner/manager/occupant, or other instrument capable of measuring changes in condition at the individual structure level.</td>
</tr>
</tbody>
</table>
**ECONOMIC OPPORTUNITY**

**Focus Area Notes**
- Programs should only opt into the Economic Opportunity performance measures if the measures reflect significant program activities aligned with the applicant’s core theory of change.
- All individuals counted under these measures must be program beneficiaries, not National Service Participants. National Service Participant outputs and outcomes should be reported in the GPR demographics.
- Activities associated with these measures must be carried out by National Service Participants or by volunteers directly recruited and/or supported by National Service Participants.

<table>
<thead>
<tr>
<th>O1A (output)</th>
<th>Number of individuals served</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Individuals**: recipients of CNCS-supported services related to increasing economic opportunity  
**Served**: substantive engagement of individuals with a specific goal in mind related to economic opportunity. Cannot consist solely of mass dissemination of information such as email blasts, social media posts, or distributing pamphlets. |
| **How to Measure/Collect Data** | Tracking mechanism that ensures an unduplicated count of individuals who have received services |

<table>
<thead>
<tr>
<th>O4 (output)</th>
<th>Number of housing units developed or repaired</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Housing unit**: A single-family home (including a mobile home if permanently placed), an apartment, or a room in a group home for people with disabilities  
**Develop**: Build new or substantially rehabilitate housing units that were uninhabitable or soon would have become so. Involves replacing major systems such as the roof, the plumbing, the wiring, the foundation, or elevating the unit as required by a flood plain standard.  
**Repair**: A more modest level of physical work on the unit, such as weatherizing, painting, replacing appliances and removing safety hazards |
| **How to Measure/Collect Data** | Tracking mechanism that ensures an unduplicated count of the number of housing units that have received CNCS-supported development or repair services |

<table>
<thead>
<tr>
<th>O9 (outcome)</th>
<th>Number of individuals with improved financial knowledge</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Individuals**: those reported in measure O1A  
**Improved financial knowledge**: increased knowledge/understanding of financial literacy topics such as credit management, financial institutions including banks and credit unions, and utilization of savings plans |
<p>| <strong>How to Measure/Collect Data</strong> | Survey, interview, or other instrument capable of measuring changes in financial knowledge at the individual beneficiary level. When possible, pre-post assessments should be utilized. |</p>
<table>
<thead>
<tr>
<th>Measure (Outcome)</th>
<th>Definition of Key Terms</th>
<th>How to Measure/Collect Data</th>
</tr>
</thead>
</table>
| **O10** (outcome) | Number of individuals who secure employment | **Individuals**: those reported in measure O1A  
**Secure employment**: individual is hired in a new job as a result of CNCS-supported services provided; individual may have been previously working in a different job or previously unemployed  
**How to Measure/Collect Data**: Preferred method is a copy of acceptance letter from employer or copy of first pay stub. Beneficiary self-reports may also be utilized. |
| **O11** (outcome) | Number of individuals transitioned into safe, healthy, affordable housing | **Individuals**: those reported in measure O1A  
**Safe, healthy, affordable housing**: Grantee certifies that the housing is safe and healthy based on an inspection or other documentation. Grantee defines affordability and certifies that the housing is affordable to the individual(s) transitioning into the unit.  
**How to Measure/Collect Data**: Preferred method is a proof of residence such as a lease, mortgage, certificate of occupancy, or other verification from an external agency. Beneficiary self-reports may also be utilized. |
| **O20** (outcome) | Number of safe, healthy, affordable housing units made available | **Housing unit**: those reported in measure O4  
**Safe, healthy, affordable housing unit**: Grantee certifies that the housing unit is safe and healthy based on an inspection or other documentation. Grantee defines affordability and certifies that the housing unit is affordable.  
**Made available**: This count indicates that the work has been completed to make the units available but they may or may not have been occupied.  
**How to Measure/Collect Data**: Tracking mechanism that ensures an unduplicated count of the number of safe, healthy, affordable housing units that have been made available. |
| **O21** (outcome) | Number of individuals with improved job readiness | **Individuals**: those reported in measure O1A  
**Improved job readiness**: increased knowledge or skills related to seeking, obtaining, or successfully retaining a job.  
**How to Measure/Collect Data**: Survey, interview, observation, or other instrument capable of measuring changes in job readiness at the individual beneficiary level. When possible, pre-post assessments should be utilized. |
Focus Area Notes

- Programs should only opt into the Education performance measures if the measures reflect significant program activities aligned with the applicant’s core theory of change.
- All individuals counted under these measures must be program beneficiaries, not National Service Participants. National Service Participant outputs and outcomes should be reported in the GPR demographics.
- Activities associated with these measures must be carried out by National Service Participants or by volunteers directly recruited and/or supported by National Service Participants.

<table>
<thead>
<tr>
<th>ED1A (output)</th>
<th>Number of individuals served</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td>Individuals: recipients of CNCS-supported services related to education; may include students enrolled in grades K-12, out-of-school youth, preschool age children, and/or individuals pursuing postsecondary education. Served: substantive engagement of individuals with a specific education-related goal in mind. Cannot consist solely of mass dissemination of information such as email blasts, social media posts, or distributing pamphlets.</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>Tracking mechanism that ensures an unduplicated count of individuals who have received services.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>ED5A (outcome)</th>
<th>Number of students with improved academic performance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td>Students: those reported in ED1A. Improved academic performance: an improved demonstration of skill or knowledge in one or more academic subjects.</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>Standardized test, report card grade, or other instrument capable of measuring changes in academic performance at the individual beneficiary level. When possible, pre-post assessments should be utilized.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>ED6 (outcome)</th>
<th>Number of students with increased school attendance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td>Students: those reported in ED1A. Increased school attendance: higher rate of presence and/or on-time arrival at school as compared to a previous comparable time period.</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>School/district/classroom attendance records or other instrument capable of measuring changes in attendance at the individual beneficiary level.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>ED7A (outcome)</th>
<th>Number of students with decreased disciplinary incidents (referrals, suspensions/expulsions, criminal or gang involvement)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td>Students: those reported in ED1A. Decreased disciplinary incidents: lower rate of incidents as compared to a previous comparable time period.</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>School/district/classroom records, police records, or other instrument capable of measuring changes in disciplinary incidents at the individual beneficiary level.</td>
</tr>
<tr>
<td>ED9 (outcome)</td>
<td>Number of students graduating from high school on time with a diploma</td>
</tr>
<tr>
<td>--------------</td>
<td>---------------------------------------------------------------------</td>
</tr>
</tbody>
</table>
| **Definition of Key Terms** | **Students:** those reported in ED1A  
**On Time:** Within four years of starting 9th grade |
| **How to Measure/Collect Data** | Preferred method is school/district graduation records for student beneficiaries. Beneficiary self-reports may also be utilized. |
| ED10 (outcome) | Number of students enrolling in post-secondary education or training |
| **Definition of Key Terms** | **Students:** those reported in ED1A  
**Post-secondary education or training** may include two- or four-year college programs or occupational/vocational programs  
**Enrolling:** means matriculating as a full-time or part-time student |
| **How to Measure/Collect Data** | Preferred method is registration records that confirm student enrollments. Beneficiary self-reports may also be utilized. |
| ED11 (outcome) | Number of students earning a post-secondary degree |
| **Definition of Key Terms** | **Students:** those reported in ED1A  
**Degree:** may include an associate degree from an accredited academic program or an occupational or vocational program; a bachelor’s degree (ex., BA, BS); a master’s degree (ex.: MA, MS, MEng, MEd, MSW); a professional school degree (ex.: MD, DDS, DVM); or a doctorate degree (ex.: PhD, EdD) |
| **How to Measure/Collect Data** | Preferred method is registration records that confirm degree was earned. Beneficiary self-reports may also be utilized. |
| **Notes** | Programs may only select this measure if they are able to collect data during a one-year grant period. |
| ED23A (outcome) | Number of children demonstrating gains in school readiness |
| **Definition of Key Terms** | **Children:** those reported in ED1A  
**School readiness:** Preparation for Kindergarten which includes multiple indicators assessed across developmental and behavioral domains including but not limited to physical well-being, health and motor development, social and emotional development, approaches to learning, language development, cognitive development, and age-appropriate academic skills and behavior. |
<p>| <strong>How to Measure/Collect Data</strong> | Teacher observation, standardized test, or other instrument capable of measuring changes in school readiness at the individual beneficiary level. When possible, pre-post assessments should be utilized. |</p>
<table>
<thead>
<tr>
<th><strong>ED27C (outcome)</strong></th>
<th>Number of students with improved academic engagement or social and emotional skills</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Students:** those reported in ED1A  
**Improved academic engagement or social and emotional skills:** A positive change in student skills, attitude, and/or mindset that is likely to contribute to increased educational success. May include increased interest in school, improved perspective on school climate, increased attachment to school and/or increased educational aspirations. |
| **How to Measure/Collect Data** | Survey, observation, or other instrument capable of measuring changes in academic engagement or social and emotional skills at the individual beneficiary level. When possible, pre-post assessments should be utilized. |
| **Notes** | Academic or behavioral improvements counted under ED5A, ED6, or ED7A cannot be counted under this measure |
ENVIRONMENTAL STEWARDSHIP

Focus Area Notes
- Programs should only opt into the Environmental Stewardship performance measures if the measures reflect significant program activities aligned with the applicant’s core theory of change.
- All individuals counted under these measures must be program beneficiaries, not National Service Participants. National Service Participant outputs and outcomes should be reported in the GPR demographics.
- Activities associated with these measures must be carried out by National Service Participants or by volunteers directly recruited and/or supported by National Service Participants.
- CNCS encourages grantees to perform service with the greatest impact versus providing minimal impact to the highest number of acres/miles/individuals

<table>
<thead>
<tr>
<th>EN1 (output)</th>
<th>Number of housing units or public structures weatherized or retrofitted to improve energy efficiency</th>
</tr>
</thead>
</table>
| Definition of Key Terms | Housing unit: a single-family home (including a mobile home if permanently placed), an apartment, or a room in a group home for people with disabilities  
Public structure: Shelter, such as homeless shelter or emergency shelter operated by a nonprofit or government organization; government-owned building  
Weatherization: Modifying a building to reduce energy consumption and costs and optimize energy efficiency. Whole-house weatherization includes the installation of modern energy-saving heating and cooling equipment and looks at how the house performs as a system.  
Retrofit: An energy conservation measure applied to an existing building or the action of improving the thermal performance or maintenance of a building. |
| How to Measure/Collect Data | Tracking mechanism that ensures an unduplicated count of units/structures that have received services |

<table>
<thead>
<tr>
<th>EN1.1 (outcome)</th>
<th>Number of housing units or public structures with reduced energy consumption or reduced energy costs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Definition of Key Terms</td>
<td>Housing units or public structures: those reported in EN1</td>
</tr>
<tr>
<td>How to Measure/Collect Data</td>
<td>Utility bill/statement, computer modeling, resident survey, or other instrument capable of measuring changes in energy consumption or energy costs at the individual unit/structure level. When possible, pre-post assessments should be utilized.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>EN3 (output)</th>
<th>Number of individuals receiving education or training in environmental stewardship and/or environmentally-conscious practices</th>
</tr>
</thead>
</table>
| Definition of Key Terms | Environmental Stewardship and/or environmentally conscious practices: Organized efforts to teach about how natural environments function and, particularly, how human beings can manage their behavior and choices in order to live sustainably within those environments.  
Education or training: substantive engagement of individuals with a specific education goal in mind. Cannot consist solely of mass dissemination of information such as email blasts, social media posts, or distributing pamphlets. |
<p>| How to Measure/Collect Data | Tracking mechanism that ensures an unduplicated count of individuals that have received services |</p>
<table>
<thead>
<tr>
<th>EN3.1 (outcome)</th>
<th>Number of individuals with increased knowledge of environmental stewardship and/or environmentally-conscious practices</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td><strong>Individuals</strong>: those reported in EN3</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>Survey, test, or other instrument capable of measuring changes in knowledge at the individual beneficiary level. When possible, pre-post assessments should be utilized.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>EN3.2 (outcome)</th>
<th>Number of individuals reporting a change in behavior or the intent to change behavior to better protect the environment</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td><strong>Individuals</strong>: those reported in EN3</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>Survey, interview, or other instrument capable of measuring changes in behavior at the individual beneficiary level. When possible, pre-post assessments should be utilized.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>EN4 (output)</th>
<th>Number of acres of public parks or other public and tribal lands that are treated</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Public parks**: Park/recreation areas that are designated by national, state, city, or county governments (not trails or rivers; see EN5)  
**Other public lands**: Other publicly owned lands; land owned by nonprofits for public use or the public good (such as land conservancies); and public easements  
**Tribal lands**: Same meaning as imparted by the definitions of “Indian Lands” and “Indian Tribes” provided in. SEC. 101. [42 U.S.C. 12511]  
**Treated**: Removal of invasive species, planting native plants, building riparian buffers, clearing of natural debris (such as fallen trees/limbs, hazardous fuel) and unnatural debris (such as disaster debris and improperly disposed waste). Treatment must go beyond basic trash removal. |
| **How to Measure/Collect Data** | Tracking mechanism that ensures an unduplicated count of acres of land that have received services |

<table>
<thead>
<tr>
<th>EN4.1 (outcome)</th>
<th>Number of acres of public parks or other public and tribal lands that are improved</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Acres of public parks or other public and tribal lands**: those reported in EN4  
**Improved**: Renovated to reduce human impact or the negative impact of natural disasters or invasive species, restored native plants and habitat, reduced erosion, protected watersheds, reduced wildfire risk, upgraded or repaired outdoor recreation facilities or signage, increased public safe access, protected flora and fauna. Improvement should be consistent with an accepted natural resource restoration, maintenance or improvement plan. |
<p>| <strong>How to Measure/Collect Data</strong> | Land manager assessment or other instrument capable of measuring changes in land condition at the scale of individual acres. When possible, pre-post assessments should be utilized. |</p>
<table>
<thead>
<tr>
<th>EN5 (output)</th>
<th>Number of miles of public trails or waterways that are treated and/or constructed</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Public trails or waterways:** owned/maintained by national, state, county, city or tribal governments; nonprofits when for public use or the public good; and public easements  
**Treated:** Removal of invasive species, planting native plants, building riparian buffers, improving tread/corridor of existing trail or making changes to increase the trail lifespan, implementing safety measures, removal of unsafe trail structures, repair of damage caused by visitor use, changes to increase accessibility, clearing of natural debris (such as fallen trees/limbs and hazardous fuel) and unnatural debris (such as disaster debris and improperly disposed waste). Treatments must go beyond basic trash removal.  
**Constructed:** Activities designed to make trails newly available such as adding handicapped accessibility, building boardwalks, trail-blazing, converting a railroad bed to a trail, etc. |
| **How to Measure/Collect Data** | Tracking mechanism that ensures an unduplicated count of miles of trail/waterway that have received services |

<table>
<thead>
<tr>
<th>EN5.1 (outcome)</th>
<th>Number of miles of public trails or waterways that are improved and/or put into use</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Miles of public trails or waterways:** those reported in EN5  
**Improved:** Restored to reduce human impact or the negative impact of natural disasters or invasive species, restored native plants and habitat, reduced erosion, protected watersheds, increased safe condition or long-term sustainability of trails, increased accessibility, protected flora and fauna. Improvement should be consistent with an accepted natural resource restoration, maintenance or improvement plan.  
**Put into use:** Established safe and useable trails that are now available for public access |
| **How to Measure/Collect Data** | Land manager assessment or other instrument capable of measuring changes in trail or waterway condition at the scale of individual miles. When possible, pre-post assessments should be utilized. |
HEALTHY FUTURES

Focus Area Notes
- Programs should only opt into the Healthy Futures performance measures if the measures reflect significant program activities aligned with the applicant’s core theory of change.
- All individuals counted under these measures must be program beneficiaries, not National Service Participants. National Service Participant outputs and outcomes should be reported in the GPR demographics.
- Activities associated with these measures must be carried out by National Service Participants or by volunteers directly recruited and/or supported by National Service Participants.

<table>
<thead>
<tr>
<th>H4A (output)</th>
<th>Number of individuals served</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Individuals**: recipients of CNCS-supported services related to improving health-related outcomes  
**Served**: substantive engagement of individuals with a specific health-related goal in mind. Cannot consist solely of mass dissemination of information such as email blasts, social media posts, or distributing pamphlets. |
| **How to Measure/Collect Data** | Tracking mechanism that ensures an unduplicated count of individuals who have received services |

<table>
<thead>
<tr>
<th>H9A (outcome)</th>
<th>Number of individuals who report improved capacity for independent living</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td><strong>Individuals</strong>: those reported in H4A</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>Survey, interview, caseworker assessment, or other instrument capable of measuring changes in independent living capacity at the individual beneficiary level. When possible, pre-post assessments should be utilized.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>H10 (output)</th>
<th>Number of pounds of food provided</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td><strong>Food provided</strong>: made available at reduced or no cost to individuals and/or organizations for the purpose of alleviating food insecurity or hunger. May include community garden programs.</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>Tracking mechanism that ensures an unduplicated count of pounds of food provided</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>H12 (outcome)</th>
<th>Number of individuals who report increased food security</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Individuals**: those reported in H4A  
**Food security**: Access at all times to enough food for an active, healthy life. Food security includes at a minimum: (1) the ready availability of nutritionally adequate and safe foods, and (2) an assured ability to acquire acceptable foods in socially acceptable ways (that is, without resorting to emergency food supplies, scavenging, stealing, or other coping strategies). [USDA] |
<p>| <strong>How to Measure/Collect Data</strong> | Survey, interview, caseworker assessment, or other instrument capable of measuring changes in food security at the individual beneficiary level. When possible, pre-post assessments should be utilized. |</p>
<table>
<thead>
<tr>
<th>H17 (outcome)</th>
<th>Number of individuals with increased health knowledge</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td><strong>Individuals</strong>: those reported in H4A</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>Survey, test, or other instrument capable of measuring changes in knowledge at the individual beneficiary level. When possible, pre-post assessments should be utilized.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>H18 (outcome)</th>
<th>Number of individuals reporting a change in behavior or intent to change behavior to improve their health</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td><strong>Individuals</strong>: those reported in H4A</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>Survey, interview, or other instrument capable of measuring changes in behavior at the individual beneficiary level. When possible, pre-post assessments should be utilized.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>H19 (outcome)</th>
<th>Number of individuals with improved health</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td><strong>Individuals</strong>: those reported in H4A</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>Assessment by a healthcare professional, survey, or other instrument capable of measuring changes in health condition at the individual beneficiary level. When possible, pre-post assessments should be utilized.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>H20 (outcome)</th>
<th>Number of individuals with improved access to medical care</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Definition of Key Terms</strong></td>
<td><strong>Individuals</strong>: those reported in H4A</td>
</tr>
<tr>
<td><strong>How to Measure/Collect Data</strong></td>
<td>Survey, interview, caseworker assessment, or other instrument capable of measuring changes in health care access at the individual beneficiary level. When possible, pre-post assessments should be utilized.</td>
</tr>
</tbody>
</table>
**VETERANS AND MILITARY FAMILIES**

**Focus Area Notes**
- Programs should only opt into the Veterans and Military Families performance measures if the measures reflect significant program activities aligned with the applicant’s core theory of change.
- All individuals counted under these measures must be program beneficiaries, not National Service Participants. National Service Participant outputs and outcomes should be reported in the GPR demographics.
- Activities associated with these measures must be carried out by National Service Participants or by volunteers directly recruited and/or supported by National Service Participants.

<table>
<thead>
<tr>
<th>V1 (output)</th>
<th>Number of veterans served</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Veteran**: a person who served in the active military, naval, or air service, and who was discharged or released therefrom under conditions other than dishonorable [Section 101 of Title 38, 23 United States Code]  
**Served**: substantive engagement of individuals with specific outcome(s) in mind. Cannot consist solely of mass dissemination of information such as email blasts, social media posts, or distributing pamphlets. |
| **How to Measure/Collect Data** | Tracking mechanism that ensures an unduplicated count of individuals who have received services |

<table>
<thead>
<tr>
<th>V7A (output)</th>
<th>Number of active duty military service members and/or military family members served</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Active duty military service member**: The term “active duty” means “full-time duty in the active military service of the United States, including active duty or full-time training duty in the Reserve Component” [DOD Dictionary of Military and Associated Terms, April 2018]. CNCS considers National Guard members and reservists and wounded warriors sub-groups of active duty military service members for the purposes of grant applications and performance measure reporting.  
**Military family member**: Immediate family member related by blood, marriage, or adoption to a current member of the U.S. armed forces including one who is deceased.  
**Served**: substantive engagement of individuals with specific outcome(s) in mind. Cannot consist solely of mass dissemination of information such as email blasts, social media posts, or distributing pamphlets. |
| **How to Measure/Collect Data** | Tracking mechanism that ensures an unduplicated count of individuals who have received services |

<table>
<thead>
<tr>
<th>V8 (output)</th>
<th>Number of veteran family members served</th>
</tr>
</thead>
</table>
| **Definition of Key Terms** | **Veteran**: a person who served in the active military, naval, or air service, and who was discharged or released therefrom under conditions other than dishonorable [Section 101 of Title 38, 23 United States Code]  
**Veteran family member**: Immediate family member related by blood, marriage, or adoption to a veteran, including one who is deceased.  
**Served**: substantive engagement of individuals with specific outcome(s) in mind. Cannot consist solely of mass dissemination of information such as email blasts, social media posts, or distributing pamphlets. |
Appendix A: Understanding MSY and Member Allocations

How to Calculate MSY and Member Allocations

In the performance measure module, applicants enter the total share of program resources (MSYs and members) that will be directed to each objective. Member and MSY allocations entered in the application should be the program’s best estimate of how member time will be allocated.

The charts below show how a sample program could calculate its MSY allocations for different member types and different percentages of member time spent per objective. In this example, the program has a total of 135 members representing a variety of different slot types. All members spend at least some of their time contributing to the K-12 Success objective. The program’s full-time and half-time members also spend time contributing to the School Readiness objective: 50% of their time for full-time members and 20% for half-time members.

### Objective #1: K-12 Success

<table>
<thead>
<tr>
<th>Type of Member</th>
<th>MSY Multiplier for Type</th>
<th>Number of Members for Type</th>
<th>% of Member Time for Objective</th>
<th>MSY Allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td>FT</td>
<td>1</td>
<td>x 100</td>
<td>.50</td>
<td>= 50</td>
</tr>
<tr>
<td>TQT</td>
<td>.7</td>
<td>x 0</td>
<td>0</td>
<td>=</td>
</tr>
<tr>
<td>HT</td>
<td>.5</td>
<td>x 5</td>
<td>.80</td>
<td>= 2</td>
</tr>
<tr>
<td>RHT</td>
<td>.3809524</td>
<td>x 10</td>
<td>1.00</td>
<td>= 3.81</td>
</tr>
<tr>
<td>QT</td>
<td>.26455027</td>
<td>x 10</td>
<td>1.00</td>
<td>= 2.65</td>
</tr>
<tr>
<td>MT</td>
<td>.21164022</td>
<td>x 10</td>
<td>1.00</td>
<td>= 2.17</td>
</tr>
<tr>
<td><strong>Total Members</strong></td>
<td><strong>135</strong></td>
<td></td>
<td></td>
<td><strong>Total MSYs 60.63</strong></td>
</tr>
</tbody>
</table>

### Objective #2: School Readiness

<table>
<thead>
<tr>
<th>Type of Member</th>
<th>MSY Multiplier for Type</th>
<th>Number of Members for Type</th>
<th>% of Member Time for Objective</th>
<th>MSY Allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td>FT</td>
<td>1</td>
<td>x 100</td>
<td>.50</td>
<td>= 50</td>
</tr>
<tr>
<td>TQT</td>
<td>.7</td>
<td>x 0</td>
<td>0</td>
<td>=</td>
</tr>
<tr>
<td>HT</td>
<td>.5</td>
<td>x 5</td>
<td>.20</td>
<td>= .5</td>
</tr>
<tr>
<td>RHT</td>
<td>.3809524</td>
<td>x 10</td>
<td>0</td>
<td>=</td>
</tr>
<tr>
<td>QT</td>
<td>.26455027</td>
<td>x 10</td>
<td>0</td>
<td>=</td>
</tr>
<tr>
<td>MT</td>
<td>.21164022</td>
<td>x 10</td>
<td>0</td>
<td>=</td>
</tr>
<tr>
<td><strong>Total Members</strong></td>
<td><strong>105</strong></td>
<td></td>
<td></td>
<td><strong>Total MSYs 50.5</strong></td>
</tr>
</tbody>
</table>

How It Looks on the MSY Tab

The program enters the total number of MSYs and members for each objective on the MSY/Members tab of the performance measures module. The system automatically calculates the percentage of MSYs allocated to each objective.

23
Note: Programs that select the Find Opportunity objective (Economic Opportunity Focus Area) or the Teacher Corps objective (Education Focus Area) must enter 0 MSYs and members for these objectives and allocate their MSYs to other objectives. This is because the MSY allocations are designed to show how programs’ resources are allocated to activities that benefit the community. The Find Opportunity and Teacher Corps objectives are focused on benefits to members.

How It Looks in the 424 PDF

Table 1 and its corresponding pie chart show the total number of MSYs by Focus Area. Since both the K-12 Success and School Readiness objectives are in the Education Focus Area, Table 1 shows 100% of MSYs in Education.
Table 4 in the PDF report shows the number of MSYs and members allocated to each objective, as seen on the MSY/Members tab:

<table>
<thead>
<tr>
<th>Objectives</th>
<th>No of MSYs</th>
<th>No of Members</th>
</tr>
</thead>
<tbody>
<tr>
<td>K-12 Success</td>
<td>60.63</td>
<td>135</td>
</tr>
<tr>
<td>School Readiness</td>
<td>50.50</td>
<td>105</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>111.13</strong></td>
<td><strong>240</strong></td>
</tr>
</tbody>
</table>

Because some members are performing service related to both K-12 Success and School Readiness, the total number of members listed in this table (240) is greater than the total number of slots the program is requesting (135). This is OK; individual members can be counted more than once if they contribute to more than one objective. In contrast, MSYs cannot be counted more than once, because they represent the amount of member time spent on a particular objective. As a result, the total number of MSYs listed in this table must be equal to the total MSYs the program is requesting (111.13).

Table 2 and its corresponding pie chart show the same MSY information expressed as percentages of the total MSYs:

Table 2: MSYs by Objectives

<table>
<thead>
<tr>
<th>Objectives</th>
<th>%MSYs</th>
</tr>
</thead>
<tbody>
<tr>
<td>K-12 Success</td>
<td>55%</td>
</tr>
<tr>
<td>School Readiness</td>
<td>45%</td>
</tr>
</tbody>
</table>

How to Assign MSYs to Performance Measures

When a program creates a performance measure, it must indicate how many MSYs and how many members will contribute to the measure. Based on the MSY allocations already entered for the sample program, the program may allocate up to 60.63 MSYs to K-12 Success performance measures and up to 50.5 MSYs to School Readiness performance measures. However, programs are not required to measure all of their activities, so it is possible that not all of these MSYs will be allocated to performance measures.
Our sample program has three performance measures, one (an aligned measure) under the K-12 Success objective and two (an output-only measure and an applicant determined measure) under the School Readiness objective.

### Objective #1: K-12 Success

<table>
<thead>
<tr>
<th>Intervention</th>
<th>Performance Measure</th>
<th>Percent of K-12 Success Time Spent on Activities that Contribute to this Measure</th>
<th>$x$</th>
<th>Total MSYs in Objective</th>
<th>MSYS Allocated to Performance Measure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mentoring*</td>
<td>ED1A, ED27C</td>
<td>.75</td>
<td>$x$</td>
<td>60.63</td>
<td>45.47</td>
</tr>
<tr>
<td>Family Involvement</td>
<td>No Performance Measure</td>
<td>.25</td>
<td>$x$</td>
<td>60.63</td>
<td>NA</td>
</tr>
</tbody>
</table>

*This is the primary intervention

### Objective #2: School Readiness

<table>
<thead>
<tr>
<th>Intervention</th>
<th>Performance Measure</th>
<th>Percent of School Readiness Time Spent on Activities that Contribute to this Measure</th>
<th>$x$</th>
<th>Total MSYs in Objective</th>
<th>MSYS Allocated to Performance Measure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Other Classroom Support</td>
<td>ED1A</td>
<td>.75</td>
<td>$x$</td>
<td>50.5</td>
<td>37.88</td>
</tr>
<tr>
<td>Parent Engagement</td>
<td>Applicant-Determined Measure</td>
<td>.25</td>
<td>$x$</td>
<td>50.5</td>
<td>12.62</td>
</tr>
</tbody>
</table>

**How It Looks in the 424 PDF**

Table 3 and its corresponding pie chart in the 424 PDF report shows the percentage of MSYs allocated to National Performance Measures, applicant-determined performance measures, or no performance measures. As seen in the table above, the program has two National Performance Measures (ED1A/27C and ED 1A), accounting for 83.35 of the 111.13 total MSYs (75%). The program has one applicant-determined measure accounting for 12.62 MSYs (11%), and the remaining percentage (14%) of program activity is not being measured.

The configuration above meets the CNCS requirement to have one aligned performance measure (output + outcome) corresponding to the primary intervention. Programs are not required to allocate 100% of their MSYs and members to National Performance Measures, or to any performance measures at all.
Appendix B: Performance Measures Checklist

This checklist is used to assess performance measures during the review process. Items on the checklist are common problems that require resolution but do not represent a comprehensive list. Refer to the Performance Measure Instructions for full requirements.

<table>
<thead>
<tr>
<th>Checklist Item</th>
<th>Primary Aligned Measure (required)</th>
<th>Additional Measure (optional)</th>
<th>Additional Measure (optional)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Resource Allocation</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 MSY and member allocations to focus areas and objectives are consistent with the application narrative/logic model.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 MSY and member allocations to performance measures are consistent with the application narrative/logic model.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Performance Measure Requirements</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 The application includes least 1 aligned performance measure (output + outcome) corresponding to the primary intervention.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 All performance measures reflect significant program activities whose outputs and outcomes are consistent with the applicant’s core theory of change.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Any output-only measures included in the application consist solely of National Performance Measure outputs.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 The application does not include applicant-determined measures that duplicate existing National Performance Measures. (Note: Applicant-determined measures are recognizable by the labels OUTPT or OUTCM, followed by numbers. Any applications containing these labels are NOT National Performance Measures, even if the applicant has labeled them like a national measure.)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Interventions</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Interventions are consistent with the program design and contribute directly to the outputs and outcomes.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 The application does not include user-defined intervention labels that duplicate existing system-defined intervention categories.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Output Quality</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 Outputs clearly specify what is counted.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10 Outputs count only program beneficiaries, not National Service Participants.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Outcome Quality and Alignment</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Outcomes are logically aligned with the outputs.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 Outcomes reflect a meaningful change in knowledge, attitude, behavior or condition for program beneficiaries. (Note: completion of a program would be considered an output, not an outcome.)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13 Outcomes can be measured during a single grant year.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Targets</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14 Output and outcome targets are reasonable for the proposed program design.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15 Targets are expressed as numbers, not percentages.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Performance Measure Instructions</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16 National Performance Measures conform to selection rules, definitions, and data collection requirements specified in the Performance Measure Instructions.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17 The application does not include any retired National Performance Measures (e.g., measures that do not appear in the Performance Measure Instructions).</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Data Collection/Instruments</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>----------------------------------------------------------------</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18 Data collection methods are appropriate for the output/outcome being measured.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Sampling (if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>19 If sampling is proposed, the sampling plan is forwarded to CNCS for consideration. (Note: Formula grantees are not permitted to sample.)</td>
</tr>
</tbody>
</table>
Appendix C: Frequently Asked Questions

1. **How have the AmeriCorps performance measure requirements changed in 2019?**

The performance measure requirement for AmeriCorps State and National programs – at least one aligned performance measure (output paired with outcome) that corresponds to the proposed primary intervention – has not changed from previous years. However, CNCS has substantially revised the list of National Performance Measures available for programs to use in 2019, and is also requiring all performance measures to reflect the community impact of the program. All 2019 applicants, including continuation applicants, must revise their applications to remove or replace any performance measures that do not correspond with the updated Performance Measure Instructions.

2. **What should a continuation applicant do if one or more of their National Performance Measures is no longer present in the Performance Measure Instructions?**

All applicants, including continuation applicants, must follow the National Performance Measure selection rules specified in the 2019 Performance Measure Instructions. Applicants must revise their performance measures as needed to conform to the updated instructions.

3. **What should a continuation grantee who is measuring a National Performance Measure output or outcome that has a changed definition do in the continuation application?**

If the grantee is unable to measure the output or outcome as defined in the 2019 Performance Measure Instructions, the grantee should choose a different performance measure.

4. **What are National Performance Measures?**

CNCS has established six Focus Areas: Disaster Services, Economic Opportunity, Education, Environmental Stewardship, Healthy Futures, and Veterans and Military Families based on the priorities included in the Serve America Act. Within these Focus Areas, as well as for Capacity Building, CNCS has created National Performance Measures in order to aggregate the results of similar programs and demonstrate the impact across our agency programs and initiatives.

5. **What is an aligned performance measure?**

An aligned performance measure is an output paired with an outcome. The paired output-outcome measures may be National Performance Measures, applicant-determined measures, or the combination of a National Performance Measure output and an applicant-determined outcome. Applicant-determined outputs cannot be paired with National Performance Measure outcomes in an aligned performance measure.

6. **What is an applicant-determined performance measure?**

An applicant-determined performance measure is one in which the applicant creates the language for the outputs and/or outcomes that will be measured. This is different from the National Performance Measures, where CNCS pre-determines common outputs and, in some instances, outcomes that are available for applicants to use.

7. **What is an output-only performance measure?**

An output-only measure is a National Performance Measure output without associated outcome(s). Applicants may select output-only measures if the output measures a significant program activity. These do not fulfill the requirement for an aligned performance measure, but may be selected in addition to the aligned measure(s).

8. **What is the definition of “National Service Participant”?**

For AmeriCorps State and National programs, National Service Participant refers to the AmeriCorps members themselves.
9. **Can I count AmeriCorps members as beneficiaries of service under my performance measures?**

   No. Effective for all 2019 applicants (new, recompete, and continuation), AmeriCorps members and other National Service Participants may not be counted as beneficiaries under either National Performance Measures or applicant-determined measures. Some member-focused outputs and outcomes may be reported as demographic indicators.

10. **Do the AmeriCorps members in my program have to be directly providing the service counted in the performance measures?**

    The activities associated your performance measures must be carried out either by National Service Participants or by volunteers directly recruited and/or supported by National Service Participants.

11. **How many performance measures should I propose?**

    Each program must have at least one aligned measure (composed of an output and an outcome) reflecting its primary intervention. It is appropriate to have additional performance measures for other significant components of your program, but CNCS values the quality of performance measures more than the quantity. Performance measures reflecting activities that are not central to your program model should not be included in your application and do not need to be reported to CNCS, although you might still collect the data for your own purposes.

12. **Can I count the same beneficiaries in more than one performance measure output?**

    Unless otherwise specified, the same beneficiaries may be counted more than once across different measures for different interventions (services) they may be receiving. For example, if your AmeriCorps members helped one specific individual to both prepare for a disaster and obtain employment, you may count that same individual in both D1A and O1A. However, programs cannot count the same individual more than once within any one specific performance measure. So, for example, an individual who attended two disaster preparation training workshops during a single program year cannot be counted twice under D1A.

13. **Do all beneficiaries counted under a particular performance measure have to receive the same interventions?**

    Yes, the interventions associated with the measure need to be applicable to all of the beneficiaries counted under the measure, even if the details of the intervention (e.g., the exact dosage provided) may vary. If one set of beneficiaries is receiving one type of intervention (e.g., mentoring) and a different set of beneficiaries is receiving a different type of intervention (e.g., tutoring), the two sets of beneficiaries should be counted in separate performance measures even if the expected outcome is the same. In contrast, if all beneficiaries are receiving multiple interventions with the same expected outcome (e.g., all beneficiaries are being both mentored and tutored to improve their academic performance), they can be counted in a single performance measure.

14. **Can one performance measure output have more than one outcome?**

    Yes, there may be more than one outcome measure associated with a single output. Aligned measures should only be configured this way if it is expected that all of the individuals counted in the output will potentially achieve the outcomes indicated. If the outcomes are resulting from different populations being served, they should be counted in distinct performance measures.

15. **Can I count the same people more than once under one performance measure output or outcome if they receive service in more than one grant year?**

    If the individual receives services in more than one grant year, they may be counted as having received services in the output measure for each of the grant years in which they receive services. They may only be counted in the outcome measure for each grant year if they meet the specified level of improvement for the outcome in each year. For example, a student receives tutoring in second and third grade. The program expects that students will improve their reading score by one grade level each year. If the student is served in both second and third grade and
improves by one grade level in each year, the student may be counted in output ED1A and outcome ED5A for both years. If the student improves by $\frac{1}{2}$ grade level in second grade and one grade level in third grade, the student may be counted in ED1A for both years but only in ED5A for the third-grade year.

16. **Am I allowed to allocate funds for collecting and analyzing data? If so, how much?**

Costs related to measuring the performance of a program are allowable grant expenses. There is no standard recommended amount. As with all grant expenditures, these costs must be reasonable, allowable for the proposed program, and properly allocated across grant activities.

17. **Is my program expected to monitor member time to ensure that it corresponds to what is entered into the Performance Measures Module for the focus areas and strategic plan objectives?**

Applicants should enter MSYs and members according to the distribution of time that members are expected to engage in each focus area and strategic plan objective. Programs will not be required to report on how the members actually spent their time. MSY allocations in the application should present the program’s best estimate.

18. **On the logic model chart there are three outcome levels (short, medium and long). Should all of these outcomes be entered as performance measures?**

A program may have a theory of change that is based on accomplishing a long-term change in condition that is not measurable in a single program year. However, there may be shorter-term changes that can be linked to this ultimate goal that are strong indicators that the long-term change is likely to happen. While all of these outcomes may be included in the logic model, grantees are not required to measure or report on all of the outcomes. For performance measurement purposes, outcomes must measure changes that can be observed within a single program year.

19. **The National Performance Measure I want to select doesn’t appear in the drop-down menu. What should I do?**

Only performance measures corresponding to objectives selected on the Objectives tab will appear in the drop-down menu. Refer to the National Performance Measure selection rules to determine which objective to select for each performance measure. Do NOT create an applicant-determined measure that duplicates a National Performance Measure.

20. **I want to create an applicant-determined measure, but the Performance Measures Module isn’t allowing me to do that. What should I do?**

There are some objectives for which the creation of applicant-determined measures is not allowed. These include the Capacity Building and Leverage objective (Capacity Building focus area), the Find Opportunity objective (Economic Opportunity focus area), the Teacher Corps objective (Education focus area), the Green Jobs objective (Environmental Stewardship focus area), and the Access & Attract objective (Veterans and Military Families focus area). For the Capacity Building and Leverage objective, only National Performance Measures are allowed to be used. For the Find Opportunity, Teacher Corps, Green Jobs, and Access & Attract objectives, programs are not allowed to create performance measures.

21. **I am required to have a performance measure associated with my primary intervention, but I am not able to create a performance measure for the primary intervention I have selected. What should I do?**

Since the Find Opportunity, Teacher Corps, Green Jobs, and Access & Attract objectives are member-focused rather than beneficiary-focused, programs are not allowed to create performance measures under these objectives (see FAQ 9). If your primary intervention is connected to one of those objectives, you will need to go back and select a different primary intervention under a different objective. You can retain the member-focused objective as secondary.
22. Under what circumstances is it ok for grantees to use sampling to measure outputs and outcomes?

Methodologically speaking, sampling is appropriate for competitive grantees as long as the grantee has a solid plan for ensuring that its sample will be representative. Practically speaking, we would want grantees to use sampling only when they are able to make the case that it is unrealistic to collect data from the whole population. Formula grantees, with rare exceptions granted by CNCS, are not permitted to sample.

23. When would CNCS not allow sampling?

CNCS will not allow sampling when it is reasonable to expect a grantee to collect data from the whole population. CNCS will also not allow sampling if the grantee’s sampling frame is not sufficient or if the grantee’s methodology will not result in a representative sample.

24. How does a grantee set targets when it is planning to sample?

In most cases the grantee should be able to set targets based on the population as a whole. If a grantee’s sampling methodology is sound and results in a representative sample, then the program can extrapolate their results to report on an outcome for the population as a whole. For example, a program reports an output of 1000 children served. The program selects a representative sample of 200 children. Of these, 180 students (90%) demonstrate the desired change. In this case, the program could report an extrapolated outcome of 900 students.

25. How do I request permission to sample?

A detailed sampling plan must be forwarded to CNCS for consideration. Please consult the Notice of Funding Opportunity or your program officer for instructions on how to submit additional documents.
2019 AmeriCorps State & National Mandatory Supplemental Guidance
The *AmeriCorps State & National Mandatory Supplemental Guidance* is intended to provide applicants with additional information for the preparation of their applications under the AmeriCorps State and National Notice of Federal Funding Opportunity. The Supplemental Guidance provides both detailed definitions of certain terms included in the Notice, as well as additional details regarding how to properly file an application under the Notice. This Supplemental Guidance is incorporated by reference in the Notice, and applicants must comply with any requirements stated in this Supplemental Guidance.

**Capacity Building**: A set of activities that expand the scale, reach, efficiency, or effectiveness of programs and organizations. These activities achieve lasting positive outcomes for the beneficiary populations served by CNCS-supported organizations (i.e., AmeriCorps programs). As a general rule, CNCS considers capacity building activities to be *indirect services* that enable CNCS-supported organizations to provide more, better, and sustained *direct services*. Capacity building activities cannot be solely intended to support the administration or operations of the organization. Capacity building activities must:

1) Be intended to support or enhance the program delivery model;
2) Respond to the program’s goal of increasing, expanding, or enhancing services in order to address the most pressing needs identified in the community; and
3) Enable the program to provide a sustained level of more or better direct services after the capacity building services end.

**Cost Reimbursement Grants**: These grants fund a portion of program operating costs and member living allowances, with flexibility to use all of the funds for allowable costs regardless of whether or not the program recruits and retains all AmeriCorps members. Cost reimbursement grants include a formal matching requirement and require the submission of a budget and financial reports.

**Education Priority Interventions**: Note that these must be evidence-based interventions that are assessed by the reviewers as having Moderate or Strong evidence. All components and outcomes must be present.

<table>
<thead>
<tr>
<th>Programs</th>
<th>Core Components</th>
<th>Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>School readiness</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intervention #1</td>
<td>• Instructional home visits</td>
<td>• Enhanced emergent literacy skills</td>
</tr>
<tr>
<td></td>
<td>• Parent/child literacy activities</td>
<td>• Increased parenting skills</td>
</tr>
<tr>
<td></td>
<td>• Parent monthly group meetings and instruction</td>
<td></td>
</tr>
<tr>
<td>Intervention #2</td>
<td>• Whole-class and small-group language and literacy activities</td>
<td>• Enhanced emergent literacy skills</td>
</tr>
<tr>
<td></td>
<td>• Individual language and literacy activities</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Family involvement/communication</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Volunteer engagement in projects</td>
<td></td>
</tr>
<tr>
<td>Intervention #3</td>
<td>• Whole-class literacy enrichment activities</td>
<td>• Enhanced emergent literacy skills,</td>
</tr>
<tr>
<td></td>
<td>• One-on-one or small group literacy tutoring</td>
<td>• Kindergarten readiness</td>
</tr>
<tr>
<td>K-12 success</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intervention #4</td>
<td>• Tutoring or supplemental instruction</td>
<td>• Increased math achievement</td>
</tr>
<tr>
<td>Intervention #5</td>
<td>• Apprenticeships</td>
<td>• Increased reading achievement</td>
</tr>
<tr>
<td></td>
<td>• High school application support</td>
<td>• Increased math achievement</td>
</tr>
<tr>
<td></td>
<td>• College preparation</td>
<td>• Increased high school completion</td>
</tr>
<tr>
<td></td>
<td>• Leadership skills instruction</td>
<td>• Increased attendance</td>
</tr>
<tr>
<td></td>
<td>• Alumni program</td>
<td></td>
</tr>
<tr>
<td>Intervention #6</td>
<td>• Literacy tutoring</td>
<td>• Increased reading achievement</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Improved social-emotional skills</td>
</tr>
<tr>
<td>Intervention #7</td>
<td>• Literacy instruction</td>
<td>• Increased reading achievement</td>
</tr>
<tr>
<td></td>
<td>• Professional support for teachers</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Parenting events</td>
<td></td>
</tr>
<tr>
<td>Intervention #8</td>
<td>• Organized recess activities</td>
<td>• Improved Socio-emotional skills</td>
</tr>
<tr>
<td></td>
<td>• Class game time</td>
<td>• Improved BMI/fitness level</td>
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<td>• Youth leadership program</td>
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<td></td>
<td>• After-school activities</td>
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<tr>
<td>Intervention #9</td>
<td>• One-on-one tutoring</td>
<td>• Improved reading achievement</td>
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<td></td>
<td>• Dedicated school space and use of materials</td>
<td>• Improved socio-emotional skills</td>
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<td>• Structured and individualized curriculum</td>
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<td>• Student assessments for data-driven instruction</td>
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<td>• Rigorous and ongoing training</td>
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<td>• Instructional supervision</td>
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<tr>
<td>Intervention #10</td>
<td>• Individualized curriculum as needed</td>
<td>• Increased math achievement</td>
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<td></td>
<td>• Student life program</td>
<td>• Increased reading achievement</td>
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</table>
Enrollment Rate: The enrollment rate is calculated as slots filled, plus refill slots filled, divided by slots awarded.

Evidence-based program: Evidence-based Programs that have been rigorously evaluated and have demonstrated positive results for at least one key desired outcome. Rigorous evaluation means conducting at least one Randomized Controlled Trial (RCT) or Quasi-Experimental Design (QED) evaluation of the same intervention described in the application.

Evidence-informed program: Programs in this category use the best available knowledge, research, and evaluation to guide program design and implementation, but do not have scientific research or rigorous evaluation of the intervention described in the application.

Applicants may be evidence-informed if they have incorporated research from other evidence-based programs into their program designs and/or have collected performance measurement data on the intervention described in the application.

Evidence Tiers

Pre-preliminary evidence means the applicant has not submitted an outcome or impact evaluation of the same intervention described in the application, although the applicant may have collected some performance data on the intervention (e.g., data on intervention outputs and/or outcomes). Applicants in this tier must describe in the Evidence Base section of the application how their program design is evidence-informed (see definition above). Applicants may also cite prior performance measure data if applicable.

Preliminary evidence means the applicant has submitted up to two outcome evaluation reports that evaluated the same intervention described in the application and yielded positive results on one or more key desired outcomes of interest as depicted in the applicant’s logic model. The outcome evaluations may
either have been conducted internally by the applicant organization or by an entity external to the applicant. The study design must include pre and post-assessments without a comparison group or a post-assessment comparison between intervention and comparison groups. In some cases a retrospective pre-post assessment may be considered, but its use must be justified in the text of the evaluation report.

CNCS grantees recompeting for their third competitive grant cycle are required to submit an evaluation report of their CNCS funded program. The CNCS-required evaluation report may count towards one of the two reports allowed for the Preliminary evidence tier or may be submitted in addition to this. In the latter case, all three evaluation reports will be considered against the review criteria.

If the applicant is not required to submit an evaluation report of their CNCS funded program, then more than two reports will not be considered.

**Moderate evidence** means the applicant has submitted up to two well-designed and well-implemented evaluation reports that evaluated the same intervention described in the application and identified evidence of effectiveness on one or more key desired outcomes of interest as depicted in the applicant’s logic model. Evidence of effectiveness (or positive findings) is determined using experimental design evaluations (i.e., Randomized Controlled Trials (RCT)) or Quasi-Experimental Design evaluations (QED) with statistically matched comparison (i.e., counterfactual) and treatment groups. The ability to generalize the findings from the RCT or QED beyond the study context may be limited (e.g., single-site.) The evaluations were conducted by an independent entity external to the organization implementing the intervention.

CNCS grantees recompeting for their third competitive grant cycle are required to submit an evaluation report of their CNCS funded program. The CNCS-required evaluation report may count towards one of the two reports allowed for the Moderate evidence tier or may be submitted in addition to this. In the latter case, all three evaluation reports will be considered against the review criteria.

If the applicant is not required to submit an evaluation report of their CNCS funded program, then more than two reports will not be considered.

**Strong evidence** means the applicant has submitted up to two evaluation reports demonstrating that the same intervention described in the application has been tested nationally, regionally, or at the state-level (e.g., multi-site) using a well-designed and well-implemented experimental design evaluation (i.e., Randomized Controlled Trial (RCT)) or a Quasi-Experimental Design evaluation (QED) with statistically matched comparison (i.e., counterfactual) and treatment groups. Alternatively, the proposed intervention’s evidence may be based on multiple (up to two) well-designed and well-implemented QEDs or RCTs of the same intervention described in the application in different locations or with different populations within a local geographic area. The overall pattern of evaluation findings must be consistently positive on one or more key desired outcomes of interest as depicted in the applicant’s logic model. Findings from the RCT or QED evaluations may be generalized beyond the study context. The evaluations were conducted by an independent entity external to the organization implementing the intervention.

CNCS grantees recompeting for their third competitive grant cycle are required to submit an evaluation report of their CNCS funded program. The CNCS-required evaluation report may count towards one of the two reports allowed for the Strong evidence tier or may be submitted in addition to this. In the latter case, all three evaluation reports will be considered against the review criteria.
If the applicant is not required to submit an evaluation report of their CNCS funded program, then more than two reports will not be considered.

**Impact evaluation** An evaluation that provides statistical evidence of how well a program achieves its desired outcomes and what effect it has on service recipients and/or service participants compared to what would have happened in the absence of the program. Impact evaluations must be designed to provide evidence of a causal relationship between program activities and outcomes (45 C.F.R. § 2522.700). Grantees must use an experimental or quasi-experimental evaluation design (i.e., the evaluation must include a control group or a statistically matched comparison group).

**Same intervention described in the application** The intervention evaluated in submitted evaluation reports must match the intervention proposed in the application in the following areas, all of which must be clearly described in the Program Design and Logic Model sections of the application:

- Characteristics of the beneficiary population
- Characteristics of the population delivering the intervention
- Dosage (frequency, duration) and design of the intervention
- The setting in which the intervention is delivered
- Outcomes of the intervention

Submitted reports that do not sufficiently match the intervention proposed by the applicant in all of these areas will not be considered applicable and will not be reviewed or receive any points.

**Fixed Amount Grants**: These grants provide a fixed amount of funding per Member Service Year (MSY) that is substantially lower than the amount required to operate the program. Organizations use their own or other resources to cover the remaining costs. Programs are not required to submit budgets or financial reports, there is no specific match requirement, and programs are not required to track and maintain documentation of match. However, CNCS provides only a portion of the cost of running the program and organizations must raise the additional resources needed to run the program. Programs can access all of the funds, provided they recruit and retain the members supported under the grant based on the MSY level awarded. Professional Corps programs applying for operational funding through a Fixed Amount Grant must submit a budget in support of their request for operational funds.

- **Full-Time Fixed amount grants**: Fixed amount grants are available for programs that enroll full-time members or less than full-time members serving in a full time capacity only. Professional Corps may only have full-time members. Programs can access funds under the grant based on enrolling the full complement of members supported under the grant.

- **Education Award Grants (EAP) Fixed amount grant**: Programs apply for a small fixed amount per MSY, can enroll less than full-time members, and use their own resources to cover all other costs. Programs can access funds under the grant based on enrolling the full complement of members supported under the grant. As with full-time fixed amount grants, there are no specific match or financial reporting requirements for EAP fixed amount grants.

State Commissions can withhold up to 2% administrative funds from fixed price grants.

**Member Service Location**: A member service location is the site at which an AmeriCorps member is placed to provide his/her service to the community.
**Member Service Year (MSY):** One Member Service Year (MSY) is equivalent to a full-time AmeriCorps position (at least 1,700 service hours.)

**National Direct Applicants**

*Multi-state:* Organizations that propose to operate AmeriCorps programs in more than one state or territory apply directly to CNCS.

*Federally-recognized Indian Tribes:* Applicants that are Indian Tribes apply directly to CNCS (see the Eligible Applicants section in the Notice.)

*State and Territories without Commissions:* Applicants in South Dakota, American Samoa, the Commonwealth of the Northern Mariana Islands, and the U.S. Virgin Islands apply directly to CNCS because this State and Territories have not established a State Commission.

**Operating Site:** An operating site is the organization that manages the AmeriCorps program on behalf of the multi-state recipient of the grant from CNCS. A multi-state grantee must have an operating site in each state in which it has AmeriCorps members. AmeriCorps members may be placed at the operating site, or an operating site may place AmeriCorps members at multiple member service locations. Multi-focused intermediaries may also have operating sites.

CNCS anticipates that applicants have a carefully considered plan for their project implementation and have identified operating sites based on demonstrated community needs. CNCS expects the sites proposed at the time of application to align with those involved in project implementation. However, if necessary, applicants can modify their list of operating sites during the clarification process or through an amendment to the application, if funded.

**Opportunity Youth:** Opportunity youth are economically disadvantaged individuals ages 16-24 who are disconnected from school or work. **Other Revenue:** Funds necessary to operate an AmeriCorps program that are not CNCS funds or grantee share (match) identified in the budget. Programs should not enter the total operating budget for their organization unless the entire operating budget supports the AmeriCorps program. Programs that have additional revenue sources not included in the matching funds section of the budget should provide the amount of this additional revenue that supports the program. This amount should not include the CNCS or grantee share amounts in the budget. Fixed amount grantees should enter all non-CNCS funds that support the program in this field. All fixed grants will have other revenue.

**Professional Corps:** A Professional Corps is a program model composed of AmeriCorps members serving as professionals; i.e. teachers, health care providers, police officers, engineers, attorneys, or other professionals. The programs recruit and place qualified members in communities with an inadequate number of such professionals. Professional Corps members’ salaries/compensation, including childcare, are paid entirely by the organizations with which the members serve, and are not included in the budget request to CNCS. In order to be considered for funding, applicants must demonstrate that there are an inadequate number of professionals in the community(ies) where the program seeks to place members. These grants are fixed price grants.

Applicants may propose any authorized program type. In the case that a proposed program fits more than one program type (e.g., a program could be either a professional corps or a traditional program), CNCS staff will make a determination as to program type that will be considered for funding. The determination will be based on data provided within the application and supplemental materials submitted with the application. The determination may be different from the program type proposed by the applicant.
**Prohibited Activities:** While charging time to the AmeriCorps program, accumulating service or training hours, or otherwise performing activities supported by the AmeriCorps program or CNCS, staff and members may not engage in the following activities (see 45 CFR § 2520.65):

1. Attempting to influence legislation;

2. Organizing or engaging in protests, petitions, boycotts, or strikes;

3. Assisting, promoting, or deterring union organizing;

4. Impairing existing contracts for services or collective bargaining agreements;

5. Engaging in partisan political activities, or other activities designed to influence the outcome of an election to any public office;

6. Participating in, or endorsing, events or activities that are likely to include advocacy for or against political parties, political platforms, political candidates, proposed legislation, or elected officials;

7. Engaging in religious instruction, conducting worship services, providing instruction as part of a program that includes mandatory religious instruction or worship, constructing or operating facilities devoted to religious instruction or worship, maintaining facilities primarily or inherently devoted to religious instruction or worship, or engaging in any form of religious proselytization;

8. Providing a direct benefit to— a. a business organized for profit; b. a labor union; c. a partisan political organization; d. a nonprofit organization that fails to comply with the restrictions contained in section 501(c)(3) of the Internal Revenue Code of 1986 related to engaging in political activities or substantial amount of lobbying except that nothing in these provisions shall be construed to prevent participants from engaging in advocacy activities undertaken at their own initiative; and e. an organization engaged in the religious activities described in paragraph C. 7. above, unless CNCS assistance is not used to support those religious activities;

9. Conducting a voter registration drive or using CNCS funds to conduct a voter registration drive;

10. Providing abortion services or referrals for receipt of such services; and

11. Such other activities as CNCS may prohibit.

AmeriCorps members may not engage in the above activities directly or indirectly by recruiting, training, or managing others for the primary purpose of engaging in one of the activities listed above. Individuals may exercise their rights as private citizens and may participate in the activities listed above on their own initiative, on non-AmeriCorps time, and using non-CNCS funds.

**Reducing and/or Preventing Prescription Drug and Opioid Abuse:** CNCS is interested in program models that seek to address the prescription drug and opioid abuse crisis in America.

**Retention Rate:** The AmeriCorps member retention rate is calculated as the number of members exited with education award (full or partial award) divided by the number of members enrolled.
**Rural Communities:** CNCS uses rural-urban commuting area (RUCA) codes to classify program addresses as either rural or urban for analytic purposes. RUCA codes classify U.S. census tracts using measures of population density, urbanization, and daily commuting. Census tracts with an urban cluster population less than 50,000 are considered rural (RUCA codes 4-10). Applicants are encouraged to designate themselves as serving rural communities if some or all service locations are in rural areas as defined by RUCA codes or if the program can provide other compelling evidence that the program is rural in the narrative portion of the application. This self-designation will be considered in grant-making decisions.

For more information about RUCA codes, please visit the USDA website found here:  

The Office of Grants Management uses Beale Codes when assessing alternative match requirements as the statue (45 CFR 2521.60(c)) requires it.

**Rural Intermediaries:** CNCS recognizes that severely under-resourced communities may have limited capacity to successfully apply for and implement an AmeriCorps program, due to the size and organizational capacity of eligible applicant/host site organizations or the lack of available matching funds in these communities. Thus it may be effective for a single eligible applicant (intermediary) to develop an application and oversee the implementation of an AmeriCorps program that engages multiple grassroots non-profits/eligible applicants (referred to as a consortium) that, individually, do not have the necessary organizational or fundraising capacity to apply for and run an AmeriCorps program. Given the desire to address community needs holistically, the non-profits/eligible applicants that make up the consortium may have, but are not required to have, different focus areas (including the non-focus area capacity building) and thus the non profit/eligible applicant intermediary will be multi-focused.

Applicants seeking consideration under this priority must demonstrate that they will be serving in rural severely under-resourced communities; that their application represents a consortium, that the activities provided by the consortium collectively address a compelling community need or set of needs; and that they have sufficient financial and management capacity to act as an umbrella organization for the consortium. Applicants should refer to the *Page Limits* section in the *Notice* for information specific to Multi-focus Intermediary requirements.

The eligible applicant (intermediary) should submit one application which describes:

- How the partnership/consortium will be organized and AmeriCorps resources will be allocated between the partnering entities (intermediary and consortium members).
- The proposed theory (ies) of change and program model(s).
- How the intermediary will utilize an identified consortium of nonprofits/eligible applicants who are well positioned to achieve outcomes identified in the theory of change.

Applicants must submit via email by the application deadline letters of support from all members of the consortium. See the *Submission of Additional Documents* Section in the *Notice* for specific submission information and requirements.

**Safer communities:** Activities that focus on public safety and/or partnerships between police and community. CNCS is looking for program models that create, support, and initiate activities that provide opportunities for law enforcement and community members to become constructively engaged in building or rebuilding public spaces and opportunities for constructive dialogue via sports and community team building such as block watch organizations.
**Same Project:** Two projects will be considered the same if they: address the same issue areas, address the same priorities, address the same objectives, serve the same target communities and population, or utilize the same sites.

Programs must get approval from their CNCS program officers to be considered a new project.

If an applicant is applying for a different program model (a new project), select New. Current and previous grantees need to get approval from their Program Officer to be considered a new project. CNCS will consider a project to be new if there is a meaningful difference between it and previous projects in a comparison of the following characteristics, among others: the objectives and priorities of the projects; the nature of the services provided; the program staff, participants, and volunteers involved; the geographic locations in which the services are provided; the populations served; and the proposed community partnerships. (§ 2522.340).

Requests to be considered a new project should include information about how the new project differs from the previous project in the characteristics noted above. The request should also include the proposed name of the new project. CNCS staff will review the request to determine if the proposed project does represent a meaningful difference from the previous project or if the proposed project is an example of natural program evolution over time. If it is determined that the project is new, the Program Officer will create a new project in eGrants.

**Single-State Applicants:** Single state applicants are organizations that propose to operate in only one state; they must apply through the Governor-appointed State or Territory Commissions. Each state and territory commission administers its own selection process and submits to CNCS the applicants it selects to compete for funding. Single-State applicants must contact their State Commissions to learn about their state or territory processes and deadlines which may be significantly earlier than the CNCS deadlines and may have additional requirements. The list of State and Territory Commissions can be found on CNCS’s website.

A single-state application submitted directly to CNCS by the applicant rather than the State Commission will be considered noncompliant and will not be reviewed.

**Unallowable Activities:** In addition to the *Prohibited Activities*, the following restrictions also apply to the service of AmeriCorps members:

- **Nonduplication.** Corporation assistance may not be used to duplicate an activity that is already available in the locality of a program. Corporation assistance will not be provided to a private nonprofit entity to conduct activities that are the same or substantially equivalent to activities provided by a State or local government agency in which such entity resides unless the entity complies with the following “nondisplacement” requirements.

- **Nondisplacement.**
  1. An employer may not displace an employee or position, including partial displacement such as reduction in hours, wages, or employment benefits, as a result of the use by such employer of a participant in a program receiving Corporation assistance.
  2. An organization may not displace a volunteer by using a participant in a program receiving Corporation assistance.
  3. A service opportunity will not be created under this chapter that will infringe in any manner on the promotional opportunity of an employed individual.
(4) A participant in a program receiving Corporation assistance may not perform any services or duties or engage in activities that would otherwise be performed by an employee as part of the assigned duties of such employee.

(5) A participant in any program receiving assistance may not perform any services or duties, or engage in activities, that—
   (i) Will supplant the hiring of employed workers; or
   (ii) Are services, duties, or activities with respect to which an individual has recall rights pursuant to a collective bargaining agreement or applicable personnel procedures.

(6) A participant in any program receiving assistance may not perform services or duties that have been performed by or were assigned to any—
   (i) Presently employed worker;
   (ii) Employee who recently resigned or was discharged;
   (iii) Employee who is subject to a reduction in force or who has recall rights pursuant to a collective bargaining agreement or applicable personnel procedures;
   (iv) Employee who is on leave (terminal, temporary, vacation, emergency, or sick); or
   (v) Employee who is on strike or who is being locked out.
Evidence-Based Intervention Planning Grants

Applications for planning grants should focus on developing national service models that seek to integrate members in innovative ways into evidence-based interventions. For example, in the Economic Opportunity focus area applicants could explore how to adapt social enterprise, workforce partnership, supportive housing, or financial literacy program models that have demonstrated effectiveness. Similarly, in the Healthy Futures focus area, applicants might explore how to integrate AmeriCorps members into effective program models designed to improve health outcomes such as increased access to, or utilization of, health care. Alternatively, applicants could propose taking an evidence-based practice such as motivational interviewing and training AmeriCorps members to apply these skills in ways that could improve individual economic or health outcomes.

Applicants are encouraged to propose ideas for how AmeriCorps members might be integrated throughout the project life cycle – from community issue and model development to implementation to evaluation of the new intervention. For example, AmeriCorps members may be integrated into the evidence-based program through direct service delivery or through supporting organizational capacity in program development and evaluation.

A planning grant provides support to a grant recipient to develop an AmeriCorps program that will engage AmeriCorps members in implementing evidence-based interventions to solve community problems. In some cases, members have been involved in the effective or promising intervention and in other cases members have not been integrated into the program model to date. For interventions that have integrated members, this planning grant could be an opportunity to refine and enhance the model. For interventions that have not included AmeriCorps, a planning grant could be used to create a new intervention and test the feasibility of adapting evidence-based interventions with a national service component. Grant recipients are awarded up to $75,000 for a 12-month planning period and are expected to be better prepared to compete for an AmeriCorps program grant in the following grant cycle. A planning grant may not be used to support AmeriCorps members. Applicants will apply for a Cost Reimbursement grant. The project period is no more than a year, with a start date proposed by the applicant. The project start date may not occur prior to the date CNCS awards the grant.

Criteria for Evidence Based Intervention Planning Grants

Executive Summary (fill in the blanks) (0 percent):
The [Name of the organization] proposes to develop an AmeriCorps program serving in [the location(s) the AmeriCorps program will serve] that will focus on the CNCS focus area(s) of [Focus Area(s)]. The CNCS investment of $[amount of request] will be matched with $[amount of projected match], $[amount of local, state, tribal, and federal funds] in public funding and $[amount of non-governmental funds] in private funding. No AmeriCorps members will be needed to execute this plan.
Program Design (50 percent)

1. Need (10 points):
   - The community problem is prevalent and severe in communities where the program plans to serve and the problem has been documented with relevant data.

2. Evidence-Based Intervention (30 points)
   - The evidence-based intervention has successfully addressed the problem in other communities. The applicant must describe the evidence for the intervention in the application narrative and must submit at least one, and no more than two, randomized controlled trials (RCT) or quasi-experimental design (QED) evaluations of the intervention. If applicable, cite the clearinghouse and rating where the intervention is rated. The studies must be well-designed and well-implemented, must have been conducted by an independent, external evaluator, and must demonstrate, at minimum, evidence of effectiveness (positive findings) on one or more key outcomes that address the community problem/need.
   - The key elements of the intervention will be implemented with fidelity to the evidence based model (e.g., context, target population, content or curriculum, delivery process, training for members).
   - AmeriCorps members are well-suited to deliver the evidence-based intervention.

3. Planning Process/Timeline (10 points):
   The applicant describes a clear and logical planning process, including:
   - A detailed description of the planning process and who is leading it.
   - A well-developed timeline for planning activities.
   - A clear description of how the planning period will be used to develop the necessary components to effectively manage an AmeriCorps program in the future.

The applicant includes development of the following program elements in the planning process/timeline:
   - Theory of Change/Logic Model that describes implementation of the evidence-based intervention with fidelity and why the proposed intervention is expected to produce the proposed outcomes
   - Member selection and training plan
   - Member supervision plan
   - Commitment to AmeriCorps Identity
   - Compliance and Accountability
   - Securing Match Support for the Program
The applicant includes development of the following evaluation elements in the planning process/timeline:

- Assessing fidelity to the evidence-based intervention being replicated, including a detailed accounting of which core components were modified as a result of integrating AmeriCorps members, how they were modified, and which core components were implemented with fidelity without adaptation
- Assessing outcomes achieved in previous evaluations of the evidence-based intervention
- Assessing any new outcomes anticipated as a result of integrating AmeriCorps members into the evidence-based intervention

Organizational Capability (25 percent)

1. Organizational Background and Staffing (25 points)
   - The applicant has the experience, staffing, and management structure to plan the proposed program.
   - The applicant has prior experience in the proposed area of programming.
   - The applicant has conducted high-quality process and outcome evaluations and has used evaluation results for organizational learning and continuous improvement

Cost Effectiveness and Budget Adequacy (25 percent)
(Same as other AmeriCorps Applications)

E. Evaluation Plan (0 percent)
Evaluating the implementation and outcomes of proposed models will be critical to determining whether or not it is feasible to integrate national service into evidence-based interventions and achieve the same kinds of programmatic outcomes and impacts. As such, applicants should understand that they will be required to submit an evaluation plan with their application for a three-year AmeriCorps grant.

- A short description of the theory of change - why the proposed intervention is expected to produce the proposed results
- Outcome of interest - clear and measurable outcomes that are aligned with the theory of change and will be assessed during the evaluation
- Research questions to be addressed by the study - concrete research questions (or hypotheses) that are clearly connected to the outcomes
- Study components – a) a proposed research design for the evaluation including a rationale for the design selected, an assessment of its strengths and limitations, and a description of the main components; b) description of the sampling methods, measurement tools, and data collection procedures, and c) an analysis plan
- Qualifications needed for the evaluator
- The estimated budget
Performance Measures Instructions for Planning Grant Applicants (eGrants Performance Measures Section) eGrants Performance Measures Module Instructions

About the Performance Measures Module In the performance measures module, you will create a performance measure for your planning grant.

Home Page To start the module, click the “Begin” button on the Home Page. As you proceed through the module, the Home Page will summarize your work and provide links to edit the parts of the module you have completed. You may also navigate sections of the module using the tab feature at the top of each page. Once you have started the module, clicking “Continue Working” will return you to the tab you were on when you last closed the module. To edit the interventions, objectives, MSYs, and slot allocations for your application, click the “Edit Objectives/MSYs/Slots” button. After you have created your performance measure, the Home Page will display a chart summarizing your measure. To edit a performance measure, click the “Edit” button. To delete a measure, click “Delete.” To create a new performance measure, click the “Add New Performance Measure” button.

Objectives Tab An expandable list of CNCS focus areas appears on this tab. When you click on a focus area, a list of objectives from the CNCS strategic plan appears. A list of common interventions appears under each objective. First click on the “Other Community Priorities” focus area. Then click on the “Other” objective and select the “Other” intervention. Choose “Other Community Priorities” as your program’s primary focus area in the drop-down list at the bottom of the page. Next, select “other” as your primary intervention. Click the next button to continue.

MSYs/Slots Tab On this tab, you must enter a numerical value of “1” in all MSY and slot fields in order to submit your application. Then click the next button to continue.

Performance Measure Tab To create your performance measure, begin by selecting “other” from the objective drop-down. Enter the following title: Planning Grant. Enter NA in the problem statement text box. Check the box next to the intervention text box. Enter this text in the intervention text field: Plan an AmeriCorps program. Click the button next to the output text box. Enter this text in the output text field: Work with grantor staff to design and conduct planning process as outlined in the application. Check the box next to the outcome text box. Enter “NA” in the outcome text field. Enter a numerical value of “1” in the MSYs and slots fields at the bottom left of the page. Click “next” to proceed to the data collection tab.

Data Collection Tab On this tab, enter NA in the intervention text box. Then expand each output and outcome. Enter “1” for all numerical values required and enter NA in all text boxes. Select “other” as your data collection method for both the output and the outcome. After entering data collection information for the output and outcome, click “Mark Complete.” You will return to the Performance Measure tab. To continue to the next step of the module, click “Next.”
**Summary Tab** The summary tab shows all of the information you have entered in the module. To print a summary of all performance measures, click “Print PDF for all Performance Measures.” To print one performance measure, expand the measure and click “Print This Measure.” Click “Edit Performance Measure” to return to the Performance Measure tab. Click “Edit Data Collection” to return to the Data Collection tab. “Click Validate Performance Measures” to validate this module prior to submitting your application.